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# Antenatal Stressful Life Events and Postpartum Depression in the United States: the Role of Women's Socioeconomic Status at the State Level

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FLORIDA INTERNATIONAL UNIVERSITY

Miami, Florida

ANTENATAL STRESSFUL LIFE EVENTS AND POSTPARTUM DEPRESSION IN  
THE UNITED STATES: THE ROLE OF WOMEN'S SOCIOECONOMIC STATUS AT  
THE STATE LEVEL

A dissertation submitted in partial fulfillment of the

requirements for the degree of

DOCTOR OF PHILOSOPHY

in

PUBLIC HEALTH

by

Soumyadeep Mukherjee

2016

To: Dean Tomás R. Guilarte  
Robert Stempel College of Public Health and Social Work

This dissertation, written by Soumyadeep Mukherjee, and entitled Antenatal Stressful Life Events and Postpartum Depression in the United States: the Role of Women's Socioeconomic Status at the State Level, having been approved in respect to style and intellectual content, is referred to you for judgment.

We have read this dissertation and recommend that it be approved.

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Mary Jo Trepka, Major Professor

Date of Defense: June 14, 2016

The dissertation of Soumyadeep Mukherjee is approved.

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Dean Tomás R. Guilarte  
Robert Stempel College of Public Health and Social Work

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Andrés G. Gil  
Vice President for Research and Economic Development  
Dean of the University Graduate School

Florida International University, 2016

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## DEDICATION

I dedicate this dissertation to my parents, Mrs. Shikha Mukherjee and Dr. Dibyendu Mukherjee, for being my role models and for making countless sacrifices to ensure that I have the best possible educational opportunities.

This dissertation is also dedicated to all the participants in the Pregnancy Risk Assessment Monitoring System (PRAMS) Survey 2009-2011, the data from which have been used for this dissertation.

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ABSTRACT OF THE DISSERTATION

ANTENATAL STRESSFUL LIFE EVENTS AND POSTPARTUM DEPRESSION IN  
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Florida International University, 2016

Miami, Florida

Professor Mary Jo Trepka, Major Professor

The purpose of this dissertation was to examine patterns of antenatal stressful life events (SLEs) experienced by women in the United States (U.S.) and their association with postpartum depression (PPD). It further explored the role of women's state-level socio-economic status (SES) on PPD; the racial/ethnic disparities in SLE-PPD relationship; and the role of provider communication on perinatal depression.

Data from 2009–11 Pregnancy Risk Assessment Monitoring System (PRAMS) and SES indicators published by the Institute of Women's Policy Research (IWPR) were used. Latent class analysis (LCA) was performed to identify unobserved class membership based on antenatal SLEs. Multilevel generalized linear mixed models examined whether state-level SES moderated the antenatal SLE-PPD relationship. Of 116,595 respondents to the PRAMS 2009-11, the sample size for our analyses ranged from 78% to 99%.



The majority (64%) of participants were in low-stress class. The illness/death related-stress class (13%) had a high prevalence of severe illness (77%) and death (63%) of a family member or someone very close to them, while those in the multiple-stress (22%) class endorsed most other SLEs. Eleven percent had PPD; women who experienced all types of stressors, had the highest odds (adjusted odds ratio [aOR]: 5.43; 95% confidence interval [CI]: 5.36, 5.51) of PPD. The odds of PPD decreased with increasing state-level social/economic autonomy index (aOR: 0.75; 95% CI: 0.64, 0.88), with significant cross-level interaction between stressors and state-level SES. Among non-Hispanic blacks and non-Hispanic whites, husband/partner not wanting the pregnancy (aOR: 1.47; 95% CI: 1.14, 1.90) and drug/drinking problems of someone close (aOR: 1.37; 95% CI: 1.21, 1.55) were respectively associated with PPD. Provider communication was protective.

That 1 out of every 5 and 1 out of every 8 women were in the high- and emotional-stress classes suggests that SLEs are common among pregnant women. Our results suggest that screening for antenatal SLEs might help identify women at risk for PPD. The finding that the odds of PPD decrease with increasing social/economic autonomy, could have policy implications and motivate efforts to improve these indices. This study also indicates the benefits of antenatal health care provider communication on perinatal depression.

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## ABBREVIATIONS AND ACRONYMS

CDC	Centers for Disease Control and Prevention
CI	Confidence interval
aOR	Adjusted odds ratio
PPD	Postpartum depression
PRAMS	Pregnancy Risk Assessment and Monitoring System
SES	Socioeconomic status
SLE	Stressful life event
US	United States

## INTRODUCTION

### **Stressful life events during pregnancy**

Stressful life events (SLEs) are changes occurring suddenly in a person's life that can significantly disturb his/her daily routine with a potential impact on health, especially mental health (Turner & Wheaton, 1995; Wagner et al., 1988; Hammen, 2005). Such events may be negative or undesirable (such as death of a close friend or relative, serious illness of a family member), positive or desirable (such as promotion at work, engagement, marriage, an intended pregnancy), or, have a mixture of both desirable and undesirable components (such as moving to a new place) (Holmes & Rahe, 1967; Koenders et al., 2014). Stressful experiences and the resulting reactions can lead to a variety of negative health consequences (Dohrenwend, 2000; Thoits, 1995). Usually undesirable SLEs are more of a concern with respect to their negative health outcomes, than desirable SLEs.

Although pregnancy has traditionally been expected to be a happy time for women, it is a major life event and can be stressful depending on the situations. Pregnant women experience a number of stress factors, such as, physical and hormonal changes, pregnancy-specific anxiety, concerns related to the fetus and fear of pain during delivery (Van der Bergh, 1992). Various other experiences can lead to stress during pregnancy, such as negative life-events (such as divorce, serious illness or death in the family, or losing a job or home), catastrophic events (such as earthquakes, hurricanes, or terrorist attacks), long-lasting stress (due to financial problems, being abused, having serious health problems), and discrimination or racism (March of Dimes, 2012). Stress due to

daily-life hassles as well as the major life events can adversely affect pregnancy; the latter usually have a more significant impact (Dunkel Schetter & Tanner, 2012). Multiple stressors can be present and exacerbate the experience of antenatal distress.

Stress during pregnancy has been linked with adverse fetal outcomes, such as spontaneous abortion (Neugebauer et al., 1996), preterm birth, and low birth weight (Copper et al., 1996), and maternal complications, including hypertension (Landsbergis and Hatch, 1996), nausea and vomiting (Kuo et al. 2010), antenatal depression (Zayas, 2002), and postpartum depression (O'Hara and Swain, 1996). Stressful life events during pregnancy might even be associated with impaired mental and motor development of the newborn baby during infancy (Huizink et al., 2003) and psychiatric conditions during childhood, adolescence, and adulthood (Beydoun and Saftlas, 2008). Various mechanisms have been proposed to explain the relationship of antenatal stress and negative perinatal outcomes. Response of the maternal hypothalamus-pituitary-adrenal cortex system (HPA axis) to stress is hypothesized to play a key role. Stress can lead to an increased secretion of the corticotropin releasing hormone (CRH) from the hypothalamus, leading to a cascade of events that include increased release of maternal glucocorticoids and catecholamines, stimulation of release of placental CRH, decreased uteroplacental blood flow, and adverse effects on the fetal neurotransmitters and nervous system (Mulder et al., 2002). Stressed women might also be more likely to engage in negative health behaviors, such as delayed antenatal care, higher rates of tobacco and alcohol use, and poor diet and nourishment, which in turn lead to poor maternal and fetal outcomes (Costa et al., 2000; Hobel & Culhane, 2003; Hobel et al., 2008; Hoffman & Hatch, 1996; Larrieux et al., 2004). Stress-induced release of glucocorticoids and

catecholamines can impair maternal cellular immunity and increase vulnerability to infections (Hobel & Culhane, 2003; Wadhwa et al., 2001).

Nearly 65-70% of pregnant women in the United States (U.S.) have reported at least one stressful life-event (SLE) experience during pregnancy (Herrick, 2000; Whitehead et al., 2002). The limited exploration of racial/ethnic distribution of antenatal SLE suggests that disparities may exist. An analysis of Los Angeles County Department of Health data for the year 2010 indicated that the most common SLE during pregnancy—moving to a new address—was experienced by nearly one-third of women and did not differ considerably between the racial/ethnic groups. On the other hand, 14.5% of black and 8.5% of Hispanic, compared to 2.9% of white women, got divorced or separated from their husband or partner when they were pregnant. As many as one in ten black pregnant women were homeless during pregnancy, compared to 5% of Hispanics and 1% of whites. During pregnancy, 22%, 15%, and 10% of Hispanic, black, and white women's husband/partner lost his/her job respectively. Having more than the usual number of arguments with a husband/partner was also fairly common and varied—it was reported by 20% of Asians, 22% of whites, 29% of Hispanics, and 43% of blacks. Inability to pay a lot of bills during pregnancy was reported by 32% of blacks, compared to 14% of whites. During pregnancy, 8% of black and 1% of white women were involved in a physical fight, while 8.6% of black and 1.4% of white women (or her husband/partner) went to jail (County of Los Angeles Public Health, 2010). An analysis of Pregnancy Risk Assessment Monitoring System (PRAMS) 2000 data from 19 states revealed that the mean number of stressful life events experienced during the 12 months before delivery was the highest among non-Hispanic black and American

Indian/Alaska Native women. Even after adjusting for sociodemographic differences, non-Hispanic black women were 13% and 48% more likely to report emotional and partner-associated stressors respectively (Lu & Chen, 2004). Burns et al. (2015), in their study using multi-state PRAMS 2010 data, reported that the proportion of women experiencing at least one antenatal SLE was the highest among non-Hispanic blacks (76.5%) and the lowest among Asians/Pacific Islanders (56.9%). A similar pattern was observed for each type of SLE. In addition, the mean number of SLE was the highest for non-Hispanic blacks (2.32), followed by those of other racial/ethnic groups (2.04), Hispanics (1.92), non-Hispanic whites (1.70), and Asians/Pacific Islanders (1.11) (Burns et al., 2015)

The few studies on maternal antenatal SLE have either clustered the different life events into domains such as financial, emotional, traumatic, and partner-associated (Nkansah-Amankra et al., 2010), or counted the total number of events experienced (Whitehead et al., 2002). While both of these approaches focus on the events, they do not identify sub-groups of women based on similar life-event experiences during pregnancy.

### **Postpartum Depression**

It is common for a woman, who has recently had pregnancy and childbirth, to experience feelings of depression, anxiety, and anger and be upset towards her new baby, her partner, or her children. This phase, characterized by crying, sleep disturbances, having trouble eating and making choices, and being doubtful about their ability to care for their baby, is known as postpartum blues. This typically begins 2-3 days after childbirth and usually gets better within a few days or 1-2 weeks without treatment



(American College of Obstetricians and Gynecologists, 2013). Previous estimates suggest that the prevalence of postpartum blues can range from 25% to 85% (Altshuler et al., 2001; Beck et al., 1992). However, sometimes a postpartum woman experiences severe sadness, anxiety, or despair leading to impairment in daily activities, and this is postpartum depression. This usually starts about 1–3 weeks after childbirth and can occur up to 1 year after childbirth. Some of the risk factors for postpartum depression are difficulty in getting pregnant, unplanned pregnancy, history of depression, anxiety or other psychiatric disorders, multiple pregnancy, miscarriage, stillbirth or neonatal death, premature labor and delivery, having a baby with a birth defect, complications during pregnancy and childbirth, having an operative delivery, relationship problems with husband/partner, lack of social support, hospitalization of the baby, and stressful life events (American College of Obstetricians and Gynecologists, 2013; Robertson et al., 2004; Beck, 2001; O’Hara & Swain, 1996).

Postpartum depression affects 10–20% of postpartum women in the U.S. (O’Hara & Swain, 1996; Centers for Disease Control and Prevention, 2008). Studies have had contrasting results with respect to the racial/ethnic distribution of postpartum depression. Among low-income women in rural North Carolina, racial disparities in post-partum depression were not observed (Hutto, 2011). Similarly, in a sample of Caucasian, Asian/Pacific Islander women, differences by ethnicity were not found for postpartum depression or anxiety (Onoye et al., 2009). However, minority race was significantly associated with self-reported depressive symptoms in a large cohort of mothers of children born at Yale-New Haven Hospital, Connecticut (McCue Horwitz, 2007). The proportion of postpartum women in Massachusetts, who self-reported having depressed

mood always or often, was the highest among Hispanics, followed by non-Hispanic blacks, Asians/Pacific Islanders, and non-Hispanic whites. This difference was no longer significant after adjusting for SES. However, Asians/Pacific Islanders had a significantly higher likelihood of loss of interest in doing things, compared with non-Hispanic whites after adjusting for socioeconomic status (Liu & Tronick, 2013). Racial and ethnic differences in the prevalence of postpartum depression have been noted among women in Massachusetts (Liu and Tronick 2014) and New York City (Liu et al., 2013). A study conducted in Massachusetts revealed that antenatal stress did not predict postpartum loss of interest among non-Hispanic whites, but high relational stress and high financial stress were respectively associated with loss of interest among non-Hispanic blacks, and Hispanics and Asians/Pacific Islanders (Liu et al., 2016). However, multistate population-based studies exploring the racial/ethnic disparities in the prevalence of postpartum depression and the relationship between antenatal stressful life events and postpartum depression are difficult to find. One of the U.S. Department of Health and Human Services Healthy People 2020 objectives, is to decrease the proportion of women with a live birth, experiencing postpartum depressive symptoms (Office of Disease Prevention & Health Promotion [ODPHP], 2016).

### **The role of women's socio-economic status at the state level**

Various theories have been proposed to explain the occurrence of depression among women, with special emphasis on the higher incidence and prevalence compared to men. At the micro-level, the focus is on biological mechanisms, such as, genetic differences, gender differences in neurotransmitters and hormones (Ussher, 1991; Walsh

et al., 1995), and psychological factors, such as differential help-seeking behaviors, style of coping and self-efficacy. At a higher level, the focus is on the epidemiological risk factors for depression, such as intimate partner violence, childhood abuse, and social isolation (Nolen-Hoeksema, 1990, 2001; Nolen-Hoeksema & Girgus, 1994; Nolen-Hoeksema et al., 1999). These factors are often differentially distributed between men and women. The most macro-level, proposed by Walsh et al., looks at the broader socio-cultural and economic factors, their influence on women's access to resources and gender differences in health (Walsh et al., 1995). At this level, the focus is on the social, economic and political arrangements that influence the distribution of power and resources between men and women and contribute to gender differences in physical and mental health, including a higher prevalence of depression among women (Connell, 1987; Diez-Roux, 1998; Macintyre et al., 2002).

A multiple determinants framework for perinatal health includes proximal risk factors, which are biomedical and behavioral responses to distal risk factors, such as the woman's physical, economic, social and political environment (Misra et al., 2003). As the state has increasingly become the unit to legislate, fund, and implement policies and programs in the U.S., states with policies favoring gender equality in social-economic, political and reproductive rights can encourage an environment that is friendlier towards women and family (Daniels, 1997). On the other hand, states' tolerance of women's unequal social status and disadvantaged positions can result in adverse physical and mental health consequences for women (Chen et al., 2005). The importance of state-level women's status has been examined in the context of depression (Chen et al., 2005), violence against married women (Yllö, 1984), global and cause-specific mortality rates

among both women and men, low birthweight, teen pregnancy, and infant and teen mortality (Kawachi et al., 1999; Koenen et al., 2006). State-level women's status indices, or, their interaction with individual-level antenatal risk factors have not been considered in the context of postpartum depression. After taking individual correlates into account, women living in states ranking high on employment and earnings index, and economic autonomy index had significantly lower depression scores, compared with women living in states ranked lower on the same indices (Chen et al., 2005). However, state-level women's status indices, or, their interaction with individual-level antenatal risk factors have not been considered in the context of postpartum depression.

### **Provider communication on perinatal depression**

Previous research suggests that interventions delivered during pregnancy can be effective in preventing postpartum depression, especially among those with antenatal depression symptoms (Clatworthy, 2012; Sockol et al., 2013). The US Preventive Services Task Force (USPSTF) has recently concluded that in addition to screening for depression in pregnant and postpartum women, a variety of treatment options, including antidepressants and behavioral therapy, should be available (Siu and the US Preventive Services Task Force [USPSTF], 2016). Providing pregnant women with information about perinatal depression can be empowering, and contribute to an increased awareness on this health issue and its symptoms, so that they can seek necessary care and support early enough (Youash et al. 2013). An analysis of data from the 2011 Pregnancy Risk Assessment Monitoring System (PRAMS) revealed that nearly 72% women reported a discussion on perinatal depression with their health care provider during antenatal care

(Farr et al., 2016). Farr et al. (2016) also noted that 67.5% and 72.3% of women with and without postpartum depressive symptoms had discussions about perinatal depression with their prenatal health care provider. But there has been little, if any, research on the impact of provider communication on the occurrence of postpartum depression, after taking other socio-demographic factors into account.

The objective of this dissertation was to examine patterns of antenatal stressful life events (SLE) experienced by women in the United States (U.S.) and their association with postpartum depression (PPD). It further aimed to explore the role of women's state-level socio-economic status (SES) on PPD, after taking into account individual-level correlates. Finally, this study examined the relationship between antenatal SLE and PPD, by race/ethnicity, and the role of provider communication on perinatal depression. These objectives were achieved through three studies. The aims of the first study were to: (1) identify groups of women in the U.S. with similar patterns of stressful life event experiences during pregnancy and to examine the socio-demographic correlates of these groups, and (2) compare the prevalence of maternal health outcomes, including hypertensive disorders during pregnancy; severe nausea, vomiting or dehydration; preterm labor and premature rupture of membranes, which are associated with preterm birth; and postpartum depression, between these latent classes. The second study aimed to examine the association between antenatal SLEs and PPD, among women in the U.S. who have had a recent live birth and to explore whether state-level SES moderated the relationship between antenatal SLE and PPD. The third and final study aimed to: (1) examine racial/ethnic disparities in the relationship between different antenatal stressful life events and postpartum depression, among women in the U.S. who have had a recent

live birth, and (2) explore whether provider communication about perinatal depression was associated with a lower risk of postpartum depression and whether the effect (if any) varied according to maternal race/ethnicity.

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## MANUSCRIPT 1

Stressful life event experiences of pregnant women in the United States: A latent class analysis

### Abstract

**Objectives:** Nearly 65–70% of pregnant women in the United States (U.S.) experience one or more stressful life events (SLEs), which can lead to adverse maternal and/or fetal outcomes. This study aimed to identify groups of women, with similar patterns of antenatal SLE experiences, and to examine their socio-demographic correlates. **Methods:** Data from 2009–11 Pregnancy Risk Assessment Monitoring System (PRAMS) were used and latent class analysis (LCA) performed (N=115,704), to identify unobserved class membership. The relative likelihood of membership in each latent class was explored using multinomial logistic regression. **Results:** A three-class model was most appropriate, with majority (64%) in low-stress class. The illness/death related-stress class (13%) had a high prevalence of illness (77%) and death (63%) of a family member/someone close, while those in the multiple-stress (22%) class endorsed most other SLEs. Unmarried and lowest-poverty women were respectively more (adjusted odds ratio [aOR]: 2.46; 95% confidence interval [CI]: 2.20, 2.74) and less likely (aOR: 0.13; 95% CI: 0.10, 0.16) to be in the multiple-stress class. Severe pregnancy-associated nausea/vomiting, preterm labor, and postpartum depression had a markedly higher prevalence in the group experiencing multiple-stress, followed by illness/death and low-stress classes. **Conclusions:** That 1 out of every 5 and 1 out of every 8 women were in the multiple- and illness/death related-stress classes respectively suggest that SLEs are common among pregnant women. The

high prevalence of different stressor types, as well as, the higher likelihood of adverse maternal health outcomes in the multiple-stress class suggests the importance of addressing SLEs as a whole.

**Keywords:** Stressful life events; pregnancy; PRAMS; latent class analysis; adverse maternal health outcomes

## Introduction

Pregnancy is a major life event, during which women experience a number of stress factors, such as physical and hormonal changes, pregnancy-specific anxiety, concerns related to the fetus, and fear of pain during delivery (Van den Bergh, 1992). Various other experiences can lead to stress during pregnancy, such as negative life events (e.g. divorce, serious illness or death in the family, or losing a job, or homelessness), catastrophic events (e.g. earthquakes, hurricanes or terrorist attacks), long-lasting stress (e.g. financial problems, abuse, chronic health problems) and discrimination or racism (March of Dimes Foundation, 2012). While stress due to both daily-life hassles and major life events can adversely affect pregnancy, major life events usually have a more significant impact (Dunkel Schetter & Tanner, 2012), especially when multiple such events occur at the same time. Stress during pregnancy has been linked with adverse fetal outcomes, such as spontaneous abortion (Neugebauer et al., 1996), preterm birth, and low birth weight (Copper et al., 1996), and maternal complications, including hypertension (Landsbergis & Hatch, 1996), nausea and vomiting (Kuo et al. 2010), antenatal depression (Zayas, 2002), and postpartum

depression (O'hara & Swain, 1996). Stressful life events during pregnancy might even be associated with impaired mental and motor development of the newborn baby during infancy (Huizink et al., 2003) and psychiatric conditions during childhood, adolescence and adulthood (Beydoun & Saftlas, 2008).

Nearly 65–70% of pregnant women in the United States (U.S.) have reported at least one stressful life event during pregnancy (Herrick, 2000; Whitehead et al., 2002); and the prevalence appears to vary by race/ethnicity. An analysis of Pregnancy Risk Assessment Monitoring System (PRAMS) 2000 data from 19 states revealed that the mean number of SLEs experienced during the 12 months before delivery was highest among non-Hispanic black and American Indian/Alaska Native women (Lu and Chen, 2004). Burns et al. (2015), in their study using multi-state PRAMS data, reported that the proportion of women experiencing at least one antenatal SLE was the highest among non-Hispanic blacks and the lowest among Asians/Pacific Islanders.

The primary objective of this study was to identify groups of women in the U.S. with similar patterns of stressful life event experiences (SLEs) during pregnancy and to examine the socio-demographic correlates of these groups. We also aimed to compare the prevalence of maternal health outcomes, including hypertensive disorders during pregnancy; severe nausea, vomiting or dehydration; preterm labor and premature rupture of membranes, which are associated with preterm birth; and postpartum depression, between these latent classes. The few studies on maternal antenatal stressors have either clustered the different life events into domains, such as financial, emotional, traumatic, and partner-associated (Nkansah-Amankra et al., 2010; Burns et al., 2015), or counted the

total number of events experienced (Whitehead et al., 2002). While both of these approaches focus on the events, they do not identify sub-groups of women based on similar life event experiences during pregnancy. Our study aimed to compute unobserved group membership, based on the reported probabilities of stressful life event experiences, using latent class analysis, which has been used to explore the patterns of behavioral health problems, including the patterns of victimization, suicide attempts, and post-traumatic stress disorder (PTSD) among adolescents (Karsberg et al., 2014), adolescent loneliness and psychiatric morbidity (Shevlin et al., 2014), impact of maternal behaviors during pregnancy on birthweight (Petherick et al., 2012), the heterogeneity in trauma profiles among adolescents (McChesney et al., 2015), and the clustering of cancer risk behaviors among college students (Kang et al., 2014). However, this technique has not yet been used to examine SLEs. Studies using population-based multi-state datasets to examine the relationships between antenatal major life events and adverse maternal outcomes have been rare. Identifying latent classes of women and their correlates, based on how various SLEs co-occur during pregnancy, and the relationships of these classes with maternal health outcomes, can inform interventions to prevent such experiences or to mitigate their adverse consequences.

## Methods

### Dataset and study subjects

The study used data for the years 2009–11, collected by the PRAMS, which is an ongoing surveillance project of the Centers for Disease Control and Prevention (CDC) and state health departments. Each year, participating states sample 1300 to 3400 women with recent live births, divided among three to six strata, from a sampling frame of eligible birth certificates (CDC, 2013). The sampling frame does not include mothers who give birth outside their state of residence, and those who have multiple birth greater than three (CDC, 2010). The annual sample size ensures that statewide risk factor proportions can be estimated within 3.5% at 95% confidence; and within-strata proportions can be estimated within 5% at 95% confidence. The sampling, nonresponse, and noncoverage components are multiplied together to yield the analysis weight, which can be interpreted as the number of women like herself in the population that each respondent represents (CDC, 2013). The standardized data collection methodology used in the PRAMS surveillance system enables between-state comparisons and optimal data use for single-state or multi-state analyses. This survey is conducted by mailed questionnaires with telephone follow-ups for the non-respondents, and the responses are linked to extracted birth certificate variables. Mailings start two to four months after delivery (CDC, 2013). Topics addressed in the PRAMS questionnaire include barriers to and content of prenatal care, obstetric history, maternal use of alcohol and cigarettes, physical abuse, contraception, economic status, maternal stress, and early infant development and health status (CDC, 2015).



## Variables

The main variables of interest were antenatal stressful life events. The PRAMS core questionnaire (CDC, 2015) includes 13 questions, which ask about each of the following events that might have happened to a woman during 12 months immediately prior to the birth of her new baby: 1. A close family member was very sick and had to go to the hospital; 2. She got separated or divorced from her husband or partner; 3. She moved to a new address; 4. She was homeless; 5. Her husband/partner lost his job; 6. She lost her job although she wanted to continue working; 7. She argued with her husband/partner more than usual; 8. Her husband/partner did not want her to be pregnant; 9. She had a lot of bills that she could not pay; 10. She was involved in a physical fight; 11. Her husband/partner or she herself went to jail; 12. Someone very close to her had a problem with drinking or drugs; 13. Someone very close to her died.

After classifying the women based on their stressful life events (described under data analysis), associations were examined with socio-demographic variables, such as maternal age, race/ethnicity, educational status, marital status, federal poverty level (FPL), and health insurance plan for prenatal care. FPL was computed following the guidelines issued by the U.S. Department of Health & Human Services (HHS) for the years 2009, 2010 and 2011, using annual household income and number of dependents including the woman herself. Information about health insurance was collected through questions on whether or not the insurance was from each of the following sources: job of herself, her husband/partner, or parents; payment by herself or someone else (but not from job); Medicaid; Tricare or other military health care; and any other. The

relationships of the latent classes were examined with maternal health outcomes including hypertensive disorders during pregnancy (including pregnancy-induced hypertension, preeclampsia, or toxemia); severe nausea, vomiting, or dehydration during pregnancy; preterm labor; and premature rupture of the membranes, each of which was assessed by a yes/no question. Postpartum depression was assessed by whether a woman felt down, depressed or helpless; hopeless; or slowed down, since the birth of her new baby. For each of the three questions, respondents had to choose between the following options: never, rarely, sometimes, often, and always, with corresponding scores of 1 to 5 respectively. Therefore, the total score ranged from 3-15. Based on CDC recommendations, any woman with a score of 10 or higher was considered to have postpartum depressive symptoms. When only two or one question(s) were/was answered, the cut-offs were 7 and 4 respectively (Guidelines for Analyzing Phase 6 Core Depression Question, unpublished report, 2012).

Maternal age, race/ethnicity (computed from individual variables ethnicity and race), education, and marital status were variables obtained from birth certificate. All the stressful events, FPL, insurance status, and the maternal health outcomes were responses to the PRAMS core questions.

#### Data analysis

There were a total of 116,595 respondents in the PRAMS 2009–11 dataset, of which 891 women had missing data for all thirteen SLE variables. After excluding these 891 women, there were 115,704 respondents.

First, descriptive statistics were calculated to examine the prevalence of each SLE. SAS procedures that account for survey design (Proc Survey) were employed to adjust for the analysis weights and sampling design.

Based on women's responses to the thirteen stressful life events during pregnancy, a latent class analysis (LCA) was performed to identify subgroups of women based on their similar SLE experiences. LCA is a statistical method to identify unobserved class membership among subjects based on observed variables, using an exploratory and iterative model building technique (Hagenaars and McCutcheon, 2002). We began with a 2-class model and then increased the number of classes one at a time to six. To determine the optimum number of classes, posterior probabilities of membership to specific latent classes were determined by simultaneously estimating prevalence of each class (class probabilities), and the probabilities of endorsing specific items (item probabilities) (Hagenaars and McCutcheon, 2002). In addition to considerations of parsimony and substantive meaning, various fit indices, including Akaike Information Criteria (AIC) (Akaike, 1987), Bayesian Information Criteria (BIC) (Schwarz, 1978), and sample size adjusted BIC (ssaBIC) (Sclove, 1987) were used to determine the optimal model. The smaller values of these indices suggest better fit. Entropy value (Celeux and Soromenho, 1996) and the Lo-Mendell-Rubin adjusted likelihood ratio test (LRT) (Lo et al., 2001) were also considered. Entropy values closer to 1 indicate clearer classification, whereas a non-significant LRT suggest that a latent class model with one less class was the more parsimonious option.

After the optimal number of classes was determined, frequencies of the 13 stressful events across and within classes were examined. Chi-square tests of independence were used to assess the distribution of these classes with maternal socio-demographic variables, including age, race/ethnicity, education, marital status, FPL and source(s) of health insurance. Women were also categorized into those who experienced at least one event during pregnancy, and those who experienced none. Socio-demographic correlates of experiencing at least one event were examined. Mean number of events was compared between the socio-demographic groups.

SAS<sup>®</sup> 9.4 software (SAS Institute, Cary, NC) and Mplus software (Muthen and Muthen, 1998-2012) were used. In Mplus, the “auxiliary” option was used with “type=mixture” to identify covariates of the categorical latent variable that are important predictors of the latent classes, using a three-step approach (r3step) (Vermunt, 2010; Asparouhov and Muthen, 2014). Latent class membership included all the 115,704 observations with at least one indicator value; that is, if a woman had a valid response to even one of the thirteen stressful life event questions. The default “type=missing” option in Mplus used the full information maximum likelihood (FIML) method to estimate the LCA model. As a part of the 3-step process, multinomial logistic regression was performed to explore the relative likelihood of being a member of each latent class, with respect to a baseline class. Age group, race/ethnicity, educational status, income category and marital status were covariates in the logistic regression model. The distribution of maternal health outcomes were compared between the latent classes. For multinomial logistic regression, the women with non-missing data for all the covariates (N=98,567) were used. In order to adjust for analysis weights and sampling design, “type=complex”

option was used. While examining the relationship between latent classes and the maternal health outcomes, those with valid responses to the outcome of interest, as well as the latent classes, were included in the analyses.

## Results

Among the 115,704 women, the most commonly experienced SLE during pregnancy was reported to be moving to a new address (33%) (table 1). Involvement in a physical fight (3.7%) and homelessness (3.9%) were the least frequent antenatal stressors. A comparison between the fit indices for the two to six class LCA models (table 2) shows that the AIC, BIC and ssaBIC values progressively decrease with increasing number of classes. The LRT value becomes statistically significant ( $p < 0.0001$ ) for the three vs two class model. The entropy value is the highest (0.73) for the two-class model. Taking all the fit indices into consideration and based on the interpretation of the three-class model vs. the two and four-class models, the three-class model was chosen as the most preferred. The latent class profile plot is shown in Fig. 1.

Twenty two percent of the sample was in class 1 (figure 1), and they had the highest rates of endorsing each of the antenatal stressful events, with the exception of the illness and death related stressors. Having more than usual arguments with husband/partner (66%), moving to a new address (59%), and having a lot of bills that could not be paid (60%), had a particularly high prevalence in this class, which will henceforth be referred to as the multiple-stress class. Class 2 (13%) had high rates of endorsing sickness and hospitalization of a family member (77%) and death of someone

very close (63%), with relatively low endorsement rates for other SLEs. We labeled class 2 as the illness/death related-stress class. The majority (64%) of women were categorized into class 3 (figure 1); respondents in this class had the lowest probabilities of endorsing each of the stressful events, except separation/divorce and homelessness, which had a slightly higher prevalence in class 3, compared with class 2. Class 3 can therefore be considered as the low-stress class.

The mean number of stressful events, the proportion of women who experienced one or more stressful events, and the distribution of women into the three classes varied by socio-demographic characteristics (table 3). Compared with older women, a higher proportion of less than 25-year olds were in the multiple-stress class. More than 30% of non-Hispanic black and American Indians/Alaska Natives, compared to 18% of non-Hispanic whites and less than 10% of Asians/Pacific Islanders belonged to the multiple-stress class. Membership in multiple-stress class decreased with decreasing poverty levels. Nearly 36% of unmarried respondents were categorized in the multiple-stress class, compared with only 12% of those married. More than one-thirds of women whose health insurance plan for prenatal care was paid through Medicaid belonged to the multiple-stress class, compared with 11% whose insurance was paid from the work of herself, her husband/partner, or her parents. The proportion of respondents in the illness/death-related stress class was slightly higher among women in the lowest poverty, compared with those living in highest poverty

Multinomial multivariable logistic regression results (table 4) show that after adjusting for other socio-demographic correlates, women in the lower poverty groups had

lower odds of being in the multiple-stress class. Hispanics (aOR: 0.70; 95% CI: 0.59, 0.82) and Asians/Pacific Islanders (aOR: 0.40; 95% CI: 0.31, 0.52) had significantly lower odds, than the non-Hispanic whites, of being in the multiple-stress class. Unmarried women were nearly 2.5 times (aOR: 2.46; 95% CI: 2.20, 2.74) as likely as those married to be a member of multiple-stress class. Compared with non-Hispanic whites, Hispanics (aOR: 0.62; 95% CI: 0.49, 0.79) and Asians/Pacific Islanders (aOR: 0.39; 95% CI: 0.32, 0.48) were less likely, and American Indians/Alaska Natives were more likely (aOR: 1.57; 95% CI: 1.20, 2.07) to be in the illness/death related-stress class. Women whose prenatal health care insurance was through Medicaid, had significantly higher adjusted odds of being in the multiple- and illness/death related-stress classes, compared with those whose insurance plans was not through Medicaid.

The influence of the interaction of maternal race/ethnicity with FPL categories on the average number of SLEs experienced was also tested, and the results were statistically significant ( $p < 0.0001$ ; results not shown in table). The mean number of stressors was lower in the lowest poverty, than the highest poverty category for all the racial/ethnic groups, but the patterns varied (table 5). While the mean number of stressors experienced by non-Hispanic whites decreased uniformly with decreasing poverty levels, non-Hispanic blacks and Hispanics had a far more non-uniform and gradual decrease, except between the 301-400% and  $\geq 401\%$  FPL categories.

Figure 2 shows the distribution of the maternal health outcomes according to latent classes. For each of the outcomes, the prevalence was the lowest among women in the low-stress class, and the highest among women in the multiple-stress class. Although

the chi-square tests of independence were statistically significant for all the outcomes, the differences were most striking for severe nausea, vomiting or dehydration during pregnancy; preterm labor; and postpartum depression.

## Discussion

Nearly 70% of respondents in the PRAMS 2009–11 survey reported having experienced at least one stressful life event during the year prior to their most recent childbirth (not shown in table), which is similar to previous findings (Herrick, 2000; Whitehead et al., 2002). As observed by Lu & Chen (2004), we found that the mean number of events experienced during the 12 months before childbirth was significantly higher among non-Hispanic blacks (2.3) and American Indians/Alaska Natives (2.5), compared to non-Hispanic whites (1.7). In our study, the prevalence of the different antenatal stressful events ranged from 4% to 33%, which is comparable with the prevalence reported in a study on 2007–2010 PRAMS data from the state of Massachusetts (Stone et al., 2015). However, there are also some interesting differences; for example, the proportion (3.7%) of women who were involved in a physical fight in the nationwide PRAMS 2009–2011 sample was nearly twice that (2.0%) of those in the Massachusetts PRAMS 2007–2010 (Stone et al., 2015). State-wise differences in the prevalence of specific SLEs might account for that; in the PRAMS 2009–2011 dataset, the proportion of respondents involved in a physical fight, ranged from around 2% in Massachusetts, Utah and Wyoming, to 5.5% in Arkansas, and 7.2% in Mississippi.

Principal component analyses performed by Ahluwalia *et al.* grouped the thirteen stressful events into partner-related (more than usual arguments with husband/partner;



separation/divorce; partner not wanting the pregnancy), traumatic (involvement in a physical fight; the woman/partner going to jail; homelessness; drug/alcohol problem of someone very close), financial (moving to new address; having a lot of bills and unable to pay; loss of job of husband/partner; loss of job of the woman) and emotional (sickness of family member; death of someone close) stressors. Classification of antenatal SLEs into these four domains has been used in multiple studies (Burns et al., 2015; Brett et al., 2008; Stone et al., 2015). Instead of following this variable-centered approach, we used the latent class analysis method, which is respondent-centered (Muthén and Muthén, 2000). Although both of these approaches are data-driven, using LCA resulted in the classification of women into mutually exclusive categories, thereby enabling a comparison of the risk profiles between these categories and focus on the holistic experiences of the women. In contrast, one woman could have experienced more than one stress domain derived from the traditional approach. A comparison of the traditionally used stressor clusters with the stressors experienced by women in each latent class from our analyses (fig 1), revealed that women of the multiple-stress class had a high prevalence of stressors belonging to different categories. In other words, those who experience the so-called traumatic stressors, are not necessarily less vulnerable to the partner-related or financial ones. This suggests that any intervention that is directed towards prevention of or mitigation of the adverse consequences of one type of stressor might be insufficient. Rather, stressors need to be addressed as a whole.

Based on nationwide PRAMS data of the year 2010, the lowest prevalence of all SLE constructs (women experiencing at least one event of a particular category was defined as experiencing the construct) was among women who were married, were aged

30 years or more, had 16 years of education or higher, and were Asians/Pacific Islanders (Burns et al., 2015). Somewhat similar trends were observed in our analysis. Seventy one percent to 79% of women in the above 25-year age groups were classified in the low-stress group, compared with 56% to 61% of younger women. Eighty five percent of Asians/Pacific Islanders were classified in the low-stress category, compared with 71% of non-Hispanic whites, 59% of non-Hispanic blacks and 55% of American Indians/Alaska Natives. Among married women, 78% were grouped into the low-stress class, compared with 56% of unmarried. Previous research has shown a positive association between being married and having better health status, which has been hypothesized to be due to the protective effects of care and support and selection factors (i.e. individuals with good health have higher probability of getting married) (Schoenborn, 2004; Verbrugge, 1979; Hu and Goldman, 1990).

An analysis of nationwide PRAMS 2000 data (Lu and Chen, 2004), which adjusted for age, education and marital status, but not income, found that non-Hispanic blacks and Hispanics had a higher likelihood of experiencing different kinds of stress. In the current study, Asians/Pacific Islanders were less likely to be in the multiple-stress class both with and without adjusting for covariates. A greater degree of social and family support may account for this. A matter of concern, and something which future research should try to address, is whether the low likelihood of Asians/Pacific Islanders to be in the multiple-stress class is attributable to an under-reporting of SLEs, especially those that were partner-related, including having more than usual arguments, partner's non-intention towards the pregnancy, and physical fight, as suggested by some prior research. For example, an analysis of data collected from Asian American participants in

the National Latino and Asian American Study (NLAAS) found that a higher proportion of men admitted perpetrating physical violence than women reporting to be a victim (Chang et al., 2009). It is interesting to note that while the unadjusted prevalence of being in the multiple-stress class was higher among Hispanics compared to non-Hispanic whites, the direction of association reversed after controlling for covariates, which might suggest that income disparities accounted for much of the unadjusted racial/ethnic disparities in women's membership into the multiple-stress class. This is supported by the finding that even after adjusting for other covariates, there is an increasing likelihood of membership in the multiple-stress class with increasing poverty. The role of income is not surprising because financial stressors, which were likely to be directly correlated with income, were highly prevalent in the multiple-stress class. Our findings are similar to Whitehead et al. (2002) who reported that women of lower SES had a higher likelihood of experiencing life events just before or during pregnancy. The association of low SES with higher levels of different forms of stress has previously been noted (Dunkel Schetter et al., 2013). Our observation that the decrease in mean number of stressful events by FPL was greater and more uniform among the non-Hispanic whites, than among the non-Hispanic blacks and Hispanics is comparable with that of Dunkel Schetter et al. (2013), who reported that higher income was more strongly associated with lower levels of major life events for non-Hispanic whites compared with non-Hispanic blacks and Hispanics. This suggests that additional unmeasured factors, such as discrimination, that affect the non-Hispanic whites to a lesser extent, can override the influence of income on SLEs among non-Hispanic blacks and Hispanics. Although we lacked information to account for experiences of discrimination or racism, it is interesting that women receiving health

insurance through Medicaid were approximately 1.5 times as likely to be in the multiple-, and the illness/death related-stress classes, even after adjusting for other characteristics, including the poverty levels. Future research needs to explore whether this can be attributed to a higher degree of perceived or experienced racial discrimination among Medicaid recipients. Including a discrimination related question for all the participating PRAMS states will facilitate this research.

The limited research on the impact of maternal antenatal stressful events has mostly focused on the relationship between these events and postpartum depression (Stone et al., 2015; Liu et al., 2016), although none of these studies subdivided women into mutually exclusive groups based on their similar SLE experiences. Our results suggest that the prevalence of severe nausea, vomiting and dehydration; preterm labor, as well as, postpartum depression were the highest in the multiple-stress group. A retrospective cohort study in Israel reported that life-threatening stressful events, in the form of daily missile attacks continuing for a protracted period, was associated with preterm deliveries and premature rupture of membranes (Keren et al., 2015). Although the stressors examined in our study were different from that investigated by Keren et al., we observed that women in the multiple-, as well as the illness/death related-stress classes, were more likely to experience preterm labor and premature rupture of membranes, with the differences being particularly substantial for preterm labor. A U.S. study found that 15-19 year old women who had experienced pre-conception SLEs, had a four times risk of having preterm birth (Witt et al. 2014), and this effect diminished with increasing age. This, together with our finding, suggests that pre-conceptual and antepartum stressors are associated with preterm birth. While we were unable to find

previous research that have focused specifically on the association between major life events and severe nausea/vomiting of pregnancy, it is not difficult to imagine that women experiencing various SLEs are more likely to be in psychological distress, which has been hypothesized to be in the causal pathway of hyperemesis gravidarum (Tan et al., 2014).

This study has a number of limitations. The questions on antenatal SLE ask about the 12 months before the birth of the new baby, so a woman might have reported an event that occurred during the year before childbirth, but within the 2-3 months before she got pregnant. Not all states participate in PRAMS, and even among the ones that do, data are released only for states meeting the 65% threshold response rate. We had data from 31 states and New York City. Hence, our results may not be generalizable to women in the entire U.S. Also, our results are not directly comparable with previous studies that have looked at antenatal SLE experiences, because our focus was on the groups of women experiencing similar SLEs, whereas in most studies the emphasis has been on the correlates of SLE clusters. The cross-sectional nature of our analysis precludes any causal inference. Lastly, it should be kept in mind that a stressful event, such as moving to a new address might not necessarily be a negative or an undesirable event for the woman.

This study used recent data from a nationwide representative sample of women. To our knowledge, this is the first study using an LCA approach to explore how women with similar SLE experiences are grouped together, and differentiated from other such groups. This study has important public health implications. The results of our study, as well as the traditionally used SLE clusters, provide valuable, complementary, and

different insights into pregnant women's SLE experiences. While the commonly used principal component analysis focuses on the stressors, the latent class analysis used in this study shows the severity of the problem from the women's perspective. Our results suggest that women may be vulnerable to experiencing multiple types of SLEs, which need to be addressed as a whole. Being in the multiple-stress class appears to be common— with more than one out of every five women being in this class. Women who were unmarried, younger, and were in higher poverty, were particularly vulnerable. Even women with lower levels of risk factors for multiple-stress can still be at a risk for illness/death related stress. A higher likelihood of being categorized in the multiple- and illness/death related-stress classes among the Medicaid insured, coupled with a race/ethnicity-based differential decrease in the average number of antenatal stressful events with decreasing poverty, points towards a potential role of perceived discrimination, overriding the benefits of health insurance and higher income, an aspect that caregivers need to be mindful of. The progressively increasing proportion of women experiencing severe nausea/vomiting; preterm labor; and postpartum depression from the low-, to the illness/death related-, to the multiple-stress classes suggest the importance of an antenatal care-giver being vigilant about the antenatal stressful life event experiences of their patients; and recommending the multiple-stress group for comprehensive psychological care and support if necessary, in order to mitigate the adverse outcomes. Our results also indicate that not only should these stressful events be explored during routine prenatal care, but the relative vulnerabilities of racial/ethnic groups should be taken into consideration.

## Conclusions

That 1 out of every 5 and 1 out of every 8 women were in the high- and emotional-stress classes suggest that SLEs are common among pregnant women. Together with a growing emphasis on the screening for perinatal mental health issues, knowledge of antenatal stressors and the relative vulnerabilities of different racial/ethnic groups might be highly effective in identifying women at-risk of experiencing perinatal stressors, and increase their chances of getting the necessary support and intervention, thereby preventing adverse maternal and infant health consequences, such as preterm birth, low birth weight and postpartum depression.

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## Tables and Figures

Table 1 Descriptive statistics of the stressful life event (SLE) experiences during the 12 months prior to birth of new baby (N=115,704<sup>a</sup>) among women in the U.S. who have had a recent live birth (PRAMS, 2009–11)

Stressful life event (SLE) item	Experienced during the 12 months prior to birth of new baby	
	Yes (%) <sup>b</sup>	No (%) <sup>b</sup>
1. She moved to a new address	39,601 (33.1)	75,848 (66.9)
2. She argued with her husband or partner more than usual	28,530 (24.0)	86,660 (76.0)
3. A close family member was very sick and had to go into the hospital	26,727 (22.5)	88,561 (77.5)
4. She had a lot of bills she couldn't pay	26,456 (21.9)	88,740 (78.1)
5. Someone very close to her died	20,550 (16.9)	94,732 (83.1)
6. Her husband or partner lost his job	16,396 (14.3)	98,580 (85.7)
7. Someone very close to her had a problem with drinking or drugs	15,473 (12.2)	99,858 (87.8)
8. She lost her job even though she wanted to go on working	12,867 (11.2)	102,015 (88.8)
9. Her husband or partner said he didn't want her to be pregnant	9,488 (8.0)	105,729 (92.0)
10. She got separated or divorced from her husband or partner	9,640 (7.9)	105,694 (92.1)
11. Her husband or partner or she went to jail	5,420 (4.1)	109,845 (95.9)
12. She was homeless	4,524 (3.9)	110,610 (96.1)
13. She was in a physical fight	4,758 (3.7)	110,477 (96.3)

<sup>a</sup>: Missing values (%) for the SLE items: 1: 255 (0.2); 2: 514 (0.4); 3: 416 (0.4); 4: 373 (0.3); 5: 422 (0.4); 6: 728 (0.6); 7: 373 (0.3); 8: 822 (0.7); 9: 487 (0.4); 10: 370 (0.3); 11: 439 (0.4); 12: 570 (0.5); 13: 469 (0.4)

<sup>b</sup>: Weighted percentages out of the total number of women who responded to that particular item

Table 2: Fit indices for latent class analysis of the antenatal stressful life event (SLE) items among women in the U.S. who have had a recent live birth (PRAMS, 2009–11)

	Log likelihood	AIC	BIC	ssaBIC	LRT	Entropy
2-class	-524,092.711	1,048,239.422	1,048,500.209	1,048,414.402	75,667.874	0.732
<i>P</i>					0.3290	
3-class	-518,376.855	1,036,835.711	1,037,231.721	1,037,101.422	11,362.100	0.711
<i>P</i>					<0.0001	
4-class	-514,955.077	1,030,020.155	1,030,551.388	1,030,376.596	6,801.884	0.657
<i>P</i>					0.1234	
5-class	-513,306.392	1,026,750.785	1,027,417.241	1,027,197.957	3,277.291	0.672
<i>P</i>					0.2645	
6-class	-512,437.446	1,025,040.893	1,025,842.572	1,025,578.795	1,727.310	0.663
<i>P</i>					0.5620	

AIC: Akaike Information Criterion; BIC: Bayesian Information Criterion; ssaBIC: sample size adjusted Bayesian Information Criterion; LRT: Lo-Mendell-Rubin likelihood ratio test; BS-LRT: Bootstrap likelihood ratio test

Table 3: Distribution of stressful life-events and the latent classes of stressful life-events among women in the U.S., who have had a recent live birth (PRAMS, 2009–11), by socio-demographic correlates (N=115,704)<sup>a</sup>

Variables	Total (%) <sup>b</sup>	Antenatal stressful life-events (SLEs)				
		Mean no. of SLE (SE)	≥ 1 SLE % (95% CI) <sup>c</sup>	Class 1 Multiplestress % (95% CI) <sup>d</sup>	Class 2 illness/death related-stress % (95% CI) <sup>d</sup>	Class 3 Low-stress % (95% CI) <sup>d</sup>
<b>Age in years<sup>e</sup></b>						
Less than 17	3,262 (2.9)	2.05 (0.08)	78.6 (75.8, 81.4)	28.0 (25.0, 30.9)	11.2 (9.1, 13.3)	60.9 (57.6, 64.1)
18-19	7,423 (6.3)	2.47 (0.05)	80.1 (78.3, 81.9)	34.1 (32.1, 36.2)	9.5 (8.3, 10.7)	56.3 (54.2, 58.4)
20-24	26,751 (22.7)	2.41 (0.03)	81.0 (80.1, 81.9)	33.2 (32.1, 34.2)	9.0 (8.4, 9.6)	57.8 (56.7, 58.9)
25-29	32,676 (29.0)	1.69 (0.02)	69.4 (68.5, 70.3)	19.8 (19.0, 20.6)	8.8 (8.3, 9.3)	71.4 (70.6, 72.3)
30-34	27,623 (24.9)	1.39 (0.02)	63.4 (62.4, 64.3)	13.9 (13.2, 14.6)	9.5 (9.0, 10.1)	76.6 (75.7, 77.4)
35-39	14,270 (11.4)	1.23 (0.02)	60.9 (59.5, 62.2)	12.2 (11.3, 13.2)	8.9 (8.1, 9.7)	78.9 (77.7, 80.0)
40 and above	3,693 (2.8)	1.33 (0.06)	61.3 (58.4, 64.2)	14.0 (12.0, 15.9)	8.8 (7.0, 10.5)	77.2 (74.8, 79.7)
<b>Maternal race/ethnicity<sup>e</sup></b>						
Non-Hispanic white	58,355 (58.3)	1.69 (0.01)	68.6 (68.0, 69.2)	18.4 (17.9, 18.9)	10.4 (10.0, 10.8)	71.2 (70.6, 71.7)
Non-Hispanic black	17,351 (13.5)	2.29 (0.03)	76.9 (75.7, 78.0)	31.9 (30.7, 33.1)	8.8 (8.1, 9.5)	59.3 (58.1, 60.6)
Hispanic	17,204 (20.2)	1.80 (0.03)	73.5 (72.2, 74.8)	25.2 (23.9, 26.5)	6.4 (5.7, 7.1)	68.4 (67.0, 70.0)
Asian/Pacific	9,333 (4.9)	1.03 (0.03)	55.9 (54.1, 57.7)	9.6 (8.6, 10.6)	5.3 (4.5, 6.1)	85.1 (83.8, 86.4)
<b>Islander</b>						
American-Indian or Alaska Native	3,543 (0.8)	2.51 (0.07)	78.9 (75.7, 82.0)	32.6 (29.4, 35.9)	12.3 (10.0, 14.5)	55.1 (51.6, 58.6)
<b>mixed race</b>						
<b>Federal Poverty Level (FPL)</b>						
≤ 100%	37,858 (34.6)	2.50 (0.02)	80.4 (79.6, 81.1)	36.3 (35.3, 37.2)	7.9 (7.4, 8.4)	55.8 (54.9, 56.7)
101-200%	20,458 (18.7)	2.02 (0.03)	76.3 (75.3, 77.3)	26.0 (24.9, 27.1)	9.1 (8.4, 9.8)	64.8 (63.7, 66.0)
201-300%	12,598 (11.2)	1.73 (0.03)	72.5 (71.2, 73.8)	18.7 (17.5, 18.9)	10.6(9.7, 11.5)	70.7 (69.3, 72.0)
301-400%	2,848 (2.2)	1.45 (0.06)	64.9 (61.9, 67.8)	15.0 (12.5, 17.6)	10.0 (8.3, 11.8)	75.0 (72.1, 77.8)
≥ 401%	32,433 (33.2)	1.00 (0.01)	56.7 (55.9, 57.5)	5.5 (5.0, 5.9)	10.3 (9.8, 10.8)	84.3 (83.6, 84.9)

<b>Maternal education<sup>e</sup></b>						
<12 yrs. (age: <18 yrs)	2,254 (2.0)	1.91 (0.07)	77.2 (73.9, 80.6)	26.0 (22.7, 29.3)	11.9 (9.3, 14.6)	62.1 (58.3, 65.9)
<12 yrs. (age: ≥ 18 yrs)	16,626 (15.5)	2.05 (0.03)	74.5 (73.2, 75.8)	29.6 (28.2, 30.9)	7.0 (6.3, 7.7)	63.4 (62.0, 64.8)
12-15 yrs. (age: < 22 yrs)	11,455 (9.6)	2.50 (0.04)	81.9 (80.6, 83.2)	34.6 (33.0, 36.2)	10.0 (9.0, 10.9)	55.4 (53.7, 57.1)
12-15 yrs. (age: ≥ 22 yrs)	50,089 (42.6)	1.99 (0.02)	74.4 (73.7, 75.0)	25.2 (24.5, 25.8)	9.6 (9.1, 10.0)	65.3 (64.5, 66.0)
≥ 16 yrs	33,923 (30.3)	1.09 (0.01)	58.3 (57.5, 59.1)	7.7 (7.2, 8.2)	9.3 (8.9, 9.8)	82.9 (82.3, 83.6)
<b>Marital status<sup>e</sup></b>						
Married at the time of survey	69,129 (60.5)	1.33 (0.01)	63.4 (62.8, 64.0)	12.0 (11.6, 12.5)	9.6 (9.2, 9.9)	78.4 (77.9, 78.9)
Unmarried	46,487 (39.5)	2.46 (0.02)	80.8 (80.1, 81.5)	35.9 (35.1, 36.7)	8.5 (8.1, 9.0)	55.5 (54.7, 56.4)
<b>Health insurance plan for prenatal care<sup>f</sup></b>						
From job of herself or that of husband/partner, or parents <sup>e</sup>	55,138 (49.1)	1.30 (0.01)	62.8 (62.2, 63.5)	11.2 (10.8, 11.7)	10.2 (9.8, 10.6)	78.5 (78.0, 79.1)
Paid by her or someone else (not from a job)	3,613 (3.1)	1.61 (0.05) <sup>g</sup>	68.0 (65.4, 70.5)	17.4 (15.2, 19.5) <sup>h</sup>	10.1 (8.5, 11.8)	72.5 (70.0, 75.0)
From Medicaid <sup>e</sup>	51,084 (42.9)	2.41 (0.02)	79.9 (79.2, 80.5)	34.2 (33.4, 34.9)	8.7 (8.2, 9.1)	57.1 (56.3, 57.9)
From Tricare or other military health care	3,495 (2.5)	1.73 (0.06)	75.5 (72.8, 78.2) <sup>i</sup>	17.5 (14.9, 20.1) <sup>h</sup>	11.0 (9.2, 12.8)	71.5 (68.6, 74.4)
From any other source	4,027 (4.9)	1.88 (0.06)	74.3 (71.7, 77.0) <sup>j</sup>	26.3 (23.8, 28.8) <sup>k</sup>	6.2 (5.0, 7.3)	67.5 (64.9, 70.2)

<sup>a</sup>: Missing values (%) for the socio-demographic correlates: maternal age: 6 (0.0); maternal race/ethnicity: 5892 (5.1); FPL: 9,509 (8.2); maternal education: 1357; marital status: 88 (0.1); insurance from job of herself, husband/partner, or parents: 1,130 (1.0); insurance paid by her or someone else (not from a job): 1,162 (1.0); insurance from Medicaid: 1,216 (1.1); insurance from Tricare or other military health care: 1,126 (1.0); insurance from any other source: 1,107 (1.0)

- <sup>b</sup>: Among the total number of respondents with non-missing responses to the socio-demographic correlate, weighted percentage of the no. of respondents within that category
- <sup>c</sup>: Among the total number of respondents in each category, proportion (weighted % and 95% confidence interval [CI]) experiencing  $\geq 1$  antenatal SLE
- <sup>d</sup>: Among the total number of respondents in each category, proportion (weighted % and 95% confidence interval [CI]) in each class
- <sup>e</sup>: Chi-square  $p < 0.0001$  for relationship of selected maternal demographic correlate with prevalence of the latent SLE classes; chi-square  $p < 0.0001$  for relationship of selected maternal demographic correlate with prevalence with the prevalence of women with one or more SLE;  $p < 0.0001$  for difference in mean by analysis of variance
- <sup>f</sup>: Respondents may have checked more than one option, so the sum of the percentages may exceed 100.0; values and percentages are only for those who responded as “yes” to each type of insurance
- <sup>g</sup>:  $p < 0.01$  for difference in mean between those who reported having the said health insurance plan, and those who did not
- <sup>h</sup>: Chi-square  $p < 0.01$  for the relationship of having health insurance from this source with prevalence of the latent SLE classes
- <sup>i</sup>: Chi-square  $p < 0.001$  for the relationship of having insurance from this source with the prevalence of women with one or more SLE
- <sup>j</sup>: Chi-square  $p < 0.01$  for the relationship of having insurance from this source with the prevalence of women with one or more SLE
- <sup>k</sup>: Chi-square  $p < 0.0001$  for the relationship of having health insurance from this source with prevalence of the latent SLE classes



Table 4: Multinomial logistic regression results of socio-demographic correlates within latent classes among women in the U.S. who have had a recent live birth (PRAMS, 2009–11) (N=98,567)

Variables	Antenatal stressful life events (SLEs)			
	Class 1*		Class 2*	
	Multiple-stress		Illness/death related-stress	
	aOR (95% CI) <sup>a</sup>	P value	aOR (95% CI) <sup>a</sup>	P value
<b>Age in years</b>				
Less than 17	Ref		Ref	
18-19	1.32 (0.93, 1.86)	0.12	0.92 (0.56, 1.52)	0.75
20-24	1.59 (1.12, 2.24)	0.01	0.80 (0.50, 1.29)	0.37
25-29	1.30 (0.93, 1.80)	0.13	0.68 (0.41, 1.12)	0.13
30-34	1.19 (0.83, 1.69)	0.34	0.72 (0.45, 1.18)	0.19
35-39	1.07 (0.74, 1.53)	0.73	0.63 (0.36, 1.09)	0.10
40 and above	1.13 (0.75, 1.70)	0.57	0.63 (0.35, 1.13)	0.12
<b>Maternal race/ethnicity</b>				
Non-Hispanic white	Ref		Ref	
Non-Hispanic black	0.94 (0.80, 1.11)	0.48	0.92 (0.77, 1.10)	0.38
Hispanic	0.70 (0.59, 0.82)	<0.001	0.62 (0.49, 0.79)	<0.001
Asian/Pacific Islander	0.40 (0.31, 0.52)	<0.001	0.39 (0.32, 0.48)	<0.001
American-Indian or Alaska Native	1.12 (0.88, 1.43)	0.36	1.57 (1.20, 2.07)	0.001
Non-Hispanic other	0.48 (0.32, 0.72)	<0.001	0.57 (0.30, 1.09)	0.09
Non-Hispanic mixed race	1.36 (1.06, 1.76)	0.02	1.03 (0.74, 1.45)	0.85
<b>Federal Poverty Level (FPL)</b>				
≤ 100%	Ref		Ref	
101-200%	0.73 (0.66, 0.80)	<0.001	1.05 (0.91, 1.21)	0.52
201-300%	0.54 (0.48, 0.62)	<0.001	1.22 (1.02, 1.47)	0.03
301-400%	0.55 (0.39, 0.78)	0.001	1.17 (0.84, 1.62)	0.36
≥ 401%	0.13 (0.10, 0.16)	<0.001	1.15 (0.97, 1.37)	0.10
<b>Maternal education</b>				
<12 yrs. (age: <18 yrs)	Ref		Ref	
<12 yrs. (age: ≥ 18 yrs)	1.10 (0.77, 1.57)	0.59	0.61 (0.30, 1.24)	0.17
12-15 yrs. (age: < 22 yrs)	1.34 (0.93, 1.94)	0.12	0.89 (0.46, 1.73)	0.73
12-15 yrs. (age: ≥ 22 yrs)	1.48 (1.03, 2.13)	0.04	0.80 (0.42, 1.50)	0.48

≥ 16 yrs	0.96 (0.62, 1.49)	0.87	0.62 (0.31, 1.22)	0.16
<b>Marital status</b>				
Married at the time of survey	Ref		Ref	
Unmarried	2.46 (2.20, 2.74)	<0.001	1.12 (0.96, 1.30)	0.16
<b>Health insurance plan for prenatal care</b>				
From job of herself; that of husband/partner, or parents (Ref: No)	0.96 (0.83, 1.11)	0.54	1.26 (1.04, 1.53)	0.02
Paid by her or someone else, but not from a job (Ref: No)	0.84 (0.65, 1.08)	0.16	1.17 (0.88, 1.56)	0.27
From Medicaid (Ref: No)	1.72 (1.44, 2.04)	<0.001	1.36 (1.11, 1.66)	0.003
From Tricare or other military health care (Ref: No)	1.35 (0.97, 1.87)	0.07	1.27 (0.88, 1.82)	0.19
From any other source (Ref: No)	1.06 (0.90, 1.24)	0.48	0.68 (0.47, 0.99)	0.04

\*: Class 3 was the reference category for other latent classes

Table 5: Distribution of the mean (and standard error) of the number of stressful life events, by race/ethnicity and federal poverty level (FPL) categories, among women in the U.S. who have had a recent live birth (PRAMS, 2009–11)<sup>a</sup>

Federal Poverty Level (FPL)	Non-Hispanic white (n=55,483)	Non-Hispanic black (n=15,654)	Hispanic (n=14,912)	Asian/Pacific Islander (n=7,825)	American-Indian or Alaska Native (n=3,251)	Non-Hispanic other (n=829)	Non-Hispanic mixed race (n=2,948)
≤ 100%	2.83 (0.04)	2.59 (0.05)	1.93 (0.05)	1.33 (0.10)	2.75 (0.13)	1.74 (0.24)	3.06 (0.13)
101-200%	2.06 (0.03)	2.27 (0.07)	1.74 (0.07)	1.23 (0.07)	2.55 (0.16)	1.32 (0.10)	2.11 (0.12)
201-300%	1.66 (0.03)	2.07 (0.09)	1.84 (0.11)	1.25 (0.10)	2.15 (0.16)	1.65 (0.15)	1.49 (0.15)
301-400%	1.26 (0.06)	1.97 (0.12)	2.39 (0.38)	1.07 (0.09)	1.75 (0.58)	0.83 (0.20)	1.37 (0.18)
≥ 401%	0.97 (0.01)	1.38 (0.06)	1.14 (0.06)	0.71 (0.04)	1.19 (0.08)	0.79 (0.08)	1.20 (0.10)

<sup>a</sup>: For each racial/ethnic group, the respondents with non-missing responses to FPL and those with valid response to at least one of the SLEs, were included in these analyses

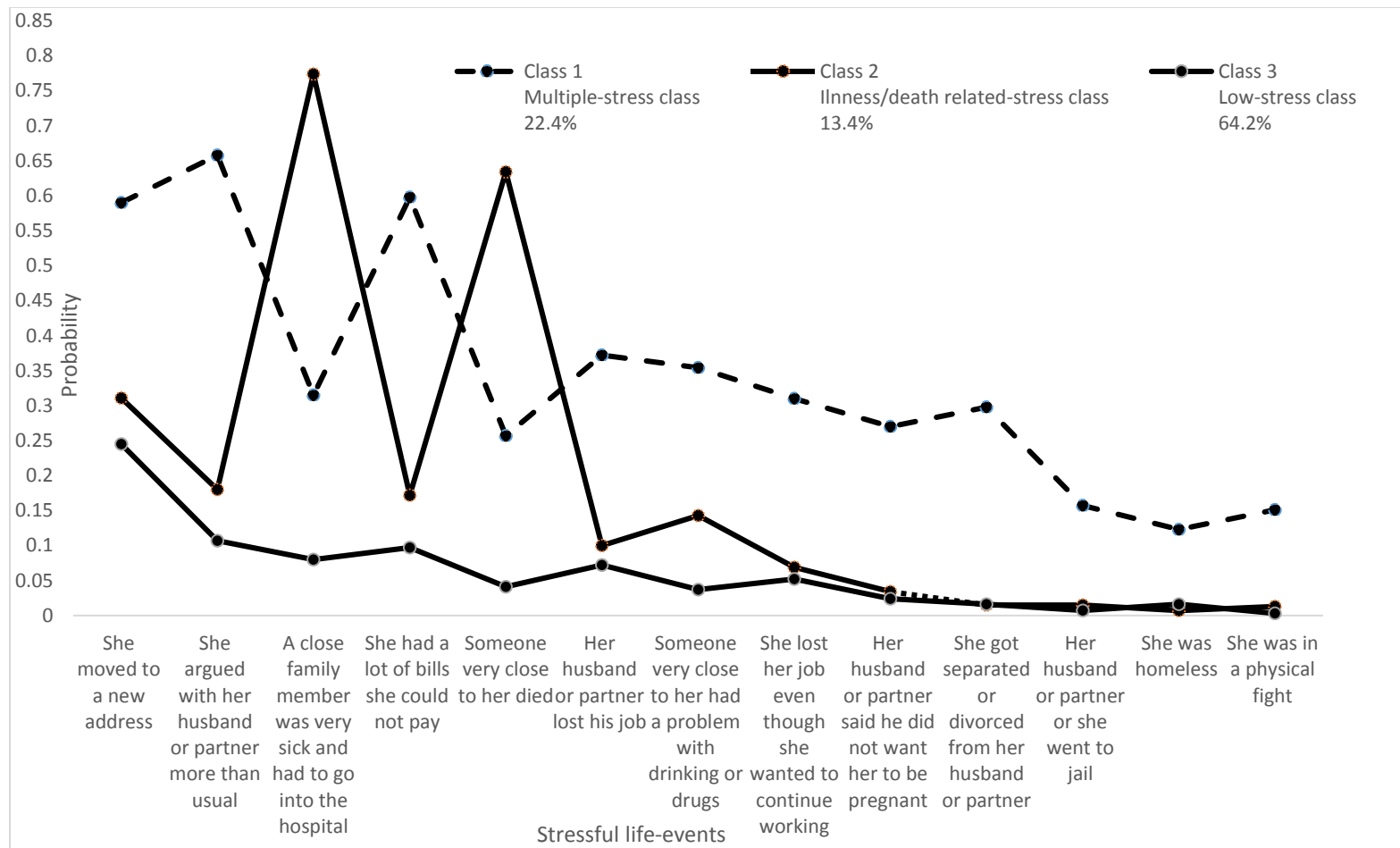


Figure 1: Latent class analysis profile plot; X-axis represents the antenatal stressful life events experienced by women in the U.S. who have had a recent live birth (PRAMS, 2009–11); Y-axis represents the probabilities of these events

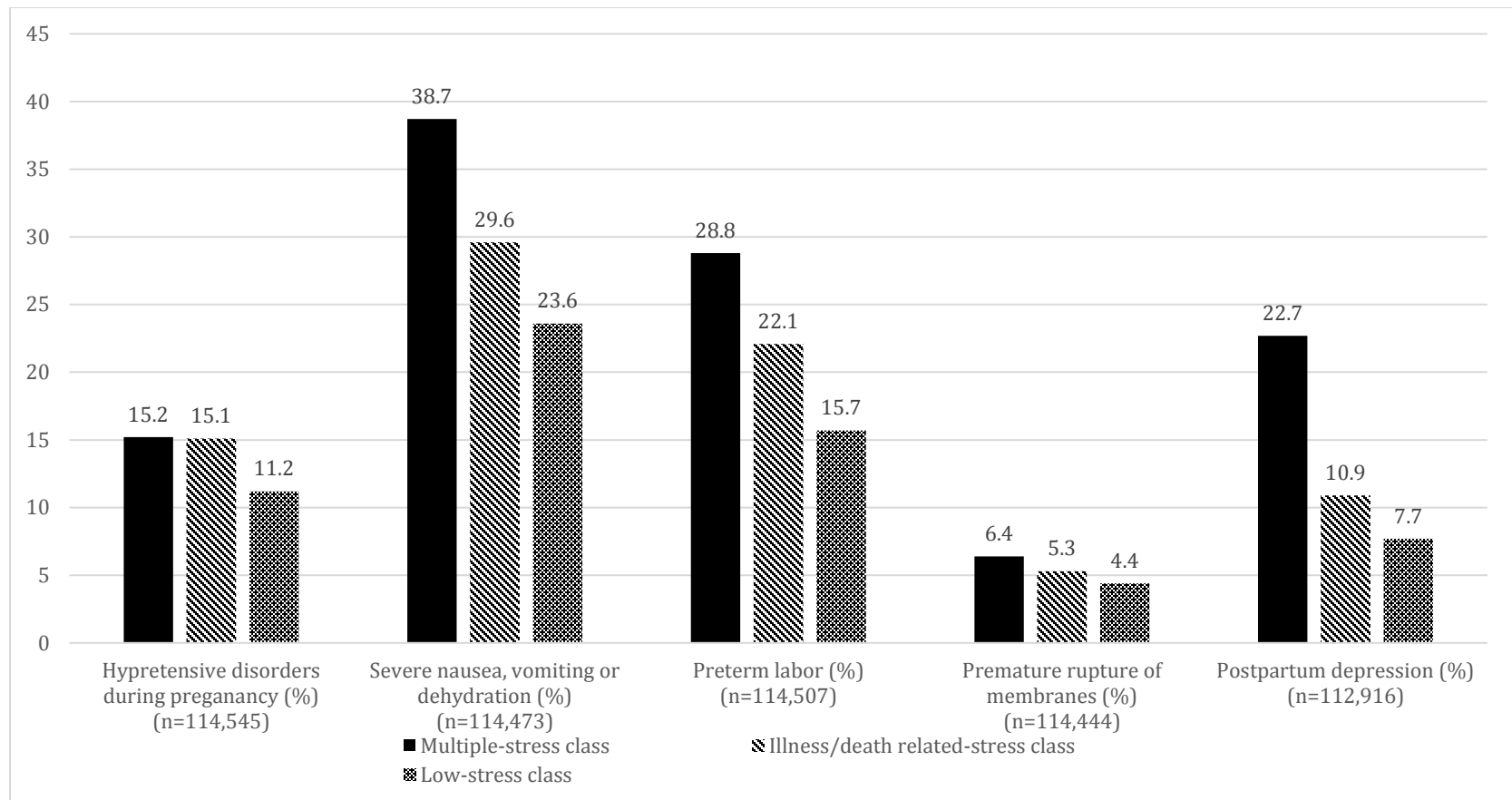


Figure 2: Distribution of maternal health outcomes according to the latent class of antenatal stressful life event, PRAMS 2009-11

## MANUSCRIPT 2

Antenatal stressful life events and postpartum depression in the United States: the role of women's socio-economic status indices at the state-level

### Abstract

**Objectives:** Approximately 10-20% of women suffer from postpartum depression (PPD); important predictors of which are antenatal stressful life event experiences (SLEs). The association between women's state-level socio-economic status (SES) and PPD has not been explored. This study aimed to examine whether the association between antenatal SLE and PPD was moderated by women's state-level SES. **Methods:** Data from the 2009–11 Pregnancy Risk Assessment Monitoring System (PRAMS) were used. State-level women's employment/earnings, and social/economic autonomy indices were computed from indicators published by the Institute of Women's Policy Research (IWPR). Multilevel multivariable logistic regression analyses were performed. **Results:** Among 91,253 women with valid responses, 11.3% had PPD symptoms; prevalence ranging from 7.1% in Illinois to 17.1% in Arkansas. Women who experienced all four stressor categories, including partner-related, traumatic, emotional, and financial, had the highest odds (adjusted odds ratio [aOR]: 5.43; 95% confidence interval [CI]: 5.36, 5.51) of PPD. The risk of PPD decreased with an increase in the state-level social/economic autonomy index (aOR: 0.75; 95% CI: 0.64, 0.88). There was significant cross-level interaction between number of stressor categories experienced and state-level index. **Conclusions:** Screening for antenatal SLEs can help identify women at risk for PPD.

That the odds of PPD decreased with increasing state-level social/economic autonomy and that women residing in states with lower indices were more vulnerable to the impacts of antenatal stressors, could have policy implications related to improving the SES of women in these states.

## Introduction

Postpartum depression (PPD) refers to feelings of severe sadness, anxiety, or despair leading to impairment in daily activities, which commonly begins about 1-3 weeks after childbirth and can occur up to one year after childbirth. PPD affects 10-20% of postpartum women (O'Hara & Swain, 1996; CDC, 2008) with implications on maternal and child wellbeing (Cooper & Murray, 1998; Beck, 1998; Tse et al. 2010). Risk factors of PPD include antenatal depression and anxiety (O'Hara & Swain, 1996; Robertson et al., 2004; Beck, 2001), unplanned pregnancy (Beck, 2001), history of previous depression (Robertson et al., 2004; Beck, 2001), complications during pregnancy and childbirth (Robertson et al., 2004), having operative or assisted delivery (Robertson et al., 2004), relationship problems (O'Hara & Swain, 1996; Robertson et al., 2004), low social support (O'Hara & Swain, 1996; Robertson et al., 2004), low socioeconomic status (SES) (O'Hara & Swain, 1996; Robertson et al., 2004), and adverse neonatal outcomes such as preterm birth and low birthweight (Vigod et al., 2010). Experiencing stressful life-events (SLEs) during pregnancy is an important risk factor of PPD (O'Hara & Swain, 1996; CDC, 2008; Robertson et al., 2004; Beck, 2001). Among women in New York City, those who experienced six or more stressful events during the

12 months before delivery, had three times the odds of having a PPD diagnosis, compared with those who did not experience any stressful event (Liu & Tronick, 2013). In Massachusetts, women reporting one or more antenatal stressors had a significantly higher prevalence of PPD symptoms (Stone et al., 2015).

In addition to the commonly explored individual risk-factors, contextual factors might play a role in PPD. A multiple determinants framework for perinatal health includes proximal risk factors, which are biomedical and behavioral responses to distal risk factors, such as the woman's physical, economic, social and political environment (Misra et al., 2003). As the state has increasingly become the unit to legislate, fund and implement policies and programs in the United States (U.S.), states with policies favoring gender equality in social-economic, political and reproductive rights can encourage an environment that is friendlier towards the women and their family (Daniels, 1997; Chen et al., 2005). The high status of women in the society can favorably influence their mental health by providing higher wages, better standard of living, health insurance, and state funding for reproductive and child health care (Chen et al., 2005). The importance of state-level women's status has been examined in the context of violence against married women (Yllö, 1984), global and cause-specific mortality rates among women and men (Kawachi et al., 1999), and low birthweight, teen pregnancy and infant and teen mortality (Koenen et al., 2006). Women's SES at the state-level has been significantly linked to depressive symptoms in general (Chen et al., 2005), with lower depression scores among women residing in higher-ranked states.



Population-based multi-state studies focusing primarily on the relationship between maternal antenatal SLE and PPD are rare. Moreover, women's state-level SES, and its interaction with antenatal stress has not been considered in the context of PPD. The purpose of this study was to examine the association between antenatal SLEs and PPD, among women in the U.S. who have had a recent live birth and to explore whether state-level SES moderated the relationship between antenatal SLE and PPD.

## Methods

### Dataset and study subjects

This study used data for the years 2009–11, collected by the Pregnancy Risk Assessment Monitoring System (PRAMS), a surveillance project of the Centers for Disease Control and Prevention (CDC) and state health departments. A stratified systematic sample of 100 to 250 new mothers is drawn every month from a sampling frame of eligible birth certificates (CDC, 2015). Women from some groups, such as those having low weight births, are oversampled to ensure adequate data availability from smaller but higher-risk populations. Many states also stratify by maternal race/ethnicity. Sampling, nonresponse, and noncoverage weights are multiplied together to yield the analysis weight, which can be interpreted as the number of women like herself in the population that each respondent represents. The standardized data collection methodology enables between-state comparisons and optimal data use for single-state or multi-state analyses (CDC, 2015). The survey is conducted by mailed questionnaires with telephone follow-ups for the non-respondents, and the responses are linked to extracted

birth certificate variables. Mailings start 2 to 4 months after delivery. Topics include barriers to and content of prenatal care, obstetric history, physical abuse, contraception, economic status, maternal stress, and early infant development and health status (CDC, 2009). Phase 6 PRAMS data were available for 31 states, including Alaska, Arkansas, Colorado, Delaware, Georgia, Hawaii, Illinois, Massachusetts, Maryland, Maine, Michigan, Minnesota, Missouri, Mississippi, Nebraska, New Jersey, New Mexico, New York, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, Tennessee, Texas, Utah, Vermont, Washington, Wisconsin, West Virginia, and Wyoming, and New York City. Race/ethnicity information were not available for the respondents from Vermont, which was therefore excluded from our analyses.

#### Variables

PPD, the outcome of interest, was assessed by a woman's responses to whether she felt the following since her new baby was born: 1. down, depressed or sad; 2. hopeless; or 3. slowed down. Depending on their responses ranging from "never" to "always" to each question, the total score ranged from 3-15. As recommended by the CDC, any woman with a score of 10 or higher was categorized as having PPD, and the rest were considered to not have PPD. When data was available for only two or one question(s), the cut-offs were 7 and 4 respectively (Guidelines for Analyzing Phase 6 Core Depression Question, unpublished report, 2012).

The exposure variable was antenatal SLE, assessed by whether (yes/no) each of the following events happened to a woman during the 12 months immediately prior to the birth of her new baby: 1. A close family member was very sick and had to go to the

hospital; 2. She got separated or divorced from her husband or partner; 3. She moved to a new address; 4. She was homeless; 5. Her husband/partner lost his job; 6. She lost her job although she wanted to continue working; 7. She argued with her husband/partner more than usual; 8. Her husband/partner did not want her to be pregnant; 9. She had a lot of bills that she could not pay; 10. She was involved in a physical fight; 11. Her husband/partner or she herself went to jail; 12. Someone very close to her had a problem with drinking or drugs; 13. Someone very close to her died (CDC, 2009). Based on previous research (Maryland, 2008; Ahluwalia et al., 2001), women were classified as whether or not they experienced each of the following categories (“yes” to at least one item of that category vs. “no” to all items of that category) of stressors: traumatic (question numbers 4, 10, 11, or 12); emotional (questions 1, or 13); financial (questions 3, 5, 6, or 9); and partner-related (questions 2, 7, or 8). Women were grouped into those who experienced: 1. No stressor; 2. Only partner-related stressor; 3. Only traumatic stressor; 4. Only financial stressor; 5. Only emotional stressor; 6. Traumatic and emotional stressors; 7. Traumatic and financial stressors; 8. Traumatic and partner-related stressors; 9. Partner-related and emotional stressors; 10. Partner-related and financial stressors; 11. Financial and emotional stressors; 12. Partner-related, traumatic, and financial stressors; 13. Partner-related, traumatic, and emotional stressors; 14. Traumatic, financial, and emotional stressors; 15. Partner-related, financial, and emotional stressors; and 16. All four stressor categories. The group of women with no stress was the reference group for all multivariable analyses.

Individual-level covariates included maternal age; race/ethnicity; income with respect to federal poverty level (FPL); education; marital status; pre-pregnancy check-up

or treatment for depression; number of previous live births; pregnancy intention (when she got pregnant with her new baby); intimate partner physical violence (IPPV); adequacy of prenatal care; Medicaid for her prenatal care or delivery; enrolled/not enrolled in the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC); any morbidity during her most recent pregnancy; mode of delivery; any adverse neonatal outcome; and gender of the new baby. Federal poverty levels were computed following the guidelines issued by the U.S. Department of Health & Human Services (HHS) for the years 2009, 2010 and 2011, using annual household income and number of dependents including the woman herself. Income with respect to federal poverty level (FPL) was categorized as less than or equal to 100% of FPL; 101-200% of FPL; 201-300% of FPL; 301-400% of FPL; and greater than 400% of FPL. Marital status was classified as whether the respondent was married or not at the time of the survey. Adequacy of prenatal care was assessed by the Kotelchuck Index or the Adequacy of Antenatal Care Utilization Index, which takes into consideration both the timing of prenatal care initiation, as well as, the number of prenatal care visits after initiation (Kotelchuck, 1994). If a woman reported having experienced any antenatal morbidity, including gestational diabetes (diagnosed by a health care worker); vaginal bleeding; kidney or bladder infection; severe nausea, vomiting or dehydration; cervix having to be sewn shut; high blood pressure; placental problems; preterm or early labor; premature rupture of the membranes; having a blood transfusion; and being hurt in a car accident, she was considered to have had a medical/obstetric complication during her most recent pregnancy. A mother whose new baby had a birth defect, low birthweight, preterm birth,

or had to be admitted to an intensive care unit, was considered to have experienced an adverse neonatal outcome. All the individual-level variables were categorical.

State-level indicators of women's SES, published by the Institute of Women's Policy Research (IWPR), were used to calculate composite employment and earnings, and social and economic autonomy indices (Cariazza & Shaw, 2004; Institute for Women's Policy Research, 2009), which were the state-level variables used in our study. These indices, which have been used in previous research (Chen et al., 2005; McLaughlin et al., 2011), were computed following IWPR guidelines (Cariazza & Shaw, 2004). Employment and earnings index comprised of median annual earnings of women working full-term, year-round; women-to-men ratio of median annual earnings; proportion (%) of adult female population in the labor force; and proportion (%) of employed women in managerial or professional occupations. The four indicators for each state were standardized by dividing with the comparable value for the entire U.S., and were added to create a composite score giving equal weight to each component (Cariazza & Shaw, 2004). The social and economic autonomy index comprised of the proportions (%) of the following: 18-64 year old women with health insurance; women aged 25 and above with four or more years of college education; businesses owned by women; and women living above the poverty threshold. The four components were divided by the comparable value for the entire U.S. The standardized values were added to create a composite score, giving a weight of 4.0 to poverty and a weight of 1.0 to the rest (Cariazza & Shaw, 2004). For each index, a higher composite score implied better state-

level women's SES. The averages of the indices for the years 2009 to 2011 were used in our analyses.

## Analysis

Chi-square tests of independence were done to examine the prevalence of PPD according to different levels of the individual-level variables. The prevalence of PPD was compared among the states. SAS procedures that account for survey design (Proc Survey) were employed to adjust for the analysis weights.

Multivariable analyses were performed using the generalized linear mixed model (GLMMs) with the logit link function (also called multilevel logistic regression) in order to take into account clustering at the state-level. Guidelines for multi-level analyses and approaches previously used were followed (Chen et al., 2005; Merlo et al., 2006; Heck & Thomas, 2009; Hox, 2002). The state-level indices were centered using grand mean centering (CGM), by subtracting the grand mean from their respective scores. All categorical variables were dummy coded. In the beginning, a null model without any predictor at any level and with the intercept for PPD allowed to vary (random intercept model) was run to serve as a baseline for future comparisons. The intraclass correlation (ICC) was calculated to quantify the similarity of observations within the same cluster. The median odds ratio (MOR) was also calculated from the null model (Merlo et al., 2006). The individual and state-level variables were then introduced in a sequential manner. Each model was compared with the previous or less complicated model using the likelihood ratio test statistic, computed as the difference between the (-2) times log

likelihood values with a chi-squared distribution equal to the difference in the number of parameters. The individual-level covariates that were statistically significant in bivariate analyses were included in a random intercept model (Model 1), and it was significantly better than the null model. Because of a high-level of correlation (0.90) between the two state-level indices, we decided to use only the social/economic autonomy index, which was more strongly associated with state-level PPD prevalence. Model 2, which was a random intercept model with state-level social and economic autonomy index, as well as, the individual-level variables, was significantly better than model 1. Adjusted odds ratios (ORs) and 95% confidence intervals (CI s) were reported from model 2. A multilevel logistic regression model (model 3) was run, with PPD as the outcome; the independent variables being number of stressor categories experienced (none; 1, 2, 3, and all 4), all other individual-level variables used in model 2, state-level social and economic autonomy index, and an interaction term between the number of stressor categories and the state-level index.

SAS 9.4 (SAS Institute Inc., Cary, NC, US) was used for all analyses. SAS Proc GLIMMIX procedures with adaptive Gauss-Hermite quadrature method were used and analysis weights were adjusted for.

## Results

Of 116,595 respondents to the PRAMS 2009–11 dataset, 91,253 (78.3%) had valid responses to all variables of interest and were utilized for the bi- and multi-variate analyses. Sixty two percent of the respondents were non-Hispanic whites, 12.3% non-Hispanic blacks, 18.3% Hispanics, 0.8% American Indians/Alaska Natives, 4.8%

Asians/Pacific Islanders and the rest belonged to other/mixed races (table 1). Eleven percent (11.3%) women met the criteria for PPD; the prevalence ranging from 7.1% in Illinois to 17.1% in Arkansas (prevalence by state not shown in table). Twenty nine percent of the respondents did not experience any antenatal stressful life event (table 1); 33.0% experienced only one stress construct; 22.0% experienced two stress constructs; 11.6% experienced 3 stress constructs; and 4.3% experienced all four stress constructs.

In bivariate analyses (table 1), a lower proportion (8.1–11.0%) of women in the age groups 25 years and above had PPD compared with younger women (14.1–14.8%). The prevalence among non-Hispanic blacks (13.1%) and American Indians/Alaska Natives (14.9%) was higher than among non-Hispanic whites (11.2%) and Hispanics (11.1%), while Asians/Pacific Islanders had a lower prevalence (8.1%). Women in the lower income and education categories had a higher prevalence than those in the highest income and education categories respectively. The prevalence was higher among women who experienced antenatal IPPV, those who did not intend to become pregnant, and those who went for a pre-pregnancy check-up or treatment for depression. Women who experienced all the four stressor categories had the highest prevalence (34.5%) of PPD symptoms, while the prevalence was the lowest (5.4%) among those who did not experience any stressor (table 1). The graphs in figure 1 and 2 suggest that in general, the state-level PPD prevalence decreased with increase in the average state-level SES measures.

From the null model, the ICC was computed as 0.016, suggesting that approximately 1.6% of the variability in PPD was accounted for by the states. The MOR



of 1.25 suggested that a woman in a higher PPD prevalence state was 1.25 times likely to experience PPD compared to her counterpart in lower prevalence state (results not shown). The P value ( $<0.0001$ ) of the random effect of the intercept suggested a statistically significant amount of variability in the log odds of having PPD between the states (results not shown).

Experiencing all four stressor categories (aOR: 5.43; 95% CI: 5.36, 5.51) was the strongest correlate of PPD (table 2). This was followed by experiencing partner-related, traumatic, and financial stressors (aOR: 3.69; 95% CI: 3.64, 3.74); and partner-related, traumatic, and emotional stressors (aOR: 3.50; 95% CI: 3.41, 3.60). Among those who experienced stressors of a single category, partner-related (aOR: 2.21; 95% CI: 2.18, 2.25) and traumatic (aOR: 1.62; 95% CI: 1.57, 1.66) stressors were strongly associated with PPD. Pre-pregnancy treatment/checkup for depression (aOR: 2.14; 95% CI: 2.13, 2.16) was an important predictor of PPD (table 2). Compared with non-Hispanic whites, the odds of experiencing PPD was lower for each racial/ethnic group. Higher income groups had significantly lower odds of experiencing PPD, compared with the lowest income group. Women never intending to be pregnant had a higher likelihood (aOR: 1.47; 95% CI: 1.45, 1.48) than those who wanted to be pregnant sooner. Antenatal IPPV and morbidity were strong correlates of PPD, even after adjusting for all covariates. The risk of PPD decreased with an increase in the state-level social/economic autonomy index (aOR: 0.75, 95% CI: 0.64, 0.88). Table 3 shows the effects of the interaction; aORs of having PPD in states with 1 SD below average, and 1 SD above average social and economic autonomy index were higher and lower respectively for women who

experienced one to four stressor categories, compared with those who experienced none of those.

## Discussion

The prevalence of PPD (11.3%) in our analysis is comparable to the commonly observed prevalence of 10 to 20% (O'Hara & Swain, 1996; CDC, 2008). The prevalence ranged from 7% in Illinois to 17% in Arkansas. In an analysis of 2004–5 PRAMS data, Maine and New Mexico had the lowest (11.7%) and highest prevalence (20.4%) respectively (CDC, 2008). However, the 2004–05 PRAMS survey used a different instrument with two items to assess PPD and included data from 17 states, in contrast to the 30 states in our analysis (CDC, 2008). Our prevalence is lower than that found in studies looking at rural women from a single state or region (Baker & Oswalt, 2008; Reighard & Evans, 1995).

Despite having higher unadjusted prevalence, the adjusted odds of experiencing PPD was lower among non-Hispanic blacks and American Indians/Alaska Natives, compared with non-Hispanic whites. Similarly, women with lower levels of education had a higher prevalence of PPD compared to women with  $\geq 16$  years education; but the direction of association was the opposite in the multivariable model. On further exploration (results not shown), it appeared that income differences were largely responsible for the unadjusted distribution of PPD prevalence by race/ethnicity. Once household income with respect to FPL categories was adjusted for, the associations reversed. In a study among preretirement adults, Hispanics, and non-Hispanic blacks had higher frequencies of depression than non-Hispanic whites, the difference being

significant for the former. However, after adjusting for sociodemographic, health, and economic factors, depression was found to be significantly less frequent among non-Hispanic blacks, and there was no significant difference between Hispanics and non-Hispanic whites (Dunlop et al., 2003).

Experience of intimate partner physical violence during pregnancy and lack of pregnancy intention, known risk factors of PPD, were important correlates in our study (Beydoun et al., 2010; Mercier et al., 2013). Higher odds of PPD among women who had pre-pregnancy check-up or treatment for depression might be indicative that they had a history of depression, another predictor of PPD (Cooper & Murray, 1998; Tse et al., 2010). Women in each stressor category had higher adjusted odds of PPD, even after adjusting for all individual-level and state-level correlates. Among those who experienced stressor of a single type, women experiencing antenatal partner-related stress had the highest adjusted odds, followed by traumatic stress. A previous analysis of Massachusetts PRAMS data (Stone et al., 2015) also revealed the highest vulnerability to partner stress. Furthermore, we observed that the odds was generally higher among women who experienced multiple types of stressors, with those reporting all the four types of stressors being more than five times likely to have PPD symptoms, compared with those who experienced none of the stressor types. This dose-response relationship is comparable to the findings of Stone et al. (2015), where the prevalence of PPD symptoms was more than 5 times higher among women who reported having experienced seven or more antenatal stressful life events, than those who experienced none. In addition to the number of stressor types, our results revealed that the stressor type was of paramount importance. Women experiencing multiple stressor types had particularly higher odds of

PPD symptoms if they experienced partner-related and traumatic stressors, irrespective of whether any other type of stressor was present.

After adjusting for individual-level correlates, residents in states with higher women's social/economic autonomy index, were less likely to experience PPD compared with women in higher-scoring states. This is comparable to the findings of Chen et al. (2005) on depression among women, but different from the findings of McLaughlin et al. (2011), where no association was found between state-level women's status and 12-month mood and anxiety disorders. McLaughlin et al. (2011) looked at DSM-IV psychiatric disorders, and not depressive symptoms, as the outcome. Associations have also been found between state-level SES and other health outcomes. Living in states with lower median household income or higher proportion of adults below the poverty line was associated with significantly higher odds of hypertension, compared to states with higher median household income or lower proportion of adults below poverty line respectively (Fan et al., 2015). State SES can influence residents' physical environment, as well as the quality and quantity of social services (Fan et al., 2015). Social/economic autonomy assesses women's economic security and access to opportunity (Hess et al., 2015). States with higher indices may be more likely to have policies and programs that provide better material and social resources, and better life opportunities for women, thereby contributing to their better postpartum mental health (Stone et al., 2015).

Our results suggest that the relationship between the number of antenatal stressor types experienced, and PPD is stronger in states scoring lower in women's social/economic autonomy index, and vice versa. It is possible that higher

social/economic autonomy buffers some impact of the antenatal stressors, by providing better access to necessary resources to cope with the situation, and decreases the likelihood of depressive symptoms after childbirth. Social safety nets, including programs like Temporary Assistance for Needy Families (TANF), Supplemental Nutrition Assistance (SNAP), and Supplemental Security Income (SSI) provide support to those who earn very low wages or are unable to work, and thus reduce the proportion of women in poverty (Hess et al., 2015). Unfortunately, the benefits of such programs often fail to reach the women and families who have the highest needs (Hess et al., 2015). It is likely that states with a lower percentage of women in poverty, one of the indicators of social/economic autonomy, have better mechanisms to ensure that the benefits of social safety nets reach those who would benefit the most. It is worth noting that the aforementioned difference in adjusted odds of PPD symptoms between a state with lower social/economic autonomy index, and one with a higher index was the highest for those who experienced all the four stressor categories. Thus it appears that the higher the risk of PPD symptoms, the more likely is the state-level index to play a role; in other words, the most vulnerable women are the most likely to benefit through an improvement of the state ranking. Interactions between state-level SES in general, and individual risk factors have been previously observed. A study evaluating the role of state-level SES on the prevalence of hypertension, found that adults unable to work were most severely affected by low state SES; they had the highest odds of reporting hypertension out of all employment status categories (Fan et al., 2015). Low income women living in states with high income-inequality had a higher risk of experiencing depressive symptoms, compared with low income women in low-income-inequality states (Kahn et al., 2000).

## Limitations

There are a number of limitations to this study. First and foremost, according to the CDC guidelines, the 3 part PRAMS phase 6 PPD symptoms questionnaire, has a sensitivity of 57% and a specificity of 87% for PPD, when all the three questions were answered and the cut-off of 10 was used. When only two or one question(s) were/was answered and using the recommended cut-off of sevens and four, the sensitivity and specificity were 95% and 49%, and 75% and 69% respectively. Moreover, the positive predictive value (PPV) of the instrument, given a PPD prevalence of 10-20%, were 21–38%, 17–32%, and 32–52%, for cut-offs of 4, 7, and 10 respectively (Guidelines for Analyzing Phase 6 Core Depression Question, unpublished report, 2012). The distribution of responses to each of the three parts of the PPD symptom questionnaire is summarized in table 4 (table 4). We also looked at the distribution of women in our sample responding to one, two, and all three questions, which were respectively 0.8%, 30.6%, and 68.6% (results not shown in table). Thus, although the instrument was not particularly accurate in terms of assessing women at risk of PPD, it is somewhat reassuring that nearly 70% of the respondents answered all the 3 questions, and therefore the cut-off of 10, with by far the best PPV, could be used.

Another limitation is that potential correlates of PPD, including postpartum intimate partner violence and social support could not be included due to lack of information from most states. In addition, the questions on antenatal SLE in PRAMS asked about the 12 months prior to childbirth, so a woman might have reported an event that occurred during the year before childbirth, but within the 2-3 months before she got

pregnant. Our analysis is based on data from 30 out of the 50 states; so findings may not be generalizable to the entire U.S. Moreover, we had to exclude 21% of all observations from our analyses, because of missing information on one or more variables of interest. The variables household income (7%) and race/ethnicity (5%) had the highest proportion missing values. The proportion of missing responses varied considerably between the states, which might have had an impact on our results. Data on other state-level women's status indices, such as political participation and reproductive rights, were not available for the years of interest. We did not have any information on more specific contextual factors that might depend on neighborhood or locality of residence. Women experiencing PPD could have been more likely to remember specific antenatal SLEs, leading to recall bias. Also, self-reported symptoms for PPD might be prone to subjective variation and social-desirability bias. Lastly, all the data are cross-sectional and temporal relationship cannot be established between the variables. This problem is partially obviated by the fact that an event during pregnancy must precede PPD.

### Conclusions

Limitations notwithstanding, our study has examined the relationship between antenatal SLE and PPD using a large population-based dataset from multiple states, after adjusting for a number of covariates. Our results suggest that screening for antenatal SLEs might help identify women at risk for PPD. This, to our knowledge, is the first study exploring the role of state-level women's SES indices on PPD. The finding that the odds of PPD decrease with increasing social/economic autonomy could have policy implications and motivate efforts to improve these indices, particularly in the states that

are below average. Moreover, the associations between traumatic, emotional and financial antenatal stressors, and state-level social/economic autonomy suggest that women residing in states with lower indices are more vulnerable to the impacts of antenatal stressors. It would be especially important to identify the at-risk women in these states so as to mitigate the impacts of antenatal stressors and decrease their probability of experiencing depressive symptoms after childbirth.

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## Tables and figures

Table 1: Distribution of postpartum depression among women who have had a recent live birth (PRAMS 2009-11), by individual-level correlates (N=91,253)<sup>a</sup>

	Total (%) <sup>b</sup>	Postpartum depression (PPD) symptoms % (95% CI) <sup>c</sup>	No postpartum depression (PPD) symptoms % (95% CI) <sup>d</sup>
Total (%)	91,253 (100.0)	11,598 (11.3)	79,655 (88.7)
<i>Socio-demographic characteristics</i>			
Age in years <sup>e</sup>			
Less than 17	1,724 (1.6)	14.2 (11.4, 17.1)	85.8 (82.9, 88.6)
18-19	5,229 (5.6)	14.8 (13.1, 16.6)	85.2 (83.4, 86.9)
20-24	21,323 (22.8)	14.1 (13.2, 14.9)	85.9 (85.1, 86.8)
25-29	26,644 (29.9)	11.0 (10.4, 11.7)	89.0 (88.3, 89.6)
30-34	22,344 (25.8)	9.5 (8.8, 10.1)	90.5 (89.9, 91.2)
35-39	11,234 (11.6)	8.5 (7.7, 9.4)	91.5 (90.6, 92.3)
40 and above	2,755 (2.7)	10.8 (8.5, 13.1)	89.2 (86.9, 91.5)
Maternal race/ethnicity <sup>e</sup>			
Non-Hispanic white	51,206 (61.6)	11.2 (10.7, 11.6)	88.9 (88.4, 89.3)
Non-Hispanic black	13,546 (12.3)	13.1 (12.1, 14.1)	86.9 (85.9, 87.9)
Hispanic	12,725 (18.3)	11.1 (10.0, 12.2)	88.9 (87.8, 90.0)
Asian/Pacific Islander	7,550 (4.8)	8.2 (7.0, 9.3)	91.9 (90.7, 93.0)
American-Indian/Alaska Native	2,930 (0.8)	14.9 (11.8, 17.9)	85.1 (82.1, 88.2)
Native			
Non-Hispanic other	687 (0.7)	9.97 (6.3, 13.7)	90.0 (86.3, 93.7)
Non-Hispanic mixed race	2,609 (1.5)	13.19 (10.4, 16.0)	86.8 (84.0, 89.6)
Maternal education <sup>e</sup>			
0-8 yrs	2,551 (3.5)	10.0 (7.8, 12.2)	90.0 (87.8, 92.2)
9-11 yrs	10,356 (11.1)	14.9 (13.6, 16.2)	85.1 (83.8, 86.4)
12 yrs	24,064 (25.4)	13.4 (12.6, 14.2)	86.6 (85.8, 87.4)
13-15 yrs.	25,689 (27.5)	12.0 (11.3, 12.6)	88.0 (87.4, 88.7)
≥ 16 yrs	28,593 (32.5)	8.0 (7.5, 8.5)	92.0 (91.5, 92.5)
Income in relation to federal poverty level <sup>e</sup>			
≤ 100%	36,453 (38.0)	15.1 (14.4, 15.7)	85.0 (84.3, 85.6)
101-200%	19,387 (20.9)	11.6 (10.8, 12.4)	88.4 (87.6, 89.2)
201-300%	6,063 (6.0)	9.4 (8.2, 10.6)	90.6 (89.4, 91.8)
301-400%	3,466 (3.2)	7.4 (6.2, 8.6)	92.6 (91.4, 93.8)
≥ 401%	25,884 (31.9)	7.4 (6.9, 7.9)	92.6 (92.2, 93.1)
Marital status <sup>e</sup>			
Married at the time of survey	56,423 (63.0)	9.2 (8.8, 9.6)	90.8 (90.4, 91.2)
Unmarried	34,830 (37.0)	14.9 (14.2, 15.6)	85.1 (84.4, 85.8)
<i>Pre-pregnancy and antenatal factors</i>			
Pre-pregnancy check-up/treatment for depression <sup>e</sup>			
Yes	11,906 (11.6)	23.7 (22.4, 25.0)	76.3 (75.0, 77.6)
No	79,347 (88.4)	9.7 (9.3, 10.0)	90.3 (9.0, 90.7)
Previous live births <sup>f</sup>			
0	38,017 (40.4)	10.7 (10.1, 11.2)	89.3 (88.8, 89.9)
1	28,496 (32.7)	11.4 (10.7, 12.0)	88.6 (88.0, 89.3)
2	14,616 (16.2)	11.7 (10.8, 12.6)	88.3 (87.4, 89.2)
3-5	9,369 (9.9)	12.8 (11.5, 14.1)	87.2 (85.9, 88.5)
6+	755 (0.8)	13.8 (8.8, 18.8)	86.2 (81.2, 91.2)

Table 1: Distribution of postpartum depression among women who have had a recent live birth (PRAMS 2009-11), by individual-level correlates (N=91,253)<sup>a</sup>

	Total (%) <sup>b</sup>	Postpartum depression (PPD) symptoms % (95% CI) <sup>c</sup>	No postpartum depression (PPD) symptoms % (95% CI) <sup>d</sup>
<b>Intention to get pregnant during most recent pregnancy<sup>e</sup></b>			
Sooner	17,417 (18.2)	9.6 (8.9, 10.3)	90.4 (89.7, 91.1)
Later	27,997 (31.3)	13.5 (12.8, 14.2)	86.5 (85.8, 87.3)
Then	36,036 (40.6)	8.3 (7.8, 8.8)	91.7 (91.2, 92.2)
Did not want even in future	9,803 (9.9)	19.9 (18.4, 21.3)	80.1 (78.7, 81.6)
<b>Prenatal care (PNC)<sup>e</sup></b>			
Inadequate	10,431 (12.2)	13.8 (12.6, 15.0)	86.2 (85.0, 87.4)
Intermediate	11,114 (13.0)	11.6 (10.6, 12.6)	88.4 (87.4, 89.5)
Adequate	38,225 (46.2)	10.2 (9.7, 10.7)	89.8 (89.3, 90.3)
Adequate plus	31,483 (28.6)	11.9 (11.2, 12.5)	88.1 (87.5, 88.8)
<b>Used Special Supplemental Nutrition Program for Women, Infants, and Children (WIC)</b>			
Yes	42,839 (44.9)	13.9 (13.3, 14.5)	86.1 (85.5, 86.7)
No	48,414 (55.1)	9.2 (8.7, 9.6)	90.9 (90.4, 91.3)
<b>Medicaid helped pay for prenatal care or delivery</b>			
Yes	43,823 (45.9)	14.2 (13.6, 14.8)	85.8 (85.2, 86.4)
No	47,430 (54.1)	8.8 (8.4, 9.2)	91.2 (90.8, 91.6)
<b>Experienced intimate partner physical violence during pregnancy<sup>e</sup></b>			
Yes	2,976 (3.0)	33.4 (30.1, 36.7)	66.6 (63.4, 69.9)
No	88,277 (97.0)	10.6 (10.3, 11.0)	89.4 (89.0, 89.7)
<b>Any medical/obstetric complication during the most recent pregnancy<sup>e</sup></b>			
Yes	62,483 (62.9)	13.5 (13.0, 14.0)	86.5 (86.1, 87.0)
No	28,770 (37.1)	7.6 (7.1, 8.1)	92.4 (91.9, 92.9)
<b>Antenatal stressor category<sup>e</sup></b>			
Only partner-related	3,866 (4.3)	12.7 (10.8, 14.6)	87.3 (85.4, 89.2)
Only traumatic	1,437 (1.7)	9.5 (7.0, 12.0)	90.5 (88.1, 93.0)
Only financial	16,603 (18.5)	8.5 (7.7, 9.2)	91.5 (90.8, 92.3)
Only emotional	7,566 (8.5)	6.8 (5.9, 7.8)	93.2 (92.2, 94.1)
Partner-related; traumatic	905 (0.9)	22.1 (16.7, 27.6)	77.9 (72.4, 83.3)
Partner-related; financial	7,377 (8.0)	17.7 (16.1, 19.2)	82.3 (80.8, 83.9)
Partner-related; emotional	1,758 (1.9)	15.0 (12.1, 17.9)	85.0 (82.1, 87.9)
Traumatic; emotional	959 (0.9)	6.9 (4.6, 9.2)	93.1 (90.8, 95.5)
Traumatic; financial	2,540 (2.7)	11.7 (9.5, 13.8)	88.4 (86.2, 90.5)
Emotional; financial	6,934 (7.5)	8.8 (7.7, 9.9)	91.2 (90.2, 92.3)
Partner-related; traumatic; emotional	743 (0.8)	22.0 (16.6, 27.4)	78.0 (72.6, 83.4)
Partner-related; traumatic; financial	4,445 (4.5)	25.1 (22.7, 27.7)	75.0 (72.6, 77.3)
Financial; traumatic; emotional	2,141 (2.0)	14.0 (11.6, 16.4)	86.0 (83.6, 88.4)
Partner-related; financial; emotional	3,995 (4.3)	20.1 (17.9, 22.4)	79.9 (77.6, 82.1)
All four types	4,417 (4.3)	34.5 (31.8, 37.2)	65.5 (62.9, 68.2)
No stress	25,567 (29.1)	5.4 (4.9, 5.8)	94.6 (94.2, 95.1)
<b>Delivery and neonatal factors</b>			
<b>Vaginal delivery<sup>e</sup></b>			
Yes	58,280 (66.8)	10.6 (10.2, 11.1)	89.4 (88.9, 89.8)

Table 1: Distribution of postpartum depression among women who have had a recent live birth (PRAMS 2009-11), by individual-level correlates (N=91,253)<sup>a</sup>

	Total (%) <sup>b</sup>	Postpartum depression (PPD) symptoms % (95% CI) <sup>c</sup>	No postpartum depression (PPD) symptoms % (95% CI) <sup>d</sup>
No	32,973 (33.2)	12.6 (12.0, 13.3)	87.4 (86.8, 88.0)
Any adverse outcomes of the new baby <sup>e</sup>			
Yes	31,458 (18.2)	13.5 (12.8, 14.3)	86.5 (85.7, 87.2)
No	59,795 (81.8)	10.8 (10.4, 11.2)	89.2 (88.8, 89.6)
Sex of new baby			
Male	46,011 (51.1)	11.5 (11.0, 12.0)	88.5 (88.0, 89.0)
Female	45,242 (48.9)	11.1 (10.6, 11.6)	89.0 (88.5, 89.5)

<sup>a</sup>: Individuals with valid responses to all the variables

<sup>b</sup>: Among the total number of respondents, weighted percentage of the no. of respondents in that category

<sup>c</sup>: Among the total number of respondents in each category, proportion (weighted % and 95% Confidence Interval [CI]) having PPD

<sup>d</sup>: Among the total number of respondents in each category, proportion (weighted % and 95% Confidence Interval [CI]) having PPD

<sup>e</sup>: Chi-square  $p < 0.0001$  for relationship of the selected correlate with prevalence of PPD

<sup>f</sup>: Chi-square  $p < 0.05$  for relationship of the selected correlate with prevalence of PPD

Table 2: Results of multilevel multivariable logistic regression analyses: adjusted odds ratios (aORs) and 95% confidence intervals (CIs) for postpartum depression (PPD) symptoms<sup>a</sup> (N=91,253)<sup>a</sup>

	Postpartum Depression (PPD) aOR (95% CI) <sup>b</sup>
<i>Individual level variables</i>	
Age in years	
25-29	1.00 (Reference)
Less than 17	0.91 (0.89, 0.94)
18-19	0.93 (0.92, 0.94)
20-24	0.99 (0.98, 1.00)
30-34	0.97 (0.97, 0.98)
35-39	0.89 (0.88, 0.90)
40 and above	1.07 (1.05, 1.09)
Maternal race/ethnicity	
Non-Hispanic white	1.00 (Reference)
Non-Hispanic black	0.83 (0.82, 0.84)
Hispanic	0.85 (0.84, 0.86)
Asian/Pacific Islander	0.93 (0.91, 0.94)
American-Indian/Alaska Native	0.93 (0.90, 0.96)
Non-Hispanic other	0.96 (0.93, 1.00)
Non-Hispanic mixed race	0.81 (0.79, 0.83)
Maternal education	
≥ 16 yrs	1.00 (Reference)
0-8 yrs	0.87 (0.85, 0.89)
9-11 yrs	0.96 (0.95, 0.98)
12 yrs	0.96 (0.95, 0.97)
13-15 yrs.	0.94 (0.93, 0.95)
Income in relation to federal poverty level	
≤ 100%	1.00 (Reference)
101-200%	0.90 (0.89, 0.91)
201-300%	0.79 (0.77, 0.80)
301-400%	0.67 (0.65, 0.68)
≥ 401%	0.74 (0.73, 0.75)
Marital status	
Married	1.00 (Reference)
Not married	1.01 (1.00, 1.02)
Pre-pregnancy check-up/treatment for depression	
No	1.00 (Reference)
Yes	2.14 (2.13, 2.16)
Previous number of live births	
0	1.00 (Reference)
1	1.10 (1.09, 1.11)
2	1.01 (1.00, 1.02)
3-5	1.00 (0.99, 1.01)
6+	1.22 (1.18, 1.26)
Intention to get pregnant before the most recent pregnancy	
Sooner	1.00 (Reference)
Later	1.05 (1.04, 1.06)
Then	0.85 (0.85, 0.86)
Did not want even in future	1.47 (1.45, 1.48)
Prenatal care (PNC) <sup>e</sup>	
Inadequate	1.00 (Reference)

	Postpartum Depression (PPD) aOR (95% CI) <sup>b</sup>
Intermediate	0.99 (0.98, 1.00)
Adequate	1.05 (1.04, 1.06)
Adequate plus	0.97 (0.96, 0.97)
Used Special Supplemental Nutrition Program for Women, Infants, and Children (WIC)	
No	1.00 (Reference)
Yes	0.96 (0.95, 0.97)
Medicaid helped pay for prenatal care or delivery	
No	1.00 (Reference)
Yes	0.95 (0.94, 0.96)
Experienced intimate partner physical violence during pregnancy	
No	1.00 (Reference)
Yes	1.76 (1.73, 1.78)
Any medical/obstetric complication during the most recent pregnancy	
No	1.00 (Reference)
Yes	1.40 (1.39, 1.41)
Vaginal delivery during the most recent childbirth	
No	1.00 (Reference)
Yes	0.83 (0.83, 0.84)
Any adverse outcome(s) of the new baby	
No	1.00 (Reference)
Yes	1.09 (1.08, 1.10)
Antenatal stressor category <sup>c</sup>	
No stress	1.00 (Reference)
Only partner-related	2.21 (2.18, 2.25)
Only traumatic	1.62 (1.57, 1.66)
Only financial	1.50 (1.48, 1.51)
Only emotional	1.27 (1.25, 1.29)
Partner-related; traumatic	3.49 (3.41, 3.58)
Partner-related; financial	2.94 (2.90, 2.97)
Partner-related; emotional	2.77 (2.72, 2.83)
Traumatic; emotional	1.06 (1.02, 1.10)
Traumatic; financial	1.85 (1.81, 1.88)
Emotional; financial	1.47 (1.45, 1.49)
Partner-related; traumatic; emotional	3.50 (3.41, 3.60)
Partner-related; traumatic; financial	3.69 (3.64, 3.74)
Financial; traumatic; emotional	2.10 (2.05, 2.14)
Partner-related; financial; emotional	3.33 (3.28, 3.37)
All four types	5.43 (5.36, 5.51)
<i>State-level variable</i>	
Social and economic autonomy index	0.75 (0.64, 0.88)

<sup>a</sup>: Individuals with valid responses to all the variables

<sup>b</sup>: aORs and 95% CIs are reported from the model 10 with all the individual-level correlates, random intercept for postpartum depression, and state-level women's social/economic autonomy index

Table 3: Results of the interaction between number of stressor categories and stress-level social and economic autonomy index: adjusted odds ratios (aORs) and 95% confidence intervals (CIs) for postpartum depression (PPD) symptoms<sup>a</sup> (N=91,253)<sup>a</sup>

No. of stressor categories experienced	State with social/economic autonomy index 1 SD below mean aOR (95% CI)	State with mean social/economic autonomy index aOR (95% CI)	State with social/economic autonomy index 1 SD above mean aOR (95% CI)
0	Reference	Reference	Reference
1	1.67 (1.65, 1.70)	1.54 (1.52, 1.55)	1.41 (1.39, 1.43)
2	2.26 (2.22, 2.29)	2.19 (2.17, 2.21)	2.12 (2.09, 2.15)
3	3.41 (3.36, 3.46)	3.14 (3.11, 3.18)	2.90 (2.86, 2.95)
4	5.63 (5.53, 5.74)	5.18 (5.11, 5.25)	4.76 (4.66, 4.85)

<sup>a</sup>: Individuals with valid responses to all the variables; Odds Ratios are adjusted for all other individual-level variables specified in table 2

Table 4: Distribution of the responses to each of the questions on postpartum depression symptoms among women who have had a recent live birth (PRAMS 2009-11) (N=91,253)<sup>a</sup>

Feeling since the new baby was born	Never (%) <sup>b</sup>	Rarely (%) <sup>b</sup>	Sometimes (%) <sup>b</sup>	Often (%) <sup>b</sup>	Always (%) <sup>b</sup>
Down, depressed, or sad <sup>c</sup>	26,844 (30.4)	25,354 (28.9)	26,410 (28.6)	9,731 (9.5)	2,608 (2.3)
Hopeless <sup>d</sup>	57,820 (65.3)	16,106 (17.5)	10,800 (10.8)	4,159 (4.0)	1,561 (1.4)
Slow <sup>e</sup>	27,281 (30.3)	18,589 (20.7)	27,258 (29.9)	13,227 (14.2)	4,187 (4.1)

<sup>a</sup>: Individuals with valid responses to all the variables

<sup>b</sup>: Among the 91,235 respondents, weighted % of those who endorsed each of the responses

<sup>c</sup>: Missing responses: 306 (0.3%)

<sup>d</sup>: Missing responses: 807 (0.9%)

<sup>e</sup>: Missing responses: 711 (0.8%)





Fig 1: Distribution of state-wise postpartum depression prevalence (PRAMS 2009-11) with state-level women's employment and earnings index

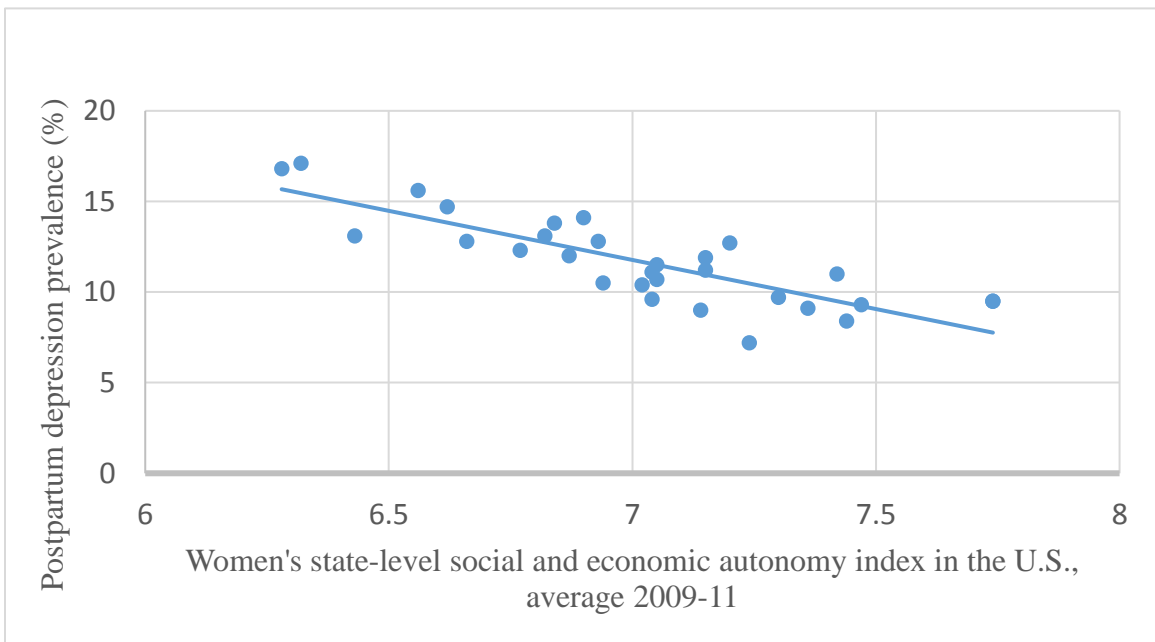


Fig 2: Distribution of state-wise postpartum depression prevalence (PRAMS 2009-11) with state-level women's social and economic autonomy index

## MANUSCRIPT 3

Racial and ethnic differences in the relationship between antenatal stressful life events and postpartum depression among women in the United States: Does provider communication on perinatal depression minimize the risk?

### Abstract

**Objectives:** Multistate population-based studies exploring the racial/ethnic differences in the prevalence and correlates of postpartum depression (PPD), which affects 10-20% of women giving birth in the United States (U.S.), are rare. The aim of this study was to examine the racial/ethnic disparities in the relationship between antenatal stressful life events and PPD among U.S. women, and to further explore whether antenatal health care provider communication on perinatal depression was associated with a lower risk.

**Methods:** Data from the 2009–11 Pregnancy Risk Assessment Monitoring System (PRAMS) were used. For each racial/ethnic group, the distribution of PPD was compared according to different levels of the stressors, and socio-demographic, pre-pregnancy, antenatal, delivery and neonatal characteristics. Multivariable logistic regression analyses were performed, with postpartum depression as outcome and all variables that were significant in bivariate analyses as predictors. **Results:** Eleven percent of 87,565 women met the criteria for PPD; prevalence ranging from 7.9% among Asians/Pacific Islanders to 14% among American Indians/Alaska Natives. Irrespective of race/ethnicity, having a lot of bills to pay, and having more than usual arguments with husband/partner were risk factors for PPD. Among non-Hispanic blacks, having a husband/partner who did not want

the pregnancy was a correlate of PPD (adjusted odds ratio [aOR]: 1.47; 95% confidence interval [CI]: 1.14, 1.90), and among non-Hispanic whites, drug/drinking problems of someone close was associated with PPD (aOR: 1.37; 95% CI: 1.21, 1.55). Provider communication was inversely associated with PPD among non-Hispanic whites (aOR: 0.77; 95% CI: 0.69, 0.85) and non-Hispanic blacks (aOR: 0.74; 95% CI: 0.60, 0.93).

**Conclusions.** The protective effect of provider communication on PPD suggests the benefit of a conversation about perinatal depression during antenatal care. Furthermore, risk factors for PPD varied by race/ethnicity suggesting that these vulnerabilities should be taken into consideration in identifying women at-risk for postpartum depression.

## Introduction

Postpartum depression includes feelings of severe sadness, anxiety or despair, which leads to impairment in daily activities. Postpartum depression can occur 1-3 weeks to one year after childbirth with implications on maternal and child health (Cooper & Murray, 1998; Beck, 1998; Tse et al., 2010). Nearly 10 to 20% of women in the United States (U.S.) experience depressive symptoms postpartum (CDC, 2008). Racial and ethnic differences in the prevalence of postpartum depression have been noted among women in Massachusetts (Liu & Tronick 2014) and New York City (Liu et al., 2013). However, multistate population-based studies exploring the racial/ethnic disparities in the prevalence of postpartum depression and the relationship between antenatal stressful life events and postpartum depression are difficult to find. One of the U.S. Department of Health and Human Services Healthy People 2020 objectives is to decrease the proportion of women with a live birth, experiencing postpartum depressive symptoms. Depression

and anxiety during pregnancy (O'Hara & Swain 1996; Robertson et al., 2004; Beck, 2001), unplanned pregnancy (Beck, 2001), history of previous depression (Robertson et al., 2004; Beck, 2001), physical or sexual abuse experiences (Silverman & Loudon, 2010), perinatal complications (Robertson et al., 2004), operative or assisted delivery (Robertson et al., 2004), relationship problems (O'Hara & Swain, 1996; Robertson et al., 2004), low social support (O'Hara & Swain, 1996; Robertson et al., 2004), low socioeconomic status (SES) (O'Hara & Swain, 1996; Robertson et al., 2004), adverse neonatal outcomes including preterm birth and low birthweight (Vigod et al., 2010), and inadequate coping strategies (Faisal-Cury et al., 2004) are some of the correlates of postpartum depression. Having experienced stressful life-events during pregnancy places a woman at high risk of postpartum depression (O'Hara & Swain, 1996; CDC, 2008; Robertson et al., 2004; Beck, 2001). In New York City, women who experienced six or more stressful life events, during the 12 months before delivery, were at a higher odds of having a postpartum depression diagnosis, compared with those who did not experience any such event (Liu et al., 2013). Women in Massachusetts reporting one or more antenatal stressors had a significantly higher prevalence of postpartum depression symptoms (Stone et al., 2015). A different study conducted in Massachusetts revealed that antenatal stress did not predict postpartum loss of interest among non-Hispanic whites, but high relational stress and high financial stress were respectively associated with loss of interest among non-Hispanic blacks; and Hispanics and Asians/Pacific Islanders (Liu et al., 2016).

Previous research suggests that interventions delivered during pregnancy can be effective in preventing postpartum depression, especially among those with antenatal

depression symptoms (Clatworthy, 2012; Sockol et al., 2013). The US Preventive Services Task Force (USPSTF) has recently concluded that in addition to screening for depression in pregnant and postpartum women, a variety of treatment options, including antidepressants and behavioral therapy, should be available (Siu & the US Preventive Services Task Force [USPSTF], 2016). Providing pregnant women with information about perinatal depression can be empowering, and contribute to an increased awareness on this health issue and its symptoms, so that they can seek necessary care and support early enough (Youash et al., 2013). An analysis of data from the 2011 Pregnancy Risk Assessment Monitoring System (PRAMS) revealed that nearly 72% women reported a discussion on perinatal depression with their health care provider during antenatal care (Farr et al., 2016). There has been little, if any, research on the impact of provider communication on the occurrence of postpartum depression, after taking other socio-demographic factors into account.

The purpose of this study was to examine racial/ethnic disparities in the relationship between different antenatal stressful life events and postpartum depression, among women in the U.S. who have had a recent live birth. Secondly, we aimed to explore whether provider communication on perinatal depression was associated with a lower risk of postpartum depression and whether the effect (if any) varied according to maternal race/ethnicity.

## Methods

### Dataset and study subjects

This study used data for the years 2009–11, collected by the PRAMS, a surveillance project of the Centers for Disease Control and Prevention (CDC) and state health departments. Every month, a stratified systematic sample of 100 to 250 new mothers is drawn from a sampling frame of eligible birth certificates. Some women, such as those having babies with low birth weight, are oversampled so that adequate data are available from smaller but higher-risk populations. Many states also stratify by maternal race/ethnicity. The analysis weight, which can be interpreted as the number of women like herself in the population that each respondent represents, is obtained by multiplying the sampling, nonresponse, and noncoverage weights. The standardized data collection methodology ensures that between-state comparisons can be made and the data can be used for single-state or multi-state analyses. Questionnaires are mailed starting 2 to 4 months after delivery, with telephone follow-ups for the non-respondents. The responses are linked to extracted birth certificate variables. Barriers to and content of prenatal care, obstetric and medical history, intimate partner physical violence, contraceptive practices, economic status, maternal antenatal stress, topics discussed during antenatal care, and early infant development and health status are some of the contents of the PRAMS questionnaire.

### Variables

Postpartum depression, the outcome of interest, was assessed by whether a women felt down, depressed or helpless; hopeless; or slowed down, since the birth of her

new baby. For each of the three questions, respondents were required to choose between the following options: never, rarely, sometimes, often, and always, with corresponding scores of 1 to 5 respectively. So, the total score ranged from 3-15. Based on CDC recommendations, any woman with a score of 10 or higher was considered to have postpartum depression. When only two or one question(s) were/was answered, the cut-offs were 7 and 4 respectively (Guidelines for Analyzing Phase 6 Core Depression Question, unpublished report, 2012).

The exposure variables were the antenatal stressful life events, assessed by whether the following events happened to a woman during the 12 months immediately before the birth of her new baby: 1. A close family member was very sick and had to go to the hospital; 2. She had a separation or divorce from her husband or partner; 3. She moved to a new address; 4. She was homeless; 5. Her husband/partner lost his job; 6. She wanted to continue working, but lost her job; 7. She had more than usual arguments with her husband/partner; 8. Her husband/partner revealed that he did not want her to be pregnant; 9. She had a lot of bills, but was unable to pay; 10. She was involved in a physical fight; 11. She or her husband/partner went to jail; 12. Someone very close to her had a problem with drinking or drugs; 13. Someone very close to her died (CDC, 2009).

The main covariate of interest was provider communication on perinatal depression, which was assessed by a woman's response (yes or no) to whether a doctor, nurse, or other health care worker talked with her, during any of her prenatal care visits, regarding what to do if she felt depressed during her pregnancy or after the birth of her baby (CDC, 2009). Other covariates were maternal age; federal poverty level; education;

marital status; pre-pregnancy visit to a health care worker to have a check-up or treatment for depression; number of previous live births; pregnancy intention at the time of conception; antenatal intimate partner physical violence ; provider communication on IPPV; adequacy of prenatal care utilization; health insurance for her prenatal care and delivery; antenatal morbidity; mode of delivery, any adverse neonatal outcome; and gender of the new baby. Race/ethnicity was classified as non-Hispanic white, non-Hispanic black, Hispanic, American Indian/Alaska Native, Asian/Pacific Islander, and non-Hispanic other or mixed race. Antenatal maternal morbidity was assessed by whether a respondent reported having experienced none, 1, 2, 3, or, more than 3 of the following problems during her most recent pregnancy: gestational diabetes (diagnosed by a health care worker); vaginal bleeding; kidney or bladder infection; severe nausea, vomiting or dehydration; cervix had to be sewn shut; high blood pressure; placental problems; preterm or early labor; premature rupture of the membranes; having a blood transfusion; and being hurt in a car accident. A mother whose new baby had a birth defect, low birthweight, preterm birth, or had to be admitted to an intensive care unit, was considered to have experienced an adverse neonatal outcome. Following the guidelines issued by the U.S. Department of Health & Human Services (HHS) for the years 2009, 2010 and 2011, income relative to the federal poverty level was calculated using annual household income and the number of dependents including the woman and her new child. Race/ethnicity was used to stratify all the analyses (described in the next section). All the variables included in the analyses were categorical.



## Analysis

Chi-square tests of independence were done to compare the distribution of each variable with maternal race/ethnicity. The prevalence of postpartum depression was compared between those who did and did not experience each stressful life event. This comparison was done separately for each racial/ethnic group. Postpartum depression prevalence was also examined according to the different levels of all covariates.

The independent variables and postpartum depression were dummy coded. For each racial/ethnic group, the variables that were statistically significant in bivariate analyses were introduced in a multivariable logistic regression model with postpartum depression as the outcome, and the adjusted odds ratios (aORs) and 95% confidence intervals (CIs) were reported. SAS 9.4 (SAS Institute Inc., Cary, NC, US) was used for all analyses. SAS procedures that account for survey design (Proc Survey) were employed to adjust for the analysis weights.

## Results

Of 116,595 respondents in the PRAMS 2009–11 dataset, 87,565 (75%) had valid responses to all variables of interest and were utilized in the bivariate and multivariable analyses. Sixty-three percent were non-Hispanic whites, 12.0% non-Hispanic blacks, 17.7% Hispanics, 0.8% American Indians/Alaska Natives, 4.7% Asians/Pacific Islanders, and the rest belonged to other/mixed races (results not shown in table). The distribution of most of the variables, differed significantly ( $P < 0.05$ ) between the racial/ethnic groups,

but the disparities were striking for some factors (table 1). Sixty-three percent of the Hispanics were in the  $\leq 100\%$  FPL category, compared with 23.2% of Asians/Pacific Islanders, and 25.8% of non-Hispanic whites. The proportion of women in the highest education category was the highest among Asians/Pacific Islanders and the lowest among American Indians/Alaska Natives. Less than 9% of non-Hispanic whites had inadequate prenatal care utilization, compared with 19% of American Indians/Alaska Natives. The proportion of women reporting provider communication on perinatal depression was higher than 70% for the entire sample, ranging from 60.2% among Asians/Pacific Islanders to 76.2% among non-Hispanic blacks. For each racial/ethnic group, the most common antenatal stressful life event was moving to a new address, which was reported by 29% to 42% of the respondents. American Indians/Alaska Natives reported the highest prevalence for six antenatal stressful life events, including sickness and hospitalization of a close family member, separation/divorce, moving to a new address, incarceration of herself or husband/partner, drug/alcohol problems of someone very close, and death of someone very close. The highest proportions of loss of job, having more than usual arguments with husband/partner, husband/partner not wanting the pregnancy, having a lot of bills that she could not pay, and being in a physical fight, were noted amongst non-Hispanic blacks. For homelessness and loss of job of husband/partner, Hispanics had the highest prevalence. Eleven percent women met the criteria for postpartum depression; the prevalence ranged from 7.9% among Asians/Pacific Islanders to 14.0% among American Indians/Alaska Natives (table 1).

Women in the lower income and education categories generally had a higher prevalence of postpartum depression than those in the highest categories respectively

(table 2). Having a pre-pregnancy check-up or treatment for depression; lack of intention to become pregnant at the time (or before) her last pregnancy; experiencing intimate partner physical violence; and experiencing a higher number of maternal morbidities significantly associated with postpartum depression symptoms, irrespective of race/ethnicity. Those who experienced a stressful life event had a 2 to 3 times unadjusted prevalence of postpartum depression than those who did not experience it. The proportion of women experiencing postpartum depression symptoms was lower among those who reported provider communication on perinatal depression compared with those who did not have this communication for non-Hispanic whites, non-Hispanic blacks, Hispanics, and Asians/Pacific Islanders. The difference in postpartum depression prevalence between those with and without a provider communication was statistically significant for non-Hispanic whites, non-Hispanic blacks and the Hispanics (table 2).

In multivariable analyses (table 3), belonging to the  $\geq 401\%$  FPL category, compared with the 100% or less FPL bracket, was a protective factor for non-Hispanic whites (aOR: 0.83; 95% CI: 0.70, 0.97) and non-Hispanic blacks (aOR: 0.46; 95% CI: 0.31, 0.69) (table 3). All, but Asians/Pacific Islanders, with pre-pregnancy depression check-up or treatment had a significantly higher odds of postpartum depression compared with those who did not have this check-up. Non-Hispanic whites, Hispanics, and Asians/Pacific Islanders without any intention to be pregnant even in the future had more than 1.5 times the odds of experiencing postpartum depression, compared with those who wanted to be pregnant then or sooner. Having experienced IPPV was a significant correlate of postpartum depression for most women, but the adjusted odds were notably high (nearly 2.5) among Hispanics and American Indians/Alaska Natives. Among

women of all the racial/ethnic groups, with the exception of those of other or mixed race, having more than usual arguments with husband or partner increased the adjusted odds of postpartum depression. Especially, Hispanics experiencing this stressful life event were nearly four times as likely to have postpartum depression, compared with those who did not have more than usual arguments with partner. Non-Hispanic blacks, whose husband or partner did not want the pregnancy, were more likely (aOR: 1.47; 95% CI: 1.14, 1.90) to experience postpartum depression. Irrespective of race/ethnicity, having a lot of bills that they were unable to pay was a significant risk factor, whereas drug or drinking problems of someone very close was significant (aOR: 1.37; 95% CI: 1.21, 1.55) for non-Hispanic whites (table 3). Provider communication was inversely associated with postpartum depression for the non-Hispanic whites (aOR: 0.77; 95% CI: 0.69, 0.85) and non-Hispanic blacks (aOR: 0.74; 95% CI: 0.60, 0.93) (table 3).

## Discussion

Approximately 11% of all the respondents in our study reported postpartum depression symptoms. American Indians/Alaska Natives had the highest prevalence, followed by non-Hispanic blacks, non-Hispanic whites, Hispanics and Asians/Pacific Islanders in that order. More than 70% reported that their antenatal care provider discussed perinatal depression; the proportion ranging from 60% among Asians/Pacific Islanders to 76% among Hispanics. Among the antenatal stressful life events, having more than usual arguments with husband/partner, husband/partner not wanting the woman to be pregnant, and having a lot of bills that she was unable to pay, were common risk factors of postpartum depression, even after taking into account maternal socio-

demographic characteristics, and other pre-pregnancy, antepartum, intrapartum, postpartum and neonatal factors. Provider communication about perinatal depression significantly reduced the adjusted odds of postpartum depression among non-Hispanic blacks and non-Hispanic whites.

The prevalence of postpartum depression symptoms in all the racial/ethnic groups, barring Asians/Pacific Islanders, was  $\geq 11\%$ , with American Indians/Alaska Natives having the highest proportion. The prevalence of postpartum depression symptoms in 2004–2005 was the highest among non-Hispanic blacks and/or Hispanics in most of the states (CDC, 2008). Most of the other studies that have looked at racial/ethnic distribution of postpartum depression (Liu & Tronick, 2013, 2014) have focused on single states. In addition, none of these studies were able to examine the proportion of women with postpartum depression symptoms among the American Indians/Alaska Natives. Our finding regarding the highest prevalence of postpartum depression symptoms among American Indians/Alaska Natives might be a reflection of the fact that in general, American Indians/Alaska Natives adults have a higher prevalence of any mental illness, as reported in the Substance Abuse and Mental Health Services Administration (SAMHSA). This is also corroborated by our observation that the proportion of women reporting a pre-pregnancy check-up or treatment for depression was the highest among American Indians/Alaska Natives. Factors leading to negative mental health consequences among American Indians/Alaska Natives might include adverse life situations, lower SES, and historical aspects, such as being removed from their lands, and attempts to eradicate the native culture (Office of the Surgeon General [US] and the Center for Mental Health Services [US], 2001). Discrimination, which has been linked

with postpartum depression (Canady et al. 2008) and is more likely to be experienced by the minorities, could also explain the racial/ethnic disparities. Although it is difficult to explain the low prevalence of postpartum depression symptoms among Asians/Pacific Islanders, ethnic group density (Pickett & Wilkinson, 2008) and nativity might have played a role. An analysis of 2002–03 National Latino and Asian American Study data revealed that immigrants, compared with U.S. born Asians, were less likely to have anxiety or depression (John et al., 2012).

Similar to the results of an analysis of New York City PRAMS data of 2004-2007 (Liu et al., 2013), we observed that the prevalence of provider-patient conversation on perinatal depression was the lowest among Asians/Pacific Islanders in each of the 30 states and in NYC. A qualitative study among Asian Indian mothers living in Northern California suggested that this group of women might prefer family or social support, rather than the help of a mental health care provider (Goyal et al., 2015). They also shared that depression was usually not taken seriously; mental health help-seeking was often viewed in the family as a weakness, and as an attempt at attention-seeking (Goyal et al., 2015). It will be interesting to examine whether these factors apply to Asians/Pacific Islanders from other countries as well, thereby making them uncomfortable or reluctant to engage in any discussion regarding potential future depressive symptoms with their antenatal care providers. Language barriers, especially among first generation immigrants, might also have contributed to this lack of communication.

In the context of postpartum depression, studies conducted in diverse settings, including Mexico, USA, Korea, and Australia have reported the beneficial roles of

antenatal interventions, such as psychotherapy, psychoeducation, interpersonal therapy and cognitive behavioral therapy (Clatworthy, 2012). Our findings highlight the importance of provider communication on perinatal depression, which can be a component of routine antenatal care, without requiring any additional intervention. The absence of significant unadjusted and adjusted provider communication-postpartum depression associations, respectively, among Asians/Pacific Islanders and Hispanics, might be due to cultural and/or language barriers. Contrary to the other groups, American Indians/Alaska Natives with provider-patient communication actually had a higher (14.5%) unadjusted prevalence of postpartum depression than those without the communication (13.0%). This could be attributed to the extremely low likelihood of an American Indians/Alaska Natives pregnant woman to have someone of her own race, as her health care provider, which is likely among all minority groups, but more so among American Indians/Alaska Natives, who constitute a negligible proportion of the U.S. health care workforce; in 2010-12 only 0.2% of the physicians and 0.4% of the registered nurses were American Indians/Alaskan Natives (U.S. Department of Health and Human Services, Health Resources and Services Administration, and National Center for Health Workforce Analysis, 2014). Although the evidence is inconclusive (Meghani et al., 2009), provider-patient concordance in race, ethnicity and language has been hypothesized to result in improved communication, understanding, trust and decision-making (U.S. Department of Health and Human Services, Health Resources and Services Administration, and Bureau of Health Professions, 2006). It is possible that a race/ethnicity discordant provider-patient conversation, especially on a sensitive topic such as mental health, results in more harms than benefits, among American

Indians/Alaska Natives. However, this needs to be cautiously interpreted in the absence of any data on providers' race/ethnicity in our study.

Our observation that being told by the husband/partner that he did not want the pregnancy was a significant risk factor for postpartum depression only for non-Hispanic blacks, even after controlling for covariates, coupled with the fact that this particular stressful life event also had the highest prevalence among non-Hispanic blacks, may be related to inadequate partner support, which has been found to disproportionately affect the perinatal mental health of non-Hispanic blacks, compared with non-Hispanic whites (Cheng et al., 2016). High partner-related or relational stress has previously been noted to be a risk factor for the minorities, but not for the non-Hispanic whites (Liu et al., 2016). Although drug/alcohol problems of someone very close had a strong association with the unadjusted postpartum depression prevalence for all race/ethnicities, in the multivariable model, this stressful life event was a significant predictor only for the non-Hispanic whites. This suggests that for the non- non-Hispanic whites, covariates, such as, belonging to a lower income category, experiencing IPPV, and having a lot of bills, were more important postpartum depression correlates. It is possible that provider communication buffers some of the impact of the antenatal stressor by making the women better prepared to cope with pregnancy and postpartum, and thereby reduces the odds of postpartum depression.

### Limitations

There are a number of limitations to this study. Potential correlates of postpartum depression, such as intimate partner violence experienced after childbirth, and social



support could not be included because the information was unavailable or available from very few states. Because the questions on antenatal stressful life events in PRAMS asked about the 12 months prior to childbirth, a woman reporting an event may have experienced it during the year before childbirth, but within the 2-3 months before she got pregnant. Based on data from 30 out of the 50 states, this analysis may not be generalizable to the entire U.S. Furthermore, 25% of the observations had to be excluded from our analyses because of missing information on one or more variables of interest. There were some striking differences between women with all valid responses and those with missing response(s) to at least one variable of interest. Forty one percent, 20% and 29% of the 23,101 women with missing responses to one or more variables of interest were non-Hispanic whites, non-Hispanic blacks, and Hispanics respectively, as opposed to 63%, 12% and 18% among those without any missing response (results not shown in table). Nevertheless, it is somewhat reassuring that the racial/ethnic distribution of the sample included in our analyses was not strikingly different that of the national population of 2010. The antenatal stressful life event with the most notable difference in prevalence between women with missing (6%) and non-missing responses (3.2%) was homelessness. However, among women with one or more missing responses, the proportion with provider communication on perinatal depression (73.3%) and postpartum depression (11.1%) was fairly close to the respective proportions (70.4% and 11.7%) among women with valid responses. Another limitation in our study was that women experiencing postpartum depression could have been more likely to remember specific stressful life events, and whether they had a provider communication on perinatal depression, leading to recall bias. However, this bias was more likely to bring the OR

towards the null; the fact that the OR is significantly lower than null despite that suggests that recall bias may not have been a major issue as far as investigating the effect of provider communication is concerned. Self-reported postpartum depression symptoms are likely to have subjective variation and social-desirability bias. Lastly, with cross-sectional data, temporal relationship cannot be established between the variables. This problem is partially obviated by the fact that an event during pregnancy must have preceded postpartum depression. We also did not have any information on the women's experiences of perceived discrimination during the peripartum.

### Conclusions

Despite the limitations, our study has examined the racial/ethnic disparities in the relationship between antenatal stressful life events and postpartum depression using a multi-state population-based dataset, after taking into consideration a number of covariates. This information can help antenatal health care providers identify women at risk for postpartum depression, after taking into consideration the race/ethnicity-specific vulnerabilities of different racial/ethnic groups to specific antenatal stressful life events. In addition, this study points out the benefits of health care provider communication on perinatal depression during antenatal check-ups. With a growing recognition of the importance of peripartum mental health issues, considering the recent USPSTF recommendations, and in light of our findings, the importance of provider communication to reduce postpartum depression, cannot be overemphasized. This conversation seems especially important to mitigate the adverse consequences of specific stressful life events and to decrease the probability of postpartum depression. Despite the

general benefits, the potential reasons as to why American Indians/Alaska Natives and Asians/Pacific Islanders women have not been benefitted by this communication, merit in-depth investigation. Our findings can help guide policy changes on provider communication on perinatal depression, as well as to make this communication culturally appropriate.

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## Tables and figures

Table 1: Racial and ethnic distribution of antenatal stressful life events (SLEs), postpartum depression (PPD), socio-demographic characteristics and pre-pregnancy and antenatal factors and all covariates among women in the United States, who have had a recent live birth (PRAMS, 2009-11)

	Non-Hispanic white	Non-Hispanic black	Hispanic	American Indian/Alaska Native	Asian/Pacific Islander	Non-Hispanic other, or mixed race
	% (95% CI) <sup>a</sup> N=49,949	% (95% CI) <sup>a</sup> N=12,666	% (95% CI) <sup>a</sup> N=11,874	% (95% CI) <sup>a</sup> N=2,757	% (95% CI) <sup>a</sup> N=7,169	% (95% CI) <sup>a</sup> N=3,150
<i>Socio-demographic characteristics</i>						
Age in years <sup>****</sup>						
25-29	31.2 (30.5, 31.8)	27.3 (25.9, 28.7)	28.2 (26.6, 29.8)	30.3 (26.8, 33.8)	28.9 (27.0, 30.8)	27.8 (24.7, 30.9)
Less than 20	5.5 (5.2, 5.8)	10.8 (9.8, 11.7)	10.3 (9.3, 11.4)	14.1 (11.2, 17.0)	2.3 (1.7, 2.9)	10.6 (8.3, 12.9)
20-24	20.4 (19.9, 21.0)	30.7 (29.3, 32.2)	27.2 (25.6, 28.8)	33.6 (30.0, 37.2)	10.2 (9.0, 11.4)	24.8 (21.8, 27.8)
30-34	28.2 (27.6, 28.8)	19.2 (18.0, 20.4)	21.4 (19.9, 22.8)	16.6 (13.4, 19.8)	35.3 (33.3, 37.4)	23.1 (20.1, 26.2)
35 and above	14.7 (14.3, 15.2)	12.0 (11.1, 12.9)	12.9 (11.7, 14.0)	5.4 (4.2, 6.5)	23.2 (21.4, 25.0)	13.7 (11.1, 16.3)
Maternal education <sup>****</sup>						
≥ 16 yrs	40.8 (40.2, 41.5)	16.9 (15.8, 18.0)	10.8 (9.8, 11.9)	9.9 (7.3, 12.6)	61.8 (59.8, 63.8)	28.0 (24.9, 31.1)
0-8 yrs	1.0 (0.8, 1.2)	1.1 (0.8, 1.4)	13.4 (12.2, 14.6)	1.1 (0.4, 1.8)	1.2 (0.7, 1.7)	1.8 (0.5, 3.0)
9-11 yrs	7.0 (6.6, 7.4)	14.3 (13.2, 15.4)	22.2 (20.8, 23.7)	23.3 (19.8, 26.7)	4.2 (3.4, 5.0)	13.0 (10.5, 15.5)
12 yrs	22.4 (21.8, 23.0)	32.7 (31.3, 34.1)	32.0 (30.3, 33.7)	36.4 (32.8, 40.0)	14.9 (13.6, 16.2)	24.9 (21.9, 28.0)
13-15 yrs	28.8 (28.2, 29.4)	35.0 (33.5, 36.4)	21.5 (20.1, 23.0)	29.3 (25.8, 32.7)	18.0 (16.4, 19.6)	32.3 (29.0, 35.6)
Income in relation to federal poverty level <sup>****</sup>						
≤ 100%	25.8 (25.2, 26.4)	59.1 (57.6, 60.6)	62.5 (60.8, 64.2)	61.3 (57.5, 65.1)	23.2 (21.4, 24.9)	43.0 (39.5, 46.5)
101-200%	21.0 (20.5, 21.6)	21.9 (20.7, 23.2)	21.5 (20.0, 22.9)	22.4 (19.3, 25.5)	17.5 (16.0, 18.9)	23.5 (20.5, 26.6)
201-300%	7.0 (6.7, 7.3)	4.6 (4.0, 5.2)	3.5 (2.9, 4.0)	3.7 (2.5, 4.9)	7.1 (6.1, 8.1)	6.1 (4.5, 7.6)
301-400%	4.1 (3.9, 4.4)	1.3 (1.1, 1.6)	1.6 (1.1, 2.1)	2.0 (1.1, 3.0)	3.6 (2.9, 4.4)	2.8 (1.6, 3.9)
≥ 401%	42.0 (41.3, 42.7)	13.0 (12.0, 14.1)	10.9 (9.8, 12.0)	10.6 (7.9, 13.3)	48.7 (46.6, 50.8)	24.6 (21.6, 27.6)
Marital status <sup>****</sup>						
Married	73.1 (72.5, 73.8)	28.8 (27.5, 30.2)	50.6 (48.8, 52.3)	41.2 (37.4, 45.0)	84.5 (83.1, 85.8)	56.1 (52.6, 59.7)
Not married	26.9 (26.2, 27.5)	71.2 (69.8, 72.5)	49.4 (47.7, 51.2)	58.8 (55.0, 62.6)	15.5 (14.2, 16.9)	43.9 (40.3, 47.4)

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	Non-Hispanic white	Non-Hispanic black	Hispanic	American Indian/Alaska Native	Asian/Pacific Islander	Non-Hispanic other, or mixed race
	% (95% CI) <sup>a</sup> N=49,949	% (95% CI) <sup>a</sup> N=12,666	% (95% CI) <sup>a</sup> N=11,874	% (95% CI) <sup>a</sup> N=2,757	% (95% CI) <sup>a</sup> N=7,169	% (95% CI) <sup>a</sup> N=3,150
<i>Pre-pregnancy and antenatal factors</i>						
Pre-pregnancy check-up/treatment for depression <sup>****</sup>						
No	86.8 (86.4, 87.3)	90.1 (89.3, 91.0)	91.7 (90.8, 92.6)	84.7 (81.6, 87.8)	94.7 (93.9, 95.6)	86.3 (84.0, 88.5)
Yes	13.1 (12.7, 13.6)	9.9 (9.0, 10.7)	8.3 (7.4, 9.2)	15.3 (12.2, 18.4)	5.3 (4.4, 6.1)	13.7 (11.5, 16.0)
Previous number of live births <sup>****</sup>						
0	42.6 (41.9, 43.3)	37.9 (36.4, 39.4)	32.5 (30.9, 34.1)	37.8 (33.9, 41.7)	47.2 (45.1, 49.3)	43.0 (39.5, 46.5)
1	33.8 (33.1, 34.4)	29.5 (28.1, 30.8)	31.0 (29.3, 32.6)	27.4 (24.1, 30.7)	34.7 (32.7, 36.7)	30.5 (27.2, 33.7)
2	15.0 (14.6, 15.5)	18.2 (17.0, 19.4)	20.5 (19.0, 21.9)	17.6 (14.5, 20.6)	12.4 (11.0, 13.8)	17.0 (14.2, 19.9)
3+	8.6 (8.2, 9.0)	14.4 (13.3, 15.5)	16.0 (14.7, 17.4)	17.2 (14.5, 19.9)	5.7 (4.8, 6.5)	9.5 (7.4, 11.7)
Intention to get pregnant before the most recent pregnancy (when did she intend to be pregnant) <sup>****</sup>						
Then/sooner	64.9 (64.2, 65.3)	36.3 (34.8, 37.7)	53.1 (51.3, 54.9)	47.6 (43.7, 51.5)	69.7 (67.9, 71.6)	55.4 (51.9, 58.9)
Later	27.3 (26.7, 27.9)	43.3 (41.8, 44.8)	37.4 (35.7, 39.1)	39.1 (35.3, 42.9)	23.0 (21.4, 24.7)	32.4 (29.2, 35.7)
Never	7.8 (7.4, 8.2)	20.4 (19.2, 21.7)	9.5 (8.5, 10.6)	13.3 (10.8, 15.8)	7.2 (6.2, 8.3)	12.1 (9.6, 14.7)
Prenatal care utilization <sup>****</sup>						
Adequate	49.2 (48.5, 49.9)	39.3 (37.8, 40.8)	42.6 (40.9, 44.4)	37.7 (33.8, 41.6)	49.1 (47.0, 51.2)	40.9 (37.4, 44.4)
Inadequate	8.5 (8.1, 8.9)	18.6 (17.4, 19.8)	18.0 (16.6, 19.4)	18.9 (16.1, 21.8)	10.2 (8.8, 11.5)	14.1 (11.5, 16.7)
Intermediate	12.4 (12.0, 12.9)	13.8 (12.7, 14.8)	14.1 (12.9, 15.4)	16.6 (13.9, 19.2)	14.6 (13.1, 16.0)	12.9 (10.7, 15.1)
Adequate plus	29.9 (29.3, 30.5)	28.3 (27.0, 29.7)	25.3 (23.8, 26.8)	26.8 (23.4, 30.2)	26.2 (24.4, 28.0)	32.1 (28.7, 35.4)
Health care provider communication on perinatal depression <sup>****</sup>						
No	30.4 (29.7, 31.0)	23.8 (22.5, 25.1)	28.2 (26.6, 29.8)	27.4 (23.7, 31.1)	39.8 (37.7, 41.9)	28.2 (28.6, 29.8)
Yes	69.6 (69.0, 70.3)	76.2 (74.9, 77.5)	71.8 (70.2, 73.4)	72.6 (68.9, 76.3)	60.2 (58.1, 62.3)	69.9 (66.6, 73.2)
Experienced intimate partner physical violence during pregnancy <sup>****</sup>						
No	97.9 (97.7, 98.1)	94.5 (93.9, 95.2)	96.5 (95.8, 97.1)	95.0 (93.5, 96.6)	97.9 (97.4, 98.5)	95.6 (94.1, 97.2)
Yes	2.1 (1.9, 2.3)	5.5 (4.8, 6.1)	3.5 (2.9, 4.2)	5.0 (3.4, 6.5)	2.1 (1.5, 2.6)	4.4 (2.8, 5.9)
Health care provider communication on intimate partner physical violence <sup>****</sup>						
No	56.0 (55.4, 56.7)	36.8 (35.4, 38.3)	40.1 (38.3, 41.8)	40.0 (36.0, 43.9)	59.2 (57.1, 61.2)	46.8 (43.3, 50.4)
Yes	44.0 (43.3, 44.6)	63.2 (61.7, 64.6)	59.9 (58.2, 61.7)	60.0 (56.1, 64.0)	40.8 (38.8, 42.9)	53.2 (49.6, 56.7)

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	Non-Hispanic white	Non-Hispanic black	Hispanic	American Indian/Alaska Native	Asian/Pacific Islander	Non-Hispanic other, or mixed race
	% (95% CI) <sup>a</sup> N=49,949	% (95% CI) <sup>a</sup> N=12,666	% (95% CI) <sup>a</sup> N=11,874	% (95% CI) <sup>a</sup> N=2,757	% (95% CI) <sup>a</sup> N=7,169	% (95% CI) <sup>a</sup> N=3,150
<b>Maternal morbidities during pregnancy<sup>****</sup></b>						
None	39.7 (39.0, 40.4)	28.8 (27.3, 30.3)	33.6 (31.9, 35.3)	28.1 (24.7, 31.5)	41.3 (39.3, 43.4)	33.7 (30.4, 37.1)
1	30.4 (29.7, 31.0)	30.1 (28.7, 31.5)	29.8 (28.2, 31.4)	29.4 (25.9, 33.0)	33.3 (31.3, 35.3)	31.6 (28.3, 34.9)
2	17.0 (16.5, 17.5)	21.1 (19.8, 22.3)	20.2 (18.8, 21.7)	21.6 (18.3, 24.9)	16.5 (15.0, 18.0)	18.8 (16.1, 21.5)
3	8.2 (7.8, 8.6)	11.6 (10.7, 12.5)	10.0 (8.9, 11.0)	12.1 (9.8, 14.5)	5.7 (4.9, 6.6)	9.1 (7.1, 11.2)
4+	4.7 (4.5, 5.0)	8.4 (7.6, 9.2)	6.4 (5.6, 7.3)	8.7 (6.5, 10.9)	3.2 (2.4, 3.9)	6.7 (5.2, 8.3)
<b>Insurance for PNC and delivery<sup>****</sup></b>						
Yes	98.0 (97.8, 98.2)	98.3 (97.9, 98.6)	92.8 (91.9, 93.7)	98.3 (97.8, 98.8)	98.1 (97.5, 98.7)	97.2 (95.6, 98.7)
No (either/ both)	2.0 (1.8, 2.2)	1.7 (1.4, 2.1)	7.2 (6.3, 8.1)	1.7 (1.2, 2.2)	1.9 (1.3, 2.5)	2.8 (1.3, 4.4)
<b>Stressful events experienced during the 12 months prior to childbirth</b>						
<b>A close family member was very sick and had to go to the hospital<sup>****</sup></b>						
No	74.8 (74.2, 75.4)	76.5 (75.3, 77.7)	81.6 (80.2, 83.0)	71.4 (67.9, 75.0)	84.7 (83.2, 86.1)	76.9 (74.0, 79.7)
Yes	25.2 (24.6, 25.8)	23.5 (22.3, 24.7)	18.4 (17.0, 19.8)	28.6 (25.0, 32.1)	15.3 (13.9, 16.8)	23.1 (20.3, 26.0)
<b>She got separated or divorced from husband or partner<sup>****</sup></b>						
No	94.1 (93.7, 94.4)	87.4 (86.4, 88.5)	89.6 (88.5, 90.7)	86.5 (83.6, 89.5)	97.5 (97.0, 98.1)	91.9 (90.0, 93.8)
Yes	5.9 (5.6, 6.3)	12.6 (11.5, 13.6)	10.4 (9.3, 11.5)	13.5 (10.5, 16.4)	2.5 (1.9, 3.0)	8.1 (6.2, 10.0)
<b>She moved to a new address<sup>****</sup></b>						
No	66.9 (66.3, 67.6)	62.9 (61.5, 64.4)	67.1 (65.5, 68.8)	58.0 (54.2, 61.7)	71.5 (70.0, 73.3)	61.2 (57.7, 64.6)
Yes	33.1 (32.4, 33.7)	37.1 (35.6, 38.5)	32.9 (31.2, 34.5)	42.0 (38.3, 45.8)	28.5 (26.7, 30.4)	38.8 (35.4, 42.3)
<b>She was homeless<sup>****</sup></b>						
No	98.2 (98.0, 98.4)	94.8 (94.2, 95.4)	92.6 (91.7, 93.6)	94.5 (93.2, 95.9)	99.4 (99.2, 99.6)	96.7 (95.7, 97.8)
Yes	1.8 (1.6, 2.0)	5.2 (4.6, 5.8)	7.4 (6.4, 8.3)	5.5 (4.1, 6.8)	0.6 (0.4, 0.8)	3.3 (2.2, 4.3)
<b>Her husband or partner lost his job<sup>****</sup></b>						
No	87.1 (86.6, 87.5)	84.5 (83.4, 85.6)	81.0 (79.6, 82.4)	82.7 (79.8, 85.5)	91.0 (89.8, 92.2)	83.9 (81.3, 86.5)
Yes	12.9 (12.5, 13.4)	15.5 (14.4, 16.6)	19.0 (17.6, 20.4)	17.3 (14.5, 20.2)	9.0 (7.8, 10.2)	16.1 (13.5, 18.7)



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She lost her job even though she wanted to continue working <sup>****</sup>						
No	91.7 (91.3, 92.1)	81.7 (80.5, 82.9)	84.4 (83.1, 85.7)	87.7 (85.5, 90.0)	93.2 (92.2, 94.3)	89.8 (87.8, 91.9)
Yes	8.3 (7.9, 8.7)	18.3 (17.1, 19.5)	15.6 (14.3, 16.9)	12.3 (10.0, 14.5)	6.8 (5.7, 7.8)	10.2 (8.1, 12.2)
She had more than usual arguments with husband or partner <sup>****</sup>						
No	78.6 (78.1, 79.2)	64.3 (62.8, 65.7)	74.9 (73.4, 76.5)	70.6 (67.1, 74.1)	81.5 (79.8, 83.1)	73.2 (70.2, 76.3)
Yes	21.4 (20.8, 21.9)	35.7 (34.3, 37.2)	25.1 (23.5, 26.6)	29.4 (25.9, 32.9)	18.5 (16.9, 20.2)	26.8 (23.7, 29.8)
Her husband/partner said that he did not want the pregnancy <sup>****</sup>						
No	93.3 (92.9, 93.6)	85.6 (84.6, 86.7)	92.6 (91.7, 93.6)	90.2 (88.0, 92.5)	96.4 (95.8, 97.1)	91.7 (89.7, 93.8)
Yes	6.7 (6.4, 7.1)	14.4 (13.3, 15.4)	7.4 (6.4, 8.3)	9.8 (7.5, 12.0)	3.6 (2.9, 4.2)	8.3 (6.2, 10.3)
She had a lot of bills that she could not pay <sup>****</sup>						
No	79.9 (79.3, 80.4)	69.1 (67.7, 70.5)	73.1 (71.5, 74.7)	71.5 (68.0, 75.0)	89.0 (87.8, 90.3)	73.5 (70.4, 76.6)
Yes	20.1 (19.6, 20.7)	30.9 (29.5, 32.3)	26.9 (25.3, 28.5)	28.5 (25.0, 32.0)	11.0 (9.7, 12.2)	26.5 (23.4, 29.6)
She was in a physical fight <sup>****</sup>						
No	97.5 (97.3, 97.7)	92.2 (91.4, 93.0)	95.8 (95.1, 96.6)	94.4 (93.0, 95.8)	98.0 (97.4, 98.6)	94.6 (92.7, 96.4)
Yes	2.5 (2.3, 2.7)	7.8 (7.0, 8.6)	4.2 (3.4, 4.9)	5.8 (4.2, 7.0)	2.0 (1.4, 2.6)	5.4 (3.6, 7.3)
Her husband or partner or she went to jail <sup>****</sup>						
No	96.8 (96.5, 97.0)	92.1 (91.4, 92.9)	96.2 (95.5, 96.9)	90.3 (88.3, 92.3)	99.1 (98.8, 99.4)	93.1 (91.0, 95.1)
Yes	3.2 (3.0, 3.5)	7.9 (7.1, 8.6)	3.8 (3.1, 4.5)	9.7 (7.7, 11.7)	0.9 (0.6, 1.2)	6.9 (4.9, 9.0)
Someone very close to her had a problem with drinking or drugs <sup>****</sup>						
No	87.0 (86.5, 87.4)	87.6 (86.6, 88.5)	88.8 (87.6, 90.0)	73.7 (70.2, 77.1)	96.8 (96.2, 97.4)	86.7 (84.4, 89.0)
Yes	13.0 (12.6, 13.5)	12.4 (11.5, 13.4)	11.2 (10.0, 12.4)	26.3 (22.9, 29.8)	3.2 (2.6, 3.8)	13.3 (11.0, 15.6)
Someone very close to her died <sup>****</sup>						
No	83.9 (83.4, 84.4)	78.7 (77.5, 79.9)	82.7 (81.3, 84.0)	72.6 (69.0, 76.1)	90.9 (89.7, 92.0)	85.8 (83.5, 88.1)
Yes	16.1 (15.6, 16.6)	21.3 (20.1, 22.5)	17.3 (16.0, 18.7)	27.4 (23.9, 31.0)	9.1 (8.0, 10.3)	14.2 (11.9, 16.5)

Table 1: Racial and ethnic distribution of antenatal stressful life events (SLEs), postpartum depression (PPD), socio-demographic characteristics and pre-pregnancy and antenatal factors and all covariates among women in the United States, who have had a recent live birth (PRAMS, 2009-11)

	Non-Hispanic white	Non-Hispanic black	Hispanic	American Indian/Alaska Native	Asian/Pacific Islander	Non-Hispanic other, or mixed race
	% (95% CI) <sup>a</sup> N=49,949	% (95% CI) <sup>a</sup> N=12,666	% (95% CI) <sup>a</sup> N=11,874	% (95% CI) <sup>a</sup> N=2,757	% (95% CI) <sup>a</sup> N=7,169	% (95% CI) <sup>a</sup> N=3,150
<i>Delivery and neonatal factors</i>						
Vaginal delivery <sup>**</sup>						
No	33.0 (32.4, 33.7)	35.8 (34.4, 37.3)	32.2 (30.5, 33.8)	28.4 (25.0, 31.9)	34.5 (32.5, 36.5)	34.2 (30.8, 37.6)
Yes	67.0 (66.3, 67.6)	64.2 (62.7, 65.6)	67.8 (66.2, 69.5)	71.6 (68.1, 75.0)	65.5 (63.5, 67.5)	65.8 (62.4, 69.2)
Any adverse outcome(s) of the new baby <sup>****</sup>						
No	83.8 (83.3, 84.2)	76.4 (75.4, 77.5)	80.9 (79.6, 82.2)	83.3 (80.9, 85.8)	79.7 (78.1, 81.2)	80.1 (77.4, 82.8)
Yes	16.2 (15.8, 16.7)	23.5 (22.5, 24.6)	19.1 (17.8, 20.4)	16.7 (14.2, 19.1)	20.3 (18.8, 21.9)	19.9 (17.2, 22.6)
Sex of the new baby						
Male	51.6 (50.9, 52.2)	50.6 (49.1, 52.1)	49.7 (47.9, 51.5)	52.0 (48.1, 55.9)	50.3 (48.2, 52.4)	54.2 (50.6, 57.7)
Female	48.4 (47.8, 49.1)	49.4 (47.9, 50.9)	50.3 (48.5, 52.1)	48.0 (44.1, 51.9)	49.7 (47.6, 51.8)	45.8 (42.3, 49.4)
<i>Postpartum depression</i> <sup>****</sup>						
No	89.0 (88.5, 89.4)	87.2 (86.2, 88.2)	89.1 (88.0, 90.2)	86.0 (83.2, 88.8)	92.1 (91.0, 93.2)	88.5 (86.3, 90.7)
Yes	11.0 (10.6, 11.4)	12.8 (11.8, 13.8)	10.9 (9.8, 12.0)	14.0 (11.2, 16.8)	7.9 (6.8, 9.0)	11.5 (9.3, 13.7)

<sup>a</sup>: Among women of a particular racial/ethnic group, proportion of women (% and 95% confidence interval[CI]) within each category of the variable

<sup>\*\*</sup>: Chi-square p<0.01 for relationship of the selected variable with race/ethnicity

<sup>\*\*\*\*</sup>: Chi-square p<0.0001 for relationship of the selected variable with race/ethnicity

Table 2: Distribution of postpartum depression among women in the United States, who have had a recent live birth (PRAMS 2009-11), by race/ethnicity

	Postpartum Depression (PPD)					
	Non-Hispanic white % (95% CI) <sup>a</sup> N=49,949	Non-Hispanic black % (95% CI) <sup>a</sup> N=12,666	Hispanic % (95% CI) <sup>a</sup> N=11,874	American Indian/ Alaska Native % (95% CI) <sup>a</sup> N=2,757	Asian/Pacific Islander % (95% CI) <sup>a</sup> N=7,169	Non-Hispanic other, or mixed race % (95% CI) <sup>a</sup> N=3,150
<i>Socio-demographic characteristics</i>						
<i>Age in years</i>						
25-29	10.8 (10.0, 11.6)****	13.1 (11.2, 15.0)**	9.8 (7.8, 11.7)	14.2 (10.1, 18.4)	9.5 (7.1, 11.8)	11.7 (7.5, 15.9)
Less than 20	17.5 (15.1, 19.9)	12.3 (9.5, 15.0)	11.6 (8.6, 14.6)	16.4 (8.9, 24.0)	9.0 (2.7, 15.3)	14.0 (7.1, 21.0)
20-24	14.2 (13.1, 15.2)	15.4 (13.3, 17.5)	12.0 (9.7, 14.4)	11.7 (8.2, 15.1)	9.3 (5.3, 13.3)	12.0 (7.9, 16.1)
30-34	9.1 (8.4, 9.8)	10.5 (8.4, 12.6)	10.5 (8.2, 12.8)	16.7 (7.0, 26.4)	7.6 (5.6, 9.6)	8.9 (4.8, 13.0)
35 and above	8.5 (7.6, 9.5)	9.7 (7.3, 12.2)	10.8 (7.6, 13.9)	12.1 (7.2, 17.0)	5.7 (4.1, 7.3)	12.8 (4.9, 20.8)
<i>Maternal education</i>						
≥ 16 yrs	7.6 (7.1, 8.2)****	8.1 (6.1, 10.1)****	11.2 (7.9, 14.6)	4.9 (2.4, 7.3)**	7.7 (6.2, 9.2)	11.4 (6.5, 16.4)
0-8 yrs	14.7 (8.6, 20.9)	8.3 (2.1, 14.5)	8.3 (5.7, 10.9)	4.3 (0.0, 10.6)	4.4 (0.0, 9.1)	9.8 (0.0, 27.2)
9-11 yrs	18.0 (15.9, 20.2)	16.8 (13.5, 20.1)	10.8 (8.6, 13.0)	18.9 (11.2, 26.7)	11.9 (5.9, 18.0)	10.7 (5.2, 16.3)
12 yrs	13.4 (12.4, 14.4)	13.2 (11.5, 14.9)	12.2 (9.9, 14.4)	14.3 (10.8, 17.8)	8.3 (5.5, 11.2)	14.8 (9.8, 19.8)
13-15 yrs	12.2 (11.4, 13.0)	13.2 (11.5, 14.9)	10.3 (8.3, 12.4)	13.1 (8.6, 17.6)	7.6 (5.4, 9.8)	9.5 (6.5, 12.5)
<i>Income in relation to federal poverty level</i>						
≤ 100%	17.2 (16.2, 18.3)****	15.9 (14.4, 17.4)****	11.3 (9.9, 12.7)*	16.2 (12.6, 19.8)	10.7 (7.9, 13.6)*	13.6 (10.3, 17.0)
101-200%	11.9 (11.0, 12.9)	9.8 (7.8, 11.8)	12.1 (9.4, 14.7)	12.0 (6.6, 17.5)	8.6 (6.1, 11.1)	8.5 (4.5, 12.5)
201-300%	9.2 (7.9, 10.6)	9.9 (6.4, 13.3)	11.2 (5.7, 16.7)	9.3 (2.9, 15.7)	6.9 (2.4, 11.3)	7.1 (1.5, 12.7)
301-400%	6.9 (5.6, 8.3)	10.8 (5.0, 16.5)	7.4 (2.7, 12.0)	18.8 (0.0, 43.2)	10.3 (4.1, 16.5)	4.8 (0.0, 10.0)
≥ 401%	7.5 (7.0, 8.1)	5.2 (3.7, 6.6)	6.2 (4.2, 8.2)	5.9 (1.4, 10.5)	6.3 (4.8, 7.8)	12.6 (7.1, 18.0)
<i>Marital status</i>						
Married	9.1 (8.6, 9.5)****	9.0 (7.4, 10.5)****	9.8 (8.4, 11.2)	11.0 (8.3, 13.6)*	7.1 (5.9, 8.2)***	11.0 (7.8, 14.2)
Not married	16.4 (15.4, 17.5)	14.4 (13.1, 15.6)	12.0 (10.3, 13.6)	16.1 (12.0, 20.1)	12.6 (9.0, 16.2)	12.2 (9.1, 15.3)
<i>Pre-pregnancy and antenatal factors</i>						
<i>Pre-pregnancy check-up/treatment for depression</i>						
No	9.0 (8.6, 9.5)****	11.3 (10.3, 12.3)****	10.2 (9.1, 11.3)****	11.9 (9.5, 14.2)***	7.5 (6.3, 8.6)***	10.4 (8.0, 12.7)**
Yes	24.3 (22.7, 25.8)	26.4 (22.5, 30.4)	18.3 (14.4, 22.3)	26.7 (15.8, 35.6)	15.4 (10.4, 20.5)	18.8 (11.8, 25.8)

Table 2: Distribution of postpartum depression among women in the United States, who have had a recent live birth (PRAMS 2009-11), by race/ethnicity

	Postpartum Depression (PPD)					
	Non-Hispanic white % (95% CI) <sup>a</sup> N=49,949	Non-Hispanic black % (95% CI) <sup>a</sup> N=12,666	Hispanic % (95% CI) <sup>a</sup> N=11,874	American Indian/ Alaska Native % (95% CI) <sup>a</sup> N=2,757	Asian/Pacific Islander % (95% CI) <sup>a</sup> N=7,169	Non-Hispanic other, or mixed race % (95% CI) <sup>a</sup> N=3,150
Previous number of live births						
0	10.8 (10.1, 11.4)	11.0 (9.5, 12.6)*	9.8 (8.2, 11.5)	10.8 (7.3, 14.4)	8.7 (6.9, 10.5)	11.2 (8.0, 14.4)
1	11.2 (10.4, 11.9)	13.0 (11.2, 14.9)	10.7 (8.7, 12.7)	14.9 (10.6, 19.1)	6.2 (4.7, 7.8)	13.5 (8.8, 18.2)
2	11.2 (10.1, 12.3)	15.3 (12.6, 18.1)	10.7 (8.3, 13.0)	20.0 (10.0, 30.0)	8.3 (4.8, 11.7)	8.7 (3.9, 13.5)
3+	11.7 (10.3, 13.2)	13.8 (11.0, 16.5)	13.5 (10.1, 16.9)	13.4 (10.1, 16.7)	11.1 (6.4, 15.9)	11.6 (5.1, 18.1)
Intention to get pregnant before the most recent pregnancy						
Wanted then or sooner	8.5 (8.0, 8.9)****	10.5 (9.0, 12.0)****	8.5 (7.2, 9.8)****	10.3 (6.3, 14.4)*	6.8 (5.4, 8.1)****	10.3 (7.6, 13.1)*
Wanted later	14.5 (13.5, 15.5)	12.5 (10.9, 14.0)	11.5 (9.6, 13.3)	15.9 (11.7, 20.1)	8.6 (6.3, 10.9)	10.0 (6.5, 13.6)
Did not want even in future	20.3 (18.3, 22.3)	17.6 (15.1, 20.1)	21.6 (16.6, 26.6)	21.4 (14.4, 28.5)	16.9 (11.5, 22.3)	20.9 (11.5, 30.3)
Prenatal care utilization						
Adequate	10.2 (9.6, 10.8)****	10.9 (9.4, 12.5)*	9.7 (8.1, 11.3)	13.5 (8.2, 18.7)	7.1 (5.5, 8.7)	10.4 (7.1, 13.6)
Inadequate	14.2 (12.4, 16.0)	14.4 (11.8, 16.9)	11.8 (9.0, 14.6)	13.9 (8.7, 19.0)	11.7 (7.1, 16.4)	13.0 (7.6, 18.4)
Intermediate	10.9 (9.7, 12.2)	15.1 (12.3, 17.9)	11.7 (8.6, 14.7)	9.7 (4.9, 14.6)	8.0 (5.4, 10.5)	6.7 (3.3, 10.0)
Adequate plus	11.6 (10.8, 12.4)	13.3 (11.4, 15.1)	11.8 (9.6, 14.0)	17.4 (12.2, 22.5)	7.9 (5.8, 9.9)	14.3 (9.4, 19.1)
Health care provider communication on perinatal depression						
No	12.2 (11.3, 13.0)***	15.3 (13.1, 17.5)**	12.8 (10.7, 14.9)*	13.1 (9.1, 17.1)	8.6 (6.8, 10.5)	11.5 (7.9, 15.1)
Yes	10.6 (10.1, 11.1)	12.0 (10.9, 13.2)	10.1 (8.8, 11.4)	14.3 (11.0, 17.6)	7.4 (6.0, 8.9)	11.6 (8.7, 14.4)
Experienced intimate partner physical violence during pregnancy						
No	10.6 (10.2, 11.0)****	11.7 (10.7, 12.7)****	9.9 (8.8, 10.9)****	12.5 (9.9, 15.2)****	7.5 (6.4, 8.6)****	11.0 (8.7, 13.3)*
Yes	32.5 (28.8, 37.1)	31.3 (25.6, 37.1)	38.1 (28.7, 47.7)	41.7 (27.0, 56.5)	26.4 (14.8, 37.9)	23.0 (10.4, 35.6)
Health care provider communication on intimate partner physical violence						
No	11.4 (10.8, 12.0)	13.1 (11.5, 14.7)	12.5 (10.7, 14.3)*	14.3 (10.5, 18.0)	8.8 (7.1, 10.4)	11.5 (8.2, 14.8)
Yes	10.6 (10.0, 11.2)	12.6 (11.3, 13.9)	9.8 (8.4, 11.1)	13.8 (10.2, 17.4)	6.7 (5.3, 8.1)	11.5 (8.4, 14.6)

Table 2: Distribution of postpartum depression among women in the United States, who have had a recent live birth (PRAMS 2009-11), by race/ethnicity

	Postpartum Depression (PPD)					
	Non-Hispanic white % (95% CI) <sup>a</sup> N=49,949	Non-Hispanic black % (95% CI) <sup>a</sup> N=12,666	Hispanic % (95% CI) <sup>a</sup> N=11,874	American Indian/ Alaska Native % (95% CI) <sup>a</sup> N=2,757	Asian/Pacific Islander % (95% CI) <sup>a</sup> N=7,169	Non-Hispanic other, or mixed race % (95% CI) <sup>a</sup> N=3,150
<b>Maternal morbidities during pregnancy</b>						
None	7.1 (6.6, 7.7) ****	8.8 (6.9, 10.7) ****	9.0 (7.2, 10.9) ***	5.8 (4.3, 7.4) ****	5.6 (4.1, 7.1) ***	7.8 (4.4, 11.1) ***
1	10.4 (9.6, 11.1)	11.2 (9.4, 13.0)	9.1 (7.2, 11.0)	12.0 (8.1, 15.8)	8.0 (5.9, 10.1)	8.9 (5.2, 12.5)
2	13.6 (12.5, 14.7)	14.7 (12.5, 16.8)	13.2 (10.6, 15.8)	18.1 (9.8, 26.5)	10.3 (7.5, 13.0)	16.2 (10.4, 22.1)
3	20.0 (18.0, 21.9)	17.2 (14.3, 20.1)	13.8 (10.0, 17.5)	18.0 (11.6, 24.4)	12.0 (6.0, 18.0)	13.6 (6.8, 20.5)
4+	23.7 (21.2, 26.1)	21.6 (17.5, 25.8)	16.8 (12.2, 21.3)	31.0 (19.3, 42.8)	17.0 (8.5, 25.5)	26.8 (15.4, 38.2)
<b>Insurance for PNC and delivery</b>						
Yes	11.1 (10.6, 11.5)	12.8 (11.8, 13.8)	10.7 (9.6, 11.9)	14.0 (11.2, 16.7)	7.9 (6.8, 9.1)	11.4 (9.1, 13.7)
No (either or both)	9.7 (6.6, 12.7)	12.8 (5.3, 20.4)	12.4 (7.6, 17.2)	15.2 (6.0, 24.4)	6.0 (1.5, 10.4)	16.1 (0.0, 33.1)
<b>Stressful events experienced during the 12 months prior to childbirth</b>						
<b>A close family member was very sick and had to go to the hospital</b>						
No	10.0 (9.6, 10.5) ****	11.3 (10.1, 12.4) ****	10.1 (8.9, 11.2) **	12.3 (9.9, 14.8)	7.1 (5.9, 8.3) ***	10.5 (8.0, 13.0)
Yes	14.0 (13.1, 15.0)	17.8 (15.6, 20.1)	14.4 (11.4, 17.3)	18.1 (11.3, 24.8)	12.2 (9.0, 15.4)	14.9 (10.0, 19.9)
<b>She got separated or divorced from husband or partner</b>						
No	10.3 (9.9, 10.8) ****	11.4 (10.4, 12.5) ****	9.7 (8.6, 10.8) ****	11.3 (9.1, 13.5) ****	7.7 (6.5, 8.8) ***	11.2 (8.8, 13.6)
Yes	22.4 (20.0, 24.8)	22.4 (18.6, 26.1)	21.4 (16.3, 25.7)	31.3 (19.7, 42.9)	17.3 (10.0, 24.7)	15.0 (7.3, 22.7)
<b>She moved to a new address</b>						
No	9.5 (9.0, 10.0) ****	10.7 (9.5, 11.9) ****	9.7 (8.4, 10.9) **	12.2 (8.5, 15.9)	6.6 (5.4, 7.8) ***	10.8 (7.9, 13.7)
Yes	14.2 (13.3, 15.0)	16.4 (14.6, 18.2)	13.3 (11.2, 15.4)	16.4 (12.6, 20.2)	11.1 (8.6, 13.6)	12.6 (9.1, 16.2)
<b>She was homeless</b>						
No	10.8 (10.3, 11.2) ****	12.1 (11.0, 13.1) ****	10.7 (9.6, 11.9)	12.8 (10.1, 15.6) ****	7.8 (6.6, 8.9) ****	11.0 (8.7, 13.2) **
Yes	26.2 (21.8, 30.6)	26.5 (21.1, 32.0)	12.4 (8.3, 16.5)	33.5 (23.4, 43.6)	27.3 (13.4, 41.1)	27.8 (11.9, 43.6)
<b>Her husband or partner lost his job</b>						
No	10.1 (9.7, 10.6) ****	11.7 (10.7, 12.8) ****	9.9 (8.8, 11.1) ***	11.6 (8.9, 14.3) ***	7.3 (6.1, 8.4) **	11.2 (8.8, 13.6)
Yes	17.3 (15.8, 18.8)	18.6 (15.6, 21.5)	15.0 (12.1, 17.8)	25.5 (17.4, 33.7)	14.2 (9.1, 19.3)	13.4 (7.4, 19.5)

Table 2: Distribution of postpartum depression among women in the United States, who have had a recent live birth (PRAMS 2009-11), by race/ethnicity

	Postpartum Depression (PPD)					
	Non-Hispanic white % (95% CI) <sup>a</sup> N=49,949	Non-Hispanic black % (95% CI) <sup>a</sup> N=12,666	Hispanic % (95% CI) <sup>a</sup> N=11,874	American Indian/ Alaska Native % (95% CI) <sup>a</sup> N=2,757	Asian/Pacific Islander % (95% CI) <sup>a</sup> N=7,169	Non-Hispanic other, or mixed race % (95% CI) <sup>a</sup> N=3,150
<b>She lost her job even though she wanted to continue working</b>						
No	10.3 (9.9, 10.7) ****	11.6 (10.5, 12.6) ****	10.4 (9.3, 11.7)	13.4 (10.5, 16.3)	7.4 (6.3, 8.5) **	10.8 (8.4, 13.2) *
Yes	19.3 (17.4, 21.2)	18.3 (15.5, 21.2)	13.0 (10.3, 16.0)	18.0 (11.7, 24.3)	15.2 (8.4, 22.0)	18.2 (10.8, 25.7)
<b>She had more than usual arguments with husband or partner</b>						
No	8.0 (7.6, 8.4) ***	8.4 (7.3, 9.5) ****	6.8 (5.8, 7.8) ***	8.5 (6.2, 10.7) ****	4.8 (3.9, 5.8) ****	9.5 (7.0, 12.1) **
Yes	22.2 (21.0, 23.5)	20.7 (18.8, 22.6)	22.9 (19.9, 26.0)	27.2 (20.5, 34.0)	21.4 (17.2, 25.6)	16.9 (12.4, 21.5)
<b>Her husband/partner said that he did not want the pregnancy</b>						
No	10.2 (9.7, 10.6) ****	11.0 (9.9, 12.0) ****	9.7 (8.7, 10.8) ****	12.2 (9.4, 14.9) ****	7.5 (6.3, 8.6) ****	10.8 (8.6, 13.1)
Yes	23.2 (21.0, 25.5)	23.8 (20.4, 27.3)	25.1 (19.3, 30.8)	30.4 (20.6, 40.2)	20.0 (13.2, 26.9)	19.1 (8.5, 29.8)
<b>She had a lot of bills that she could not pay</b>						
No	8.7 (8.3, 9.1) ****	9.3 (8.2, 10.5) ****	8.0 (6.9, 9.1) ****	8.2 (6.1, 10.3) ****	6.1 (5.1, 7.1) ****	8.5 (6.2, 10.8) ****
Yes	20.4 (19.1, 21.6)	20.6 (18.5, 22.6)	18.7 (16.0, 21.3)	28.5 (22.4, 35.4)	22.5 (16.8, 28.2)	19.9 (14.4, 25.5)
<b>She was in a physical fight</b>						
No	10.5 (10.1, 11.0) ****	11.6 (10.5, 12.6) ****	10.1 (9.1, 11.2) ****	12.6 (9.9, 15.3) ****	7.6 (6.5, 8.7) ****	10.8 (8.5, 13.1) **
Yes	30.9 (26.7, 35.2)	27.6 (22.8, 32.3)	27.4 (19.6, 35.3)	37.5 (24.9, 50.1)	23.9 (12.4, 35.4)	24.0 (11.4, 36.6)
<b>Her husband or partner or she went to jail</b>						
No	10.5 (10.1, 11.0) ****	11.8 (10.7, 12.8) ****	10.6 (9.5, 11.7) **	11.8 (9.1, 14.5) ****	7.8 (6.6, 8.9) ***	10.9 (8.6, 13.2)
Yes	26.2 (22.8, 29.7)	25.0 (20.7, 29.3)	18.0 (11.7, 24.8)	34.0 (23.5, 44.5)	21.4 (10.1, 32.7)	20.0 (8.8, 31.1)
<b>Someone very close to her had a problem with drinking or drugs</b>						
No	9.4 (8.9, 9.8) ***	11.3 (10.2, 12.3) ****	9.4 (8.4, 10.5) ****	10.1 (7.8, 12.5) ****	7.4 (6.3, 8.6) ****	10.9 (8.5, 13.4)
Yes	22.2 (20.6, 23.8)	23.7 (20.1, 27.2)	22.4 (17.8, 27.0)	24.7 (17.3, 32.1)	21.9 (15.0, 28.8)	15.4 (9.5, 21.2)
<b>Someone very close to her died</b>						
No	10.5 (10.0, 10.9) ****	11.5 (10.4, 12.7) ****	10.4 (9.2, 11.6)	12.5 (10.1, 14.9)	7.7 (6.5, 8.9)	10.7 (8.3, 13.2)
Yes	14.0 (12.9, 15.2)	17.5 (15.1, 19.8)	13.0 (10.1, 16.0)	17.8 (10.7, 24.9)	10.3 (7.0, 13.6)	16.2 (10.3, 22.2)

Table 2: Distribution of postpartum depression among women in the United States, who have had a recent live birth (PRAMS 2009-11), by race/ethnicity

	Postpartum Depression (PPD)					
	Non-Hispanic white	Non-Hispanic black	Hispanic	American Indian/ Alaska Native	Asian/Pacific Islander	Non-Hispanic other, or mixed race
	% (95% CI) <sup>a</sup>	% (95% CI) <sup>a</sup>	% (95% CI) <sup>a</sup>	% (95% CI) <sup>a</sup>	% (95% CI) <sup>a</sup>	% (95% CI) <sup>a</sup>
	N=49,949	N=12,666	N=11,874	N=2,757	N=7,169	N=3,150
<i>Delivery and neonatal factors</i>						
Vaginal delivery						
No	12.8 (12.0, 13.6) ****	14.3 (12.6, 16.1) *	11.0 (9.2, 12.8)	15.7 (9.3, 22.1)	8.7 (6.6, 10.8)	13.0 (9.4, 16.6)
Yes	10.2 (9.7, 10.7)	12.0 (10.7, 13.2)	10.8 (9.4, 12.2)	13.3 (10.6, 16.0)	7.5 (6.1, 8.8)	10.7 (7.9, 13.6)
Any adverse outcome(s) of the new baby						
No	10.6 (10.0, 11.0) ****	12.4 (11.2, 13.6)	10.7 (9.4, 12.0)	13.8 (10.6, 17.0)	7.3 (6.1, 8.6) *	11.0 (8.4, 13.5)
Yes	13.8 (12.8, 14.8)	14.1 (12.5, 15.7)	11.6 (9.6, 13.6)	14.9 (11.8, 17.9)	10.3 (7.6, 12.9)	13.8 (8.8, 18.8)
Sex of the new baby						
Male	11.1 (10.5, 11.7)	13.4 (11.9, 15.0)	12.1 (10.4, 13.7) *	12.5 (9.8, 15.2)	7.2 (5.7, 8.8)	10.6 (8.0, 13.3)
Female	11.0 (10.4, 11.6)	12.2 (10.8, 13.5)	9.7 (8.3, 11.1)	15.6 (11.1, 20.0)	8.6 (7.0, 10.2)	12.6 (8.9, 16.3)

<sup>a</sup>: Among the total number of respondents in each category for each racial/ethnic group, proportion (weighted percentage and 95% Confidence Interval [CI]) having PPD

\*: Chi-square  $p < 0.05$  for relationship of the selected correlate with PPD

\*\*: Chi-square  $p < 0.01$  for relationship of the selected correlate with PPD

\*\*\*: Chi-square  $p < 0.001$  for relationship of the selected correlate with PPD

\*\*\*\*: Chi-square  $p < 0.0001$  for relationship of the selected correlate with PPD

Table 3: Results of multivariable logistic regression analyses: adjusted odds ratios (aORs)<sup>a</sup> and 95% confidence intervals (CIs) for postpartum depression among women in the United States (U.S.) who have had a recent live birth, by race/ethnicity<sup>a</sup>

	Non-Hispanic white	Non-Hispanic black	Hispanic	American Indian/Alaska Native	Asian/Pacific Islander	Non-Hispanic other, or mixed race
	aOR (95% CI) N=49,949	aOR (95%CI) N=12,666	aOR (95% CI ) N=11,874	aOR (95% CI) N=2,757	aOR (95% CI) N=7,169	aOR (95% CI) N=3,150
<i>Socio-demographic characteristics</i>						
Age in years						
25-29	Ref	Ref	-	-	-	-
Less than 20	1.06 (0.84, 1.34)	0.95 (0.63, 1.42)	-	-	-	-
20-24	0.96 (0.84, 1.10)	1.08 (0.81, 1.44)	-	-	-	-
30-34	0.95 (0.84, 1.08)	0.89 (0.66, 1.20)	-	-	-	-
35 and above	0.88 (0.75, 1.03)	0.97 (0.67, 1.42)	-	-	-	-
Maternal education						
≥ 16 yrs	Ref	Ref	-	Ref	-	-
0-8 yrs	1.08 (0.62, 1.88)	0.48 (0.19, 1.18)	-	0.56 (0.08, 3.77)	-	-
9-11 yrs	1.08 (0.87, 1.33)	1.15 (0.73, 1.80)	-	2.45 (1.03, 5.82)	-	-
12 yrs	1.01 (0.87, 1.17)	0.92 (0.62, 1.37)	-	2.20 (1.01, 4.80)	-	-
13-15 yrs	1.03 (0.91, 1.17)	0.97 (0.68, 1.40)	-	1.91 (0.85, 4.27)	-	-
Income in relation to federal poverty level						
≤ 100%	Ref	Ref	Ref	-	Ref	-
101-200%	0.92 (0.80, 1.05)	0.65 (0.50, 0.86)	1.14 (0.85, 1.54)	-	0.99 (0.60, 1.64)	-
201-300%	0.82 (0.67, 1.00)	0.74 (0.49, 1.11)	0.98 (0.57, 1.68)	-	0.77 (0.32, 1.85)	-
301-400%	0.65 (0.51, 0.84)	0.84 (0.45, 1.56)	0.78 (0.38, 1.58)	-	1.83 (0.77, 4.33)	-
≥ 401%	0.83 (0.70, 0.97)	0.46 (0.31, 0.69)	0.74 (0.49, 1.13)	-	0.99 (0.59, 1.69)	-
Marital status						
Married 1	Ref	Ref	-	Ref	Ref	-
Not married 2	0.99 (0.87, 1.12)	1.09 (0.82, 1.44)	-	1.06 (0.70, 1.61)	1.14 (0.72, 1.81)	-
<i>Pre-pregnancy and antenatal factors</i>						
Pre-pregnancy check-up/treatment for depression						
No	Ref	Ref	Ref	Ref	Ref	Ref
Yes	2.33 (2.09, 2.61)	2.11 (1.64, 2.73)	1.41 (1.05, 1.89)	2.09 (1.24, 3.55)	1.52 (0.92, 2.49)	1.45 (0.82, 2.55)



Table 3: Results of multivariable logistic regression analyses: adjusted odds ratios (aORs)<sup>a</sup> and 95% confidence intervals (CIs) for postpartum depression among women in the United States (U.S.) who have had a recent live birth, by race/ethnicity<sup>a</sup>

	Non-Hispanic white	Non-Hispanic black	Hispanic	American Indian/Alaska Native	Asian/Pacific Islander	Non-Hispanic other, or mixed race
	aOR (95% CI) N=49,949	aOR (95%CI) N=12,666	aOR (95% CI ) N=11,874	aOR (95% CI) N=2,757	aOR (95% CI) N=7,169	aOR (95% CI) N=3,150
Previous number of live births						
0	-	Ref	-	-	-	-
1	-	1.13 (0.87, 1.47)	-	-	-	-
2	-	1.30 (0.93, 1.81)	-	-	-	-
3+	-	0.92 (0.63, 1.33)	-	-	-	-
Intention to get pregnant before the most recent pregnancy (when did she intend to be pregnant) <sup>****</sup>						
Then or sooner	Ref	Ref	Ref	Ref	Ref	Ref
Later	1.26 (1.13, 1.41)	0.99 (0.77, 1.28)	1.11 (0.85, 1.44)	1.38 (0.87, 2.21)	0.81 (0.56, 1.18)	0.79 (0.47, 1.32)
Never	1.65 (1.41, 1.92)	1.17 (0.89, 1.54)	1.88 (1.31, 2.70)	1.37 (0.72, 2.60)	1.70 (1.05, 2.75)	1.41 (0.70, 2.85)
Prenatal care utilization						
Adequate	Ref	Ref	-	-	-	-
Inadequate	0.98 (0.82, 1.17)	1.05 (0.78, 1.41)	-	-	-	-
Intermediate	1.06 (0.91, 1.23)	1.41 (1.06, 1.89)	-	-	-	-
Adequate plus	0.93 (0.83, 1.04)	1.19 (0.94, 1.51)	-	-	-	-
Health care provider communication on perinatal depression						
No	Ref	Ref	Ref	-	-	-
Yes	0.77 (0.69, 0.85)	0.74 (0.60, 0.93)	0.96 (0.73, 1.27)	-	-	-
Experienced intimate partner physical violence during pregnancy						
No	Ref	Ref	Ref	Ref	Ref	Ref
Yes	1.38 (1.04, 1.82)	1.55 (1.09, 2.20)	2.42 (1.58, 3.70)	2.46 (1.26, 4.78)	1.41 (0.57, 3.50)	1.21 (0.51, 2.86)
Health care provider communication on intimate partner physical violence						
No	-	-	Ref	-	-	-
Yes	-	-	0.84 (0.64, 1.11)	-	-	-
Maternal morbidities during pregnancy <sup>****</sup>						
None	Ref	Ref	Ref	Ref	Ref	Ref
1	1.29 (1.14, 1.46)	1.11 (0.81, 1.52)	0.91 (0.65, 1.27)	2.31 (1.36, 3.92)	1.29 (0.86, 1.93)	1.06 (0.55, 2.04)
2	1.53 (1.33, 1.75)	1.27 (0.93, 1.72)	1.19 (0.86, 1.64)	3.05 (1.74, 5.34)	1.37 (0.88, 2.14)	1.92 (0.99, 3.74)
3	2.11 (1.78, 2.49)	1.46 (1.06, 2.01)	1.09 (0.74, 1.61)	2.76 (1.62, 4.70)	1.42 (0.81, 2.46)	1.42 (0.71, 2.86)
4+	2.18 (1.81, 2.62)	1.54 (1.08, 2.20)	1.35 (0.86, 2.12)	4.55 (2.15, 9.63)	1.89 (0.80, 4.45)	3.31 (1.49, 7.36)

Table 3: Results of multivariable logistic regression analyses: adjusted odds ratios (aORs)<sup>a</sup> and 95% confidence intervals (CIs) for postpartum depression among women in the United States (U.S.) who have had a recent live birth, by race/ethnicity<sup>a</sup>

	Non-Hispanic white	Non-Hispanic black	Hispanic	American Indian/Alaska Native	Asian/Pacific Islander	Non-Hispanic other, or mixed race
	aOR (95% CI) N=49,949	aOR (95%CI) N=12,666	aOR (95% CI ) N=11,874	aOR (95% CI) N=2,757	aOR (95% CI) N=7,169	aOR (95% CI) N=3,150
<i>Stressful events experienced during the 12 months prior to childbirth</i>						
A close family member was very sick and had to go to the hospital						
No	Ref	Ref	Ref	-	Ref	-
Yes	1.16 (1.04, 1.29)	1.16 (0.94, 1.45)	1.15 (0.86, 1.54)	-	1.38 (0.94, 2.03)	-
She got separated or divorced from husband or partner						
No	Ref	Ref	Ref	Ref	Ref	-
Yes	0.86 (0.72, 1.03)	1.00 (0.76, 1.33)	1.00 (0.69, 1.45)	1.26 (0.71, 2.26)	0.80 (0.39, 1.65)	-
She moved to a new address						
No	Ref	Ref	Ref	-	Ref	-
Yes	1.07 (0.96, 1.19)	1.23 (0.99, 1.51)	1.02 (0.79, 1.32)	-	1.38 (0.99, 1.92)	-
She was homeless						
No	Ref	Ref	-	Ref	Ref	Ref
Yes	0.94 (0.72, 1.22)	1.13 (0.81, 1.57)	-	1.07 (0.59, 1.94)	1.30 (0.57, 2.97)	1.43 (0.58, 3.52)
Her husband or partner lost his job****						
No	Ref	Ref	Ref	Ref	Ref	-
Yes	1.01(0.88, 1.15)	1.08 (0.84, 1.40)	1.05 (0.79, 1.41)	1.13 (0.61, 2.11)	0.93 (0.57, 1.49)	-
She lost her job even though she wanted to continue working						
No	Ref	Ref	-	-	Ref	Ref
Yes	1.10 (0.95, 1.28)	1.02 (0.79, 1.32)	-	-	1.20 (0.74, 1.94)	1.19 (0.67, 2.09)
She had more than usual arguments with husband or partner						
No	Ref	Ref	Ref	Ref	Ref	Ref
Yes	1.92 (1.72, 2.15)	1.69 (1.37, 2.08)	2.60 (1.99, 3.41)	1.69 (1.07, 2.67)	3.86 (2.71, 5.49)	1.16 (0.71, 1.89)
Her husband/partner said that he did not want the pregnancy						
No	Ref	Ref	Ref	Ref	Ref	-
Yes	1.16 (0.99, 1.37)	1.47 (1.14, 1.90)	1.35 (0.94, 1.93)	1.55 (0.83, 2.89)	1.01 (0.61, 1.65)	-
She had a lot of bills that she could not pay						
No	Ref	Ref	Ref	Ref	Ref	Ref
Yes	1.35 (1.20, 1.51)	1.63 (1.29, 2.07)	1.67 (1.27, 2.18)	2.56 (1.65, 3.96)	2.25 (1.44, 3.52)	2.04 (1.19, 3.48)

Table 3: Results of multivariable logistic regression analyses: adjusted odds ratios (aORs)<sup>a</sup> and 95% confidence intervals (CIs) for postpartum depression among women in the United States (U.S.) who have had a recent live birth, by race/ethnicity<sup>a</sup>

	Non-Hispanic white	Non-Hispanic black	Hispanic	American Indian/Alaska Native	Asian/Pacific Islander	Non-Hispanic other, or mixed race
	aOR (95% CI) N=49,949	aOR (95%CI) N=12,666	aOR (95% CI ) N=11,874	aOR (95% CI) N=2,757	aOR (95% CI) N=7,169	aOR (95% CI) N=3,150
<i>She was in a physical fight</i>						
No	Ref	Ref	Ref	Ref	Ref	Ref
Yes	1.14 (0.86, 1.51)	1.12 (0.80, 1.57)	1.18 (0.75, 1.85)	1.13 (0.60, 2.16)	0.86 (0.38, 1.93)	1.16 (0.52, 2.56)
<i>Her husband or partner or she went to jail</i>						
No	Ref	Ref	Ref	Ref	Ref	-
Yes	1.15 (0.94, 1.42)	1.18 (0.87, 1.59)	0.83 (0.47, 1.44)	1.58 (0.88, 2.87)	1.04 (0.50, 2.16)	-
<i>Someone very close to her had a problem with drinking or drugs</i>						
No	Ref	Ref	Ref	Ref	Ref	-
Yes	1.37 (1.21, 1.55)	1.21 (0.93, 1.59)	1.29 (0.91, 1.83)	1.32 (0.86, 2.02)	1.01 (0.57, 1.79)	-
<i>Someone very close to her died</i>						
No	Ref	Ref	-	-	-	-
Yes	0.94 (0.83, 1.07)	1.08 (0.87, 1.34)	-	-	-	-
<i>Delivery and neonatal factors</i>						
<i>Vaginal delivery</i>						
No	Ref	Ref	Ref	-	-	-
Yes	0.80 (0.73, 0.88)	0.80 (0.65, 0.99)	0.99 (0.76, 1.27)	-	-	-
<i>Any adverse outcome(s) of the new baby</i>						
No	Ref	-	-	-	Ref	-
Yes	1.05 (0.94, 1.18)	-	-	-	1.36 (0.93, 1.97)	-
<i>Sex of the new baby</i>						
Male	-	-	Ref	-	-	-
Female	-	-	0.81 (0.64, 1.02)	-	-	-

<sup>a</sup>: For each racial/ethnic group, only the variables that had P<0.05 for the Chi-square test of association with PPD (table 2) were included the multivariable model. All the ORs are adjusted for all the other variables in the model for that race/ethnicity.

## CONCLUSIONS

To our knowledge, this is the first study examining how women with similar antenatal stressful life event experiences can be grouped together, and differentiated from other such groups, using the latent class analysis (LCA) approach. Moreover, this is the first study to examine women's state-level socioeconomic status indices in the context of postpartum depressive symptoms. We have also looked at the racial/ethnic disparities in the relationship between antenatal stressful life events and postpartum depressive symptoms. Whether antenatal health care provider communication on perinatal depression had any impact on postpartum depressive symptoms was also of interest.

Our study found that women could be grouped into a low stress; an illness/death-related stress; and a multiple stress class, with more than one out of every five women being in this class. The proportion of women experiencing severe nausea/vomiting; preterm labor; and postpartum depressive symptoms progressively increased from the low-, to the illness/death related-, to the multiple-stress class. The stressful life events were also clustered into emotional, traumatic, partner-related, and financial stressors. More than 11% of our sample experienced postpartum depressive symptoms, the prevalence ranging from 7% in Illinois to 17% to Arkansas. Women who experienced all four types of stressors, including traumatic, emotional, partner-related, and financial stressors, were at the highest risk of postpartum depressive symptoms. Women residing in states with higher women's socioeconomic status indices had lower odds of postpartum depressive symptoms. In addition, state-level socioeconomic autonomy status index had a moderating effect; women experiencing antenatal stressors were more likely

to have postpartum depressive symptoms, if they lived in a state with lower index. There were substantial racial/ethnic disparities in the prevalence of postpartum depression symptoms, ranging from 8% among Asians/Pacific Islanders to 14% among American Indian/Alaska Natives. Among the antenatal stressful life events, having more than usual arguments with husband/partner, and having a lot of bills that she was unable to pay were common risk factors of postpartum depressive symptoms, irrespective of race/ethnicity. Husband/partner not wanting the pregnancy significantly increased the adjusted odds of postpartum depressive symptoms, especially for the non-Hispanic blacks. Provider communication on perinatal depression significantly reduced the adjusted odds of postpartum depressive symptoms among non-Hispanic whites and non-Hispanic blacks.

The aforementioned results suggest the importance of an antenatal care-giver being vigilant about the antenatal stressful life event experiences of their patients; and recommending the multiple-stress group for comprehensive psychological care and support if necessary, in order to mitigate the adverse outcomes. Screening for antenatal stressful life events can help identify women at risk for postpartum depression. The finding that the odds of postpartum depressive symptoms decrease with increasing women's state-level social/economic autonomy could have policy implications and motivate efforts to improve these indices in the states that are below average. Moreover, the interactions between antenatal stressors and state-level social/economic autonomy suggests that women residing in states with lower indices are more vulnerable to the impacts of antenatal stressors. It would be especially important to identify the at-risk women in these states so as to mitigate the impacts of antenatal stressors and reduce their probability of experiencing depressive symptoms after childbirth. The finding of

racial/ethnic disparities in the relationship between antenatal stressful life events and postpartum depressive symptoms indicates the importance of taking into account the race/ethnicity-specific vulnerabilities of different racial/ethnic groups. Furthermore, this study points out the benefits of health care provider communication on perinatal depression during antenatal check-ups. However, the race/ethnicity-specific benefits of antenatal health care provider communication merit further investigation and might suggest the need to make this communication more culturally appropriate. Together with a growing emphasis on the screening for perinatal mental health issues, knowledge of antenatal stressors and the relative vulnerabilities of different racial/ethnic groups can aid in identifying women at-risk of experiencing perinatal stressors. This, in turn, can increase the women's chances of getting the necessary support and intervention, thereby preventing adverse maternal and infant health consequences, such as preterm birth, low birth weight, and postpartum depression.

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