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Dissolved Organic Matter Dynamics in the Oligo/ Meso-Haline Zone of Wetland-Influenced Coastal Rivers

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*Highlights (for review)

- Distribution patterns of DOC, UV absorbance at 254 nm, and fluorescence components were investigated in the oligo/meso-haline zone for three distinct wetland-influenced rivers.
- Oligo/meso-haline regions of coastal wetland rivers are found to be highly dynamic with regards to the biogeochemical behavior of DOM.
- The application of EEM-PARAFAC demonstrated non-conservative behavior in certain groups of DOM and possible change of dissociation state of acidic functional group of DOM, which would not have been possible to detect using more traditional optical properties measurements.

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3 **1 Dissolved organic matter dynamics in the oligo/meso-haline zone of wetland-influenced**
4 **2 coastal rivers**
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49 **27**
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52 **29 Abstract**

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54 30 Wetlands are key components in the global carbon cycle and export significant amounts of
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56 31 terrestrial carbon to the coastal oceans in the form of dissolved organic carbon (DOC).
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58 32 Conservative behavior along the salinity gradient of DOC and chromophoric dissolved organic
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60 33 matter (CDOM) has often been observed in estuaries from their freshwater end-member
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1 (salinity = 0) to the ocean (salinity = 35). While the oligo/meso-haline (salinity < 10) tidal zone
2 of upper estuaries has been suggested to be more complex and locally influenced by
3 geomorphological and hydrological features, the environmental dynamics of dissolved organic
4 matter (DOM) and the environmental drivers controlling its source, transport, and fate have
5 scarcely been evaluated. Here, we investigated the distribution patterns of DOC and CDOM
6 optical properties determined by UV absorbance at 254 nm (A_{254}) and excitation- emission
7 matrix (EEM) fluorescence coupled with parallel factor analysis (PARAFAC) along the lower
8 salinity range (salinity < 10) of the oligo/meso-haline zone for three distinct wetland-influenced
9 rivers; namely the Bekanbeushi River, a cool-temperate river with estuary lake in Hokkaido,
10 Japan, the Harney River, a subtropical river with tidally-submerged mangrove fringe in Florida,
11 USA, and the Judan River, an acidic, tropical rainforest small river in Borneo, Malaysia. For the
12 first two rivers, a clear decoupling between DOC and A_{254} was observed, while these parameters
13 showed similar conservative behavior for the third. Three distinct EEM-PARAFAC models
14 established for each of the rivers provided similar spectroscopic characteristics except for some
15 fluorescence features observed for the Judan River. The distribution patterns of PARAFAC
16 components suggested that the inputs from plankton and/or submerged aquatic vegetation can
17 be important in the Bekanbeushi River. Further, DOM photo-products formed in the estuary
18 lake were also found to be transported upstream. In the Harney River, whereas upriver-derived
19 terrestrial humic-like components were mostly distributed conservatively, some of these
20 components were also derived from mangrove inputs in the oligo/meso-haline zone.
21 Interestingly, fluorescence intensities of some terrestrial humic-like components increased with
22 salinity for the Judan River possibly due to changes in the dissociation state of acidic functional
23 groups and/or increase in the fluorescence quantum yield along the salinity gradient. The
24 protein-like and microbial humic-like components were distributed differently between three
25 wetland rivers, implying that interplay between loss to microbial degradation and inputs from
26 diverse sources are different for the three wetland-influenced rivers. The results presented here
27 indicate that upper estuarine oligo/meso-haline regions of coastal wetland rivers are highly
28 dynamic with regards to the biogeochemical behavior of DOM.

29
30 Keywords: Dissolved Organic Matter, Dynamics, Excitation-emission matrix, River mixing
31 zone, salinity gradient

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3 **1. Introduction**

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2 Wetlands are distributed over a wide range of biomes, from the tundra to the tropics, and are key components in the global biogeochemical cycles. For example, 15% of global terrestrial carbon flux from rivers to coastal environments is estimated to be derived from wetlands (Hedges et al., 1997), although wetlands cover only 5-8% of the earth's land surface (Mitsch and Gosselink, 2007). While a significant amount of dissolved organic carbon (DOC) is exported from rivers draining freshwater wetlands (e.g. Moore et al., 2011), tidal pumping and consecutive export through tidal creeks and rivers is also found to be the important mechanism of carbon export from coastal wetlands (Tzortziou et al., 2008). The contribution of DOC from mangrove marshes, which cover a large area of the coastal margin of subtropical and tropical regions, may export DOC equivalent to 10% of the total global DOC export from rivers to ocean (Dittmar et al., 2006). Therefore, it is important to unveil the dynamics of DOM in wetland-influenced coastal rivers.

14 The behavior of riverine DOM during mixing with oceanic water in estuaries has been mainly studied in the context of changes in DOC concentration along salinity gradients (Cauwet 2002). These studies often assume that rivers mimic channels that transport upstream DOM to the downstream ocean environment. Many studies report that (1) DOM behaves conservatively, (2) a portion of DOM is removed by aggregation and precipitation (non-conservative mixing), and (3) some DOM undergoes losses through microbial degradation during estuarine mixing (non-conservative mixing; references in Cauwet 2002). Considering these scenarios, Cifuentes and Eldridge (1998) systematically explained the difference in the behavior of riverine DOM in the mixing zone based on the concentration of biodegradable DOM in rivers and the DOC residence time in estuaries.

24 However, the dynamics of DOM in wetland-influenced coastal rivers is more complex than the simple mixing of upriver-derived DOM with saline water, since additional DOM can be supplied from tidally flooded coastal wetlands, riparian soil/plant residues, exudation from phytoplankton from the flood plains, emergent macrophytes, and seagrass, microbial mats, and groundwater inputs (Bertilsson and Jones, Jr. 2003; Dittmar et al., 2012; Hedges 1992; Maie et al., 2006; Tzortziou et al., 2008). Furthermore, in addition to multiple sources of DOC, recent studies have shown changes in the quantity and quality of DOM during mixing with saline water, in which photodegradation was emphasized as an important driver (Cawley et al., 2013; Dalzell et al., 2009; Dittmar et al., 2006; Fellman et al., 2010a). Therefore, DOM dynamics represent a complex balance between source material, degradation processes, and export in

1 coastal wetlands. Considering the diverse hydrological conditions, ecological functions, and
2 biogeochemical characteristics of coastal wetlands, it is difficult to predict DOM dynamics in
3 such ecosystems. In addition, the oligo/meso-haline zone of coastal rivers has been reported to
4 be particularly sensitive to DOC dynamics and frequently reported as being non-conservative
5 with regards to mixing with marine waters (Cauwet 2002). However, information on
6 comparative studies of this dynamic zone in estuaries with regards DOM sources and mixing is
7 limited. Adding to the existing knowledge on this subject is the main objective of this study.

8 The quality (composition) of DOM reflects its origin and diagenetic history, and thus,
9 characterizing physico-chemical properties of DOM provides useful clues in unveiling its
10 biogeochemistry. In order to compare the DOM dynamics across different wetland ecosystems
11 on an equal footing, it is crucial to use identical analytical methods that measure the quality as
12 well as the quantity of DOM. In this respect, fluorescence spectroscopy has been widely applied
13 in DOM characterizations, as it offers high sensitivity and high sample throughput (Coble,
14 2007; Fellman et al., 2010b; Jaffé et al., 2008). In addition, it requires minimum
15 pretreatment/preparation of the samples, which enables analysis of water samples under nearly
16 natural environmental conditions. One of the most powerful techniques among fluorescence
17 analyses is the combination of excitation–emission fluorescence matrices (EEM) and parallel
18 factor analysis (PARAFAC) (e.g., Cory and McKnight, 2005; Stedmon and Markager, 2005;
19 Yamashita et al., 2008). EEM-PARAFAC can statistically decompose a dataset of EEMs into
20 defined fluorescence contributions such as protein-like and humic-like components, and
21 therefore enables comparative quantitative evaluations for each fluorescent component along
22 environmental gradients or different environmental settings. In particular, EEM-PARAFAC
23 successfully detects small changes in the composition of humic-like fluorophores that are the
24 dominant components of DOM in wetlands (e.g. Chen et al., 2013; Cawley et al., 2012a;
25 Yamashita et al., 2010). Thus, EEM-PARAFAC is considered to be suitable for evaluating
26 similarities and differences of DOM dynamics across wetland/estuarine ecosystems with
27 different environmental characteristics.

28 The aim of this research was to contribute to the present state of knowledge on DOM
29 dynamics in the oligo/meso-haline zone (salinity < approx.10) through a comparative study of
30 three wetland-influenced rivers by assessing differences and commonalities in vastly different
31 environmental settings. For that purpose, we conducted high frequency sampling of surface
32 river water along salinity gradients, and DOM were characterized using DOC determinations,
33 UV-visible absorption spectroscopy and EEM-PARAFAC. Factors affecting the quantity and

1 the quality of DOM in aquatic environments are very complex, and may include climate
2 (precipitation and thus seasonality), watershed characteristics (e.g. % wetland cover), soil
3 carbon content, and watershed hydrology (Mulholland 2003). DOM dynamics have been shown
4 to be affected by seasonal variations due to the changes in primary productivity, hydrology,
5 residence time and photo-exposure in wetlands and estuaries (e.g. Asmala et al. 2012; Cawley et
6 al. 2013; Chen et al. 2013; Maie et al., 2012). However, determining in detail the environmental
7 drivers controlling the differences in the quantity and the quality of DOM between the three
8 wetland-influenced rivers, or assessing potential seasonal influences, is beyond the scope of this
9 study. Here we compare the distribution patterns of DOC abundance and optical properties, to
10 elucidate similarities and differences in environmental factors controlling the dynamics of DOM
11 in the oligo/meso-haline zone of three different case studies.

12 13 **2. Site description**

14 Study sites used in this study were selected to maximize differences in environmental setting,
15 such as climatic region, geomorphology and vegetation cover, but keeping within the
16 commonality of wetland-influenced, estuarine river systems. In addition, site accessibility,
17 logistical support, and previous research on these sites (Watanabe et al., 2012) were important
18 considerations in the selection process.

19 20 *2.1. Bekanbeushi River, Hokkaido, Japan*

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22 The Bekanbeushi River is located in the eastern part of Hokkaido, the northernmost of
23 Japan's four major islands (Fig. 1a). The area has a cool-temperate climate (Dfb) where the
24 average annual temperature and precipitation are 6.6°C and 1,448 mm, respectively (Japan
25 Metrological Agency 2010). The river flows through low moor, namely Bekanbeushi Moor,
26 where reed, carex, and *Alnus japonica* grow, and empties into Lake Akkeshi. The total length of
27 the river is 43 km and its watershed area is 555.7 km², of which 53.1, 24.7, and 15.3% are
28 covered with forests, agricultural lands, and wetlands, respectively (Woli et al., 2004). Lake
29 Akkeshi is an enclosed brackish lake with an area of 32.3 km² and an average depth of 2 m. The
30 bottom of the lake is largely covered by seagrasses such as *Zostera marina* and *Zostera*
31 *japonica*. Lake Akkeshi is connected to the Akkeshi Bay by a narrow channel, 600 m in width
32 and an average 10 m in depth. Tidal effects from the bay are known to influence to lower
33 reaches of the Bekanbeushi River.

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4 2 *2.2. Harney River, South Florida, USA*
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9 The Everglades, located in the southern tip of the Florida Peninsula, USA, is among the
10 5 largest subtropical wetlands in the world (Fig. 1b). The average annual temperature is 25°C and
11 6 the average precipitation is 1,521 mm. The Everglades are characterized by well-defined wet
12 7 (May-October) and dry (November-April) seasons, which have shown to influence DOM
13 8 dynamics in the system (Chen et al., 2013). Waters from Everglades National Park flow through
14 9 a shallow inland freshwater marsh dominated by emergent wetland plants such as *Cladium* and
15 10 *Eleocharis*, and an abundance of calcareous periphyton mats. The vegetation of the watershed
16 11 shifts to tidally submerged mangrove marshes at the lower reaches, where tidal mangrove rivers
17 12 such as the Harney River connect the freshwater wetlands with the Gulf of Mexico. More detail
18 13 on the watershed characteristics of the Everglades can be found elsewhere (Davis and Ogden
19 14 1994).
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29 16 *2.3. Judan River, Sarawak, Malaysia*
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34 19 Sarawak is located in the northwestern part of Borneo Island, has a tropical rainforest
35 20 climate (Af; Fig. 1c). Monthly average maximum and minimum temperature range from
36 21 29-33°C and 22-23°C, respectively. Average annual precipitation is 3,904 mm. Sarawak has a
37 22 broad wet season accompanied by monsoons from November to February. The Judan River
38 23 flows through the southwest part of Sarawak (N2°53'41" E111°59'94") and directly enters into
39 24 the South China Sea where the coastal line is fringed with sandy beaches. The total length of the
40 25 river is 23 km, and local people who live along the river use it for transportation. Along the river,
41 26 mixed riparian swamp forests have developed on peat soil. Dominant vegetation is characterized
42 27 by tropical trees, namely Ramin (*Gonystylus bancanus*), Jongkong (*Dactylocladus*
43 28 *stenostachys*), Kapur (*Drybalanops rappa*), and Alan (*Shorea albida*).
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52 29 **3. Materials and Methods**
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55 31 *3.1. Sample collection and sample preparation*
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59 33 A suite of fluvial water samples were collected at different salinities using a canoe/boat at
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1 the Bekanbeushi River and Lake Akkeshi during base flow (30 samples; August 2009, summer),
2 at the Harney River (7 samples; March 2010, dry season), and at the Judan River (15 samples;
3 August 2009, dry season). In all cases, surface waters were collected for DOM characterization
4 only at the low salinity range of the low/meso-haline zone (salinity < approx. 10). Water
5 samples were collected in prewashed 100-mL amber polypropylene sample bottles (Nalgen[®],
6 Nalge Nunc Inc; presoaked in 0.5 M HCl followed by 0.1 M NaOH for 4 h each). Water
7 samples were put on ice and brought back to the laboratory in a cooler. They were filtered
8 immediately through a precombusted (450°C for 4 h) glass fiber filter (GB-140, ADVANTEC,
9 nominal pore size, 0.4 µm or GF/F, Whatman, nominal pore size, 0.7 µm) to remove suspended
10 solids, and the filtered water samples were kept in a refrigerator (4°C) until analysis (maximum,
11 1 week). Field measurements of salinity and pH were conducted on site using YSI
12 multiparameter sondes or equivalent.

13 14 *3.2. Analysis of DOC concentrations and UV-visible spectra*

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16 DOC concentrations were determined with a Shimadzu TOC-V_{CPN} analyzer (Kyoto, Japan).
17 Filtered samples were acidified with 1.5% (v/v) 3 M HCl in a built-in syringe of the analyzer
18 and were purged with CO₂-free air for 90 s to remove inorganic carbon prior to analysis. UV-Vis
19 absorbance spectra of filtrated water samples derived from the Bekanbeushi and Judan Rivers
20 were measured in a 5-cm quartz cell on a UV-Vis spectrophotometer (UV-1800, Shimadzu)
21 from 240 to 700 nm at 2.0-nm increments. The water samples from Harney River were analyzed
22 using another spectrophotometer (Cary 50 Bio, Varian) according to procedure described in
23 Yamashita et al. (2010). Absorption at 254 nm (A_{254}) reported in this study is often used as a
24 proxy for aromatic carbon concentration in DOM and as a measure of relative concentrations of
25 CDOM or dissolved humic substances in river water (Chin et al., 1994; Weishaar et al., 2003).

26 27 *3.3. EEM-PARAFAC analysis*

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29 Excitation–emission matrix (EEM) fluorescence spectra of the filtered water samples were
30 determined using a spectrofluorometer (FluoroMax-3 or FluoroMax-4, Horiba Jobin Yvon)
31 equipped with a 150-W xenon lamp as the light source. Samples for EEM of the Judan River
32 samples were measured after diluting 5 times with Milli-Q water, since the UV-Vis absorption
33 was too high for proper fluorescence determinations. Using the FluoroMax-4, each EEM of

1 samples derived from the Bekanbeushi and Judan Rivers was determined using an excitation
2 wavelength (λ_{ex}) profile from 240 to 550 nm at increments of 5 nm, and the emission signal was
3 scanned in the range of 290 to 600 nm at increments of 2 nm (Abe et al., 2011). The band pass
4 was set at 5 nm for both excitation and emission wavelengths. To avoid any influence of
5 possible wavelength dependency and fluctuation of the excitation lamp output, all fluorescence
6 spectra were acquired as a ratio of sample (emission signal; S) and reference (excitation lamp
7 output; R) signals. Water samples from Harney River were analyzed using FluoroMax-3
8 according to Yamashita et al. (2010). The inner filter effect was corrected according to
9 McKnight et al. (2001), and each EEM sample was corrected for Raman scattering and
10 background fluorescence by subtracting the spectra of a Milli-Q water (Millipore) blank. The
11 intensity of the EEM spectra was normalized using quinine sulfate and expressed as quinine
12 sulfate unit (QSU).

13 To decompose EEMs into distinct fluorescent components, data were further statistically
14 analyzed using parallel factor analysis (PARAFAC, Stedmon and Bro 2008; Stedmon et al.,
15 2003) with the DOMFluor toolbox (Stedmon and Bro 2008) using MATLAB software (ver. 7.7;
16 MathWorks, Inc.). PARAFAC was performed separately for each wetland using datasets which
17 consisted of water samples collected from each of the three studied river systems and adjacent
18 river/wetland ecosystems (including some shallow (< 70cm) groundwater samples for the Judan
19 River area). Thus, we reported EEM-PARAFAC results derived from three distinct models in
20 this study (Table 1 and Fig. 3). Specifically, the numbers of EEMs used for PARAFAC analysis
21 were 147 and 501 for the Bekanbeushi and Judan Rivers, respectively. The dataset of the
22 Bekanbeushi River was composed of Bekanbeushi River samples and surface water samples
23 collected from wetland-influenced rivers in the east and southeast part of Hokkaido (Fig. 1).
24 Dataset of the Judan River consisted of Judan River samples and groundwater samples
25 (shallower than 70 cm) of an oil palm plantation reclaimed on tropical peat soil in Naman,
26 Sarawak, located 80 km south of the Judan river mouth. The Harney River EEMs were fit to
27 an existing eight component PARAFAC model described in Chen et al. (2010) and Yamashita et
28 al., (2010) that was comprised of Florida coastal Everglades samples (n = 1394). The
29 spectroscopic region of EEMs used in the analysis employed an excitation wavelength of
30 260–450 nm and emission wavelength of 300–500 nm for Bekanbeushi River, and an excitation
31 wavelength of 260–500 nm and emission wavelength of 300–550 nm for Judan River,
32 respectively. The validity of the model was confirmed by split-half analysis and Tucker's
33 congruence coefficients (Stedmon and Bro 2008). . Such analysis using three PARAFAC

1 models allows one the comparison of DOM characteristics, in terms of similarities and
2 differences, among three different coastal wetlands. PARAFAC components for the different
3 models were identified as C1 to CX (component numbers ranging from 1 to X), and with a
4 subscript as B, H, and J for the Bekanbeushi, Harney and Judan rivers, respectively.

5 6 **4. Results**

7 8 *4.1. DOC and A_{254} distributions*

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10 The distribution patterns of DOC and A_{254} with increasing salinity for three
11 wetland-influenced rivers are shown in Figure 2. The DOC ranges were 0.26-0.36, 1.4-1.5, and
12 3.0-3.7 mmol L⁻¹ for the Bekanbeushi River, Harney River, and Judan River, respectively. In the
13 same order, the A_{254} ranges were 13.0-22.8 m⁻¹, 63.2-65.4 m⁻¹, and 185-235 m⁻¹. The pH ranges
14 were 7.2-7.3, 7.7-8.0, and 3.9-6.2 for the Bekanbeushi, Harney, and Judan Rivers, respectively.

15 The distribution patterns of DOC and A_{254} along the salinity gradient were correlated for
16 the Judan River but decoupled for the Bekanbeushi River and Harney River (Fig. 2). At the
17 Bekanbeushi River, DOC and A_{254} were higher in the lower salinity range (salinity <2.5) than
18 for the estuarine lake water (Salinity = ca. 11). However, DOC did not drop systematically with
19 the increase in salinity while A_{254} decreased conservatively. This result suggests that, although
20 the proportion of colored DOM decreased toward estuarine lake, additional DOC sources at
21 salinities between 0.5 and 1.5 were also observed. It should be noted that A_{254} at Lake Akkeshi
22 (salinity = 11) was close to the extrapolated regression line between A_{254} and salinity that was
23 estimated using the data at salinity range of <2.5. These distributional patterns imply that major
24 fractions of CDOM undergo conservative mixing during mixing process with estuary lake water,
25 but there is a non-colored DOM sources along the river-lake interface.

26 For the Harney River, the distribution patterns of DOC and A_{254} along the salinity gradient
27 showed contrasting trends, where the DOC decreased while A_{254} increased with the increase of
28 salinity, suggesting the input of highly colored DOM. Cawley et al. (2013) reported
29 non-conservative behavior of DOC and UV absorbance for the Harney River, especially at low
30 to mid salinity ranges, indicating that mangrove ecosystems export a significant amount of
31 DOM to the river. The contrasting trends between DOC and A_{254} at low salinity range in the
32 mesohaline zone of the Harney River suggest that DOM having a high light absorbing capacity
33 is exported from the mangrove fringe.

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3 1 At the Judan River, both DOC and A_{254} apparently decreased linearly with the increase of
4 2 salinity, suggesting that the conservative mixing is the dominant process in the oligohaline zone
5 3 of this river.
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5 4.2. PARAFAC models 6

7 EEMs collected from the Bekanbeushi River were decomposed into 7 PARAFAC
8 components (Table 1 and Fig. 3). The assignments of peaks are listed in Table 1. One
9 component, C_{3B} , and two components, C_{1B} and C_{2B} , are assigned as terrestrial fulvic acid-type
10 component and ubiquitous humic-like component, respectively. These components can be
11 categorized as traditional terrestrial humic-like peak C (Coble et al., 1998). C_{5B} is categorized
12 as a terrestrial humic-like component similar to the traditional terrestrial humic-like peak A. C_{6B}
13 is assigned as a microbial humic-like component (traditional peak M). C_{4B} could not be
14 categorized by a traditional definition but was assigned as terrestrial humic acid-type
15 component based on its spectral characteristics. One protein-like component, C_{7B} , was also
16 identified in this EEM-PARAFAC model.

17 EEMs collected from Harney River were decomposed by fitting to an existing 8
18 component model that was obtained using dataset collected from the Greater Everglades ($n =$
19 1394). Details in the eight components can be found elsewhere (Chen et al., 2010; Yamashita et
20 al., 2010; Maie et al., 2012). Briefly, three components (C_{1H} , C_{3H} , and C_{6H}) were categorized as
21 humic-like components similar to the traditional peak C (Table 1 and Fig. 3), where C_{6H} has
22 been suggested to have a microbial origin (Yamashita et al., 2010). C_{2H} and C_{4H} were assigned
23 as humic- and microbial humic-like components, similar to the traditional peaks A and M,
24 respectively. A humic acid-type (but undefined by traditional definitions) was also evident as
25 C_{5H} . Two components, C_{7H} and C_{8H} , were categorized for protein-like components.

26 The PARAFAC analysis of the Judan River decomposed the EEM dataset into 6
27 PARAFAC components, for which properties and assignments are listed in Table 1 and Fig. 3.
28 Three of those (C_{1J} , C_{5J} , and C_{6J}) were related to the traditional peak C. C_{1J} and C_{6J} could be
29 categorized as ubiquitous humic-like components, while C_{5J} as terrestrial humic-like
30 component. A component with spectral characteristics similar to the traditional peak A was
31 also evident as C_{3J} . In analogy with the Bekanbeushi River and Harney River, one component
32 (C_{4J}) was assigned as an undefined, terrestrial humic-acid type component. A unique component,
33 C_{2J} , was only observed in the Judan River PARAFAC model. Note that protein-like

1 components were not identified in the Judan River PARAFAC model.

2 3 4.3. Distributions of PARAFAC components

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10 The distributions of each PARAFAC component vs. salinity for the Bekanbeushi River
11 are shown in Figure 4. The fluorescence intensities of components, C1_B, C2_B, and C3_B, defined
12 as related to the terrestrial humic-like peak C, tended to decrease as the salinity increased at the
13 low salinity range, and were lowest in the estuarine lake. The greatest correlation with salinity
14 was found for C1_B. Similar distribution pattern was also evident for terrestrial humic acid type
15 component C4_B. On the other hand, the terrestrial humic-like component C5_B, analogous to the
16 terrestrial humic-like peak A, significantly increased with the salinity in the low salinity range
17 and was highest in the estuarine lake. The microbial humic-like component C6_B and protein-like
18 component C7_B did not show any consistent trend with the change of salinity, even though the
19 intensities of C6_B were higher in river waters than in the estuary lake waters. These results
20 suggested that terrestrial humic-like components (except for C5_B) mixed conservatively during
21 freshwater-seawater mixing. On the other hand, autochthonous contributions seem important in
22 controlling the levels of microbial humic-like and protein-like components in Bekanbeushi
23 Marsh.

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25 For the Harney River, terrestrial humic-like component C3_H, microbial humic-like
26 component C4_H, and the two protein-like components C7_H and C8_H showed nearly
27 conservative behavior (Fig. 5). However, terrestrial humic-like components, C1_H, C5_H, and C6_H
28 showed non-conservative behavior at salinity ranges from ~5 to 11, suggesting their significant
29 inputs from the mangrove fringe.

30
31 A unique distribution pattern of PARAFAC components along the salinity gradient was
32 observed for the Judan River (Fig. 6). Significant decreases in the fluorescence intensity were
33 observed for the ubiquitous humic-like component C1_J, the terrestrial humic acid-type
34 component C4_J, and the terrestrial humic-like component C5_J with increases in salinity.
35 Interestingly, the other two humic-like components, C2_J and C6_J, increased significantly while
36 the terrestrial humic-like component C3_J did not show any trend with the increase of salinity.

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31 5. Discussion

32 33 5.1. PARAFAC components identified from three coastal wetlands

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4 2 Three distinct sets of PARAFAC components were obtained from three wetland rivers from
5 3 different climatic zones, i.e., cool-temperate, subtropical, and tropical region (Table 1 and Fig.
6 4 3), which had previously been shown to have compositional differences in their DOM with
7 5 regards to their humic and non-humic substance abundance (Watanabe et al., 2012). It is
8 6 noteworthy that PARAFAC components with similar characteristics were found in the three
9 7 distinct models, indicating the occurrence of similar fluorophores in the three
10 8 wetland-influenced rivers. The characteristic components found for three models were related
11 9 to: (1) the traditional peak C defined by Coble et al. (1998), or ubiquitous, fulvic acid-type
12 10 fluorescence components defined by other PARAFAC models (Santín et al., 2009; Yamashita et
13 11 al., 2010), (2) the traditional peak A, or terrestrial humic-like fluorescence component that have
14 12 been suggested as photo-refractory or as photo-degradation products (Cawley et al., 2012a;
15 13 Chen et al., 2010; Maie et al., 2012; Stedmon et al., 2008), (3) a traditionally undefined, humic
16 14 acid-type fluorescence component (Ohno and Bro, 2006; Santín et al., 2009), (4) the traditional
17 15 peak M, or microbial humic-like fluorescence component (Coble et al., 1998), (5) the
18 16 protein-like components defined as tryptophan or tyrosine-like fluorescence. These PARAFAC
19 17 components have commonly been reported in a wide range of terrestrial aquatic environments
20 18 as well as coastal environments (e.g., Cory and McKnight, 2005; Fellman et al., 2009, 2011;
21 19 Stedmon and Markager, 2005; Yamashita et al., 2008). According to a review by Ishii and Boyer
22 20 (2012), humic-like components found in this study are commonly found in terrestrial and
23 21 coastal aquatic environments. Therefore, the similarity in the PARAFAC components among the
24 22 three study sites suggests that the site selection is adequate to perform a comparative DOM
25 23 dynamics study among the three rivers featuring vast differences in geomorphology, vegetation
26 24 cover, hydrology, and climate. It is notable that a unique component, C_{2j}, was only detected in
27 25 the Judan River (Table 1 and Fig. 3). This type of PARAFAC component has occasionally been
28 26 reported in surface waters and was assigned as humic/fulvic acid-like peak of terrestrial origin
29 27 (Cory and McKnight, 2005; Lapierre and Frenette, 2009; Stedmon and Markager, 2005; Singh
30 28 et al., 2010; Osburn and Stedmon, 2011; Williams et al., 2010).

31 5.2. Environmental dynamics of DOM

32 The distribution patterns of DOC and A_{254} were largely different among three wetland
33 rivers (Fig. 2). The DOC levels were in the order of Judan River (tropical climate) > Harney

1 River (subtropical climate) > Bekanbeushi River (cool-temperate wetland). The SUVA value,
2 which is the UV-absorbance at 254nm normalized by DOC concentration, and a proxy for the
3 aromaticity of DOM (Weishaar et al., 2003), ranged between 4.95-5.93, 3.43-3.94, and
4 5.17-5.46 for the Bekanbeushi River, Harney River, and Judan River, respectively, suggesting a
5 lower aromatic nature in the mesohaline zone for the Harney River. This may be the result of
6 DOM enriched in microbial sources (periphyton-derived) entering the upper Harney estuary
7 from the freshwater Everglades marshes (Chen et al., 2013).

8 Thus, the estimation of DOC concentration from simple linear correlations with CDOM,
9 especially for estuaries with autochthonous DOM contributions in addition to terrestrial
10 end-member inputs, need to be considered with caution. Recently, Fichot and Benner (2011)
11 successfully estimated the DOC concentration in the Gulf of Mexico using CDOM spectral
12 slope parameter obtained between 275 and 259 nm, but indicated that local parameterization is
13 necessary for better estimation of DOC concentration due to differences in DOM sources and
14 regulatory processes among coastal environments. Similarly, in an effort to determine optical
15 proxies for DOC concentration in boreal estuaries, Asmala et al. (2012) reported that the
16 correlation between DOC and CDOM (A_{254}) concentrations was not consistent throughout the
17 year, but varied seasonally with changes in source strength and diagenetic processing (i.e.
18 photobleaching). In agreement with these reports our results also suggest that DOC proxies
19 derived from absorbance or fluorescence values should be tested for individual estuarine
20 environments, particularly for the oligo/meso-haline zone. In addition to DOC and A_{254} , the
21 PARAFAC components behaved differently among three rivers, where noticeable differences
22 were observed in the distribution pattern of humic acid-type component (C_{4B} , C_{5H} , C_{4J}) and
23 peak C type components (C_{1B} , C_{2B} , C_{3B} , C_{1H} , C_{3H} , C_{6H} , C_{1J} , C_{5J} , and C_{6J}). Differences in both
24 quantitative values and qualitative DOM characteristics for the low salinity zones suggest that
25 ample variations in DOM dynamics control the distribution of these biogeochemical parameters
26 for different river environments, and that salinity should not be used as a proxy to assess DOC
27 dynamics in low salinity, upper estuarine areas of wetland-influenced coastal rivers.

28 In the following section, we discuss the characteristics of the distribution of the
29 fluorescence components and their controlling environmental factor(s) by comparing three
30 rivers.

31 32 *5.3. Bekanbeushi River case study*

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3 1 In the Bekanbeushi River, the A_{254} decreased while the DOC remained relatively constant
4 2 along the salinity gradient. In addition, while ubiquitous and terrestrial fulvic acid-type (C_{1B} ,
5 3 C_{2B} , C_{3B}) and humic acid-type (C_{4B}) components were basically distributed conservatively, the
6 4 protein-like (C_{7B}) and the microbial humic-like (C_{6B}) components behaved non-conservatively.
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8 5 This incongruity can be attributed to a diversity of DOM source, where a major portion of
9 6 dissolved humic substances are of terrestrial origin, whereas a significant portion of non-colored
10 7 DOM is of estuarine origin. The bottom of Lake Akkeshi is largely covered by *Zostera marina*,
11 8 *Zostera japonica*, and associated epiphytic algae, which are characterized by high primary
12 9 productivity (Hasegawa et al. 2007). Seagrass/epiphytic algae communities exude a
13 10 significant portion of DOM produced by photosynthesis (Barrón et al. 2012; Bertilsson and
14 11 Jones Jr. 2003; Ziegler and Benner 1999), which may contribute to the high level of
15 12 autochthonous non-colored DOM in the estuarine lake (Cawley et al., 2012b; Maie et al. 2005).

16 13 C_{6B} and C_{7B} kept a similar level at the low salinity range, while their intensities shifted
17 14 lower and higher, respectively, in Lake Akkeshi. This result suggests high microbial activity in
18 15 the mixing zone, since otherwise C_{6B} and C_{7B} would show conservative mixing similar to some
19 16 components of the Harney River (C_{4H} and C_{7H}). The relatively constant abundance of C_{6H} and
20 17 C_{7H} suggests that degradation is balanced by microbial and seagrass derived DOM
21 18 contributions along the salinity gradient. However, no significant increase in the protein-like
22 19 component C_{7B} was observed in the lake where seagrasses are abundant.

23 20 Interestingly, fluorescence intensity of peak A type component C_{5B} in the low salinity
24 21 region was nearly 0 (salinity = 0-0.5) and slightly increased with salinity. Components of
25 22 similar characteristics have been reported to be produced during photodegradation of terrestrial
26 23 DOM (Cawley et al., 2012a; Chen et al., 2010; Stedmon et al., 2007), and were also found in
27 24 higher abundance in a canal flowing through an agricultural zone (Yamashita et al. 2010). As
28 25 such, this component is most likely produced through intensive oxidative photodegradation of
29 26 terrestrial DOM, particularly in Lake Akkeshi where light exposure is high, and is transported
30 27 up-river through lake water intrusions. One-quarter of the Bekanbeushi River watershed is used
31 28 for agricultural purposes (Woli et al., 2004), and some contributions of DOM from such
32 29 activities cannot be discarded. However, since C_{5B} was not contained in the freshwater
33 30 Bekanbeushi River water, DOM in Bekanbeushi River might be mostly derived from recently
34 31 photosynthesized wetland vegetation in the Bekanbeushi Marsh, which has not undergone
35 32 intensive oxidative degradation (Evans et al. 2007; Raymond et al. 2007).

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3 1 *5.4. Harney River case study*
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6 3 The behavior of DOC and A_{254} along the salinity gradient was decoupled for the Harney
7 4 River, where the DOC decreased while the A_{254} increased throughout the low salinity region for
8 5 the Harney River (Fig. 2). An increase in A_{254} was attributed to the loadings of highly colored
9 6 DOM (compared to freshwater end-member; Jaffé et al., 2004) from coastal mangrove
10 7 ecosystems through tidal pumping (Cawley et al., 2013). The overall decrease in DOC is a
11 8 result of predominant dilution of DOM transported towards the coast from the freshwater
12 9 Everglades with water containing lower DOC (Chen et al., 2013; Cawley et al., 2013).

13 10 While in general the fluorescence of terrestrial humic-like components tended to decrease
14 11 with the increase in salinity, the intensities were above the conservative mixing line for the
15 12 ubiquitous humic-like and humic acid-type components (C_{1H} , C_{5H} , C_{6H} ; Fig. 5). Since similar
16 13 phenomena were not observed for the Judan and Bekanbeushi Rivers, the inundation of fringe
17 14 mangrove marshes and associated tidal pumping may be an important process controlling the
18 15 input of CDOM along the oligo/mesohaline zone in the Harney River. Note that the amount of
19 16 DOC and CDOM derived from mangroves during the dry season (March) along the Harney
20 17 River was estimated to be 13 and 22% respectively (Cawley et al., 2013).

21 18 In contrast to the humic-like components, microbial humic-like component C_{4H} and the
22 19 protein-like components C_{7H} and C_{8H} were distributed conservatively, suggesting that these
23 20 components are minor constituents of CDOM derived from mangrove ecosystems. Possible
24 21 explanations for this observation is that nitrogen-containing compounds or proteinaceous
25 22 materials in DOM from mangroves could have been sequestered in mangrove sediments in the
26 23 form of insoluble protein-tannin complexes (Maie et al., 2008) or that phosphorus limitations in
27 24 the upper estuary reduce the microbial activity (Cawley et al., 2013). Interestingly, the peak A
28 25 type component C_{2H} was conservatively distributed along the oligo/meso-haline zone, and
29 26 consequently not exported from the mangrove fringe as previously suggested for the Harney
30 27 and Shark river estuaries (Cawley et al., 2013). This trend might suggest that a major portion of
31 28 DOM exported from periodically inundated mangrove marsh is derived from recently
32 29 photosynthesized mangrove residue, and has not undergone extensive oxidative degradation like
33 30 Bekanbeushi River DOM (Evans et al. 2007; Raymond et al. 2007).

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57 32 *5.5. Judan River case study*
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3 1 In contrast to the Bekanbeushi and Harney rivers, the DOC and A_{254} distributed
4 2 conservatively along the salinity gradient for the Judan River (Fig. 2). It is well known that
5 3 DOC and A_{254} of open ocean water are significantly lower compared to those of terrestrial
6 4 systems (Hansell et al., 2009; Nelson and Siegel, 2002; Yamashita and Tanoue, 2009).
7 5 Assuming that the salinity of the open ocean water is 35 and the mixing rate of freshwater and
8 6 saline water at a salinity of 5.2 is 85:15, terrestrial components would be diluted to 85% through
9 7 conservative mixing. The DOC and A_{254} roughly followed this dilution rate, indicating that
10 8 conservative mixing of quite high levels of terrestrial DOM and low levels of seawater DOM is
11 9 the dominant process controlling the DOM dynamics in the Judan River. The river system is
12 10 characterized by elevated DOC from swamp forests in peaty environments as its freshwater
13 11 end-member. However, the Judan River estuary has a clear boundary between the river channel
14 12 and the riverbank and is neither, associated with tidally inundated coastal wetlands like the
15 13 mangrove fringe of Harney River, nor does it feature estuarine lagoons with dense seagrass
16 14 communities like the Bekanbeushi River. Thus the input of DOM into the river from sources
17 15 other than peat soils is considered to be small.

18 16 Notwithstanding that, DOM in the Judan River does not solely experience passive dilution,
19 17 but active processing was found to occur during the mixing process. First, the fluorescence
20 18 intensity of the humic acid-type component C_{4j} decreased sharply at a very low (<1) salinity
21 19 range, while such a trend was not observed for a similar component C_{4b} in the Bekanbeushi
22 20 River (Figs. 4 and 6). In addition, while the peak C type components (Table 1) generally
23 21 decreased with the increase in salinity for the three coastal rivers, the fluorescence intensities of
24 22 C_{2j} , C_{3j} , and C_{6j} increased with salinity (Fig. 6), indicating the presence of a different
25 23 mechanism controlling their behavior other than the dilution with seawater.

26 24 Component C_{4j} is a fluorophore that is contained in soil humic acids in a higher proportion
27 25 (Ohno and Bro, 2006; Santín et al., 2009). Since humic acids intrinsically form molecular
28 26 associations under acidic conditions, which can be accelerated in the presence of multi-valent
29 27 cations (Baalousha et al., 2006), the low water pH in the Judan River could favor the
30 28 aggregation of C_{4j} , thus removing it through flocculation and subsequent sedimentation at a low
31 29 salinity range. The unique trend for C_{2j} , C_{3j} , and C_{6j} along the salinity gradient may be
32 30 attributed to the change in salinity and/or pH of river water with increasing mixing ratio of
33 31 oceanic water. In previous studies, Peak C type fluorescence, where C_{2j} and C_{6j} are categorized
34 32 (see Table 1), often increased with the increase of pH (Patel-Sorrentino et al. 2002; Spencer et al.
35 33 2007) as well as with the increase of salinity to the mesohaline zone (Boyd et al. 2010). In the

1 research of Boyd et al. (2010), response of PARAFAC components to the salinity were different
2 between the fast flushing period and the slow flushing period, which would indicate the
3 existence of additional hydrological factors influence to the variations. For the Judan River, the
4 pH of the river water increased from 3.9 at salinity 0 to 6.2 at the river mouth (salinity 5.2),
5 which probably affected the dissociation state of acidic functional groups in DOM and/or their
6 fluorescent quantum yield. Note that the increase of peak C type fluorescence was not observed
7 for Bekanbeushi River where the pH did not change significantly during mixing processes in the
8 oligohaline zone.

9 To investigate the influence of pH/salinity to the intensities of PARAFAC components, we
10 conducted a model experiment in which the pH of tropical peat groundwater from the Judan
11 River area was increased by mixing with artificial sea water (Instant Ocean[®]). In support of our
12 hypothesis, the intensities of four PARAFAC components, C_{2j}, C_{3j}, C_{4j}, and C_{6j}, increased
13 significantly with increases in pH. However, the behavior of C_{4j} was inconsistent with this
14 observation, as it decreased sharply along salinity gradient of the Judan River (Fig. 6). It seems
15 that flocculation or adsorption to suspended solids and/or sediments might be playing a role in
16 the removal of C_{4j} in the Judan River after increased ionic strength, and thus it's environmental
17 dynamics seem unrelated to pH changes. However, the overall contribution of C_{4j} to DOM
18 dynamics seems small since the DOC and A₂₅₄ behaved conservatively along salinity gradient
19 (Fig. 2c). In addition, the changes of PARAFAC component distributions in the Judan River
20 may have been underestimated, because EEMs were measured after diluting the original
21 samples by a factor of 5 with Milli-Q water. This sample treatment may have resulted in a
22 decrease in salinity and an increase in pH. Our observations, however, imply that changes in the
23 salinity and pH throughout the entire mixing processes may lead to significant conformational
24 changes of DOM (Blough and Zepp 1995), which might have a significant influence on its
25 reactivity (Osburn et al. 2009).

26 27 **6. Conclusions**

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29 The results presented in this study suggest that wetland-influenced coastal rivers can have
30 highly varied DOC concentration and DOM composition in the low salinity zone. The dynamics
31 of DOM were found to be highly variable between wetland-associated rivers, reflecting
32 difference in hydrology and geomorphology. For two of the three examples (Bekanbeushi and
33 Harney rivers), a clear decoupling between DOC and CDOM was observed, while these

1 parameters showed a conservative behavior for the third (Judan River). The DOC-CDOM
2 decoupling was found to be driven by different mechanisms, such as inputs of non/less-colored
3 DOM from estuarine lake for the Bekanbeushi River, and inputs of highly colored DOM from
4 fringe mangrove swamps along the upper estuary for the Harney River. While interplay between
5 loss to microbial degradation and inputs from diverse sources was suggested for microbial
6 humic-like components and protein-like components, the photo-products formed by the
7 degradation of terrestrial-derived humic-like materials in the estuary were found to transport
8 upstream to low salinity zones through saltwater intrusions. Lastly, possible effects on DOM
9 dynamics as a result of change in the pH/salinity during mixing process were observed for the
10 Judan River system draining acidic peat soils from forest swamps, suggesting changes in the
11 dissociation state of acid functional humic-like groups and/or fluorescence quantum yield.
12 Based on the above, it is clear that upper river estuary, low salinity zones of wetland-influenced
13 coastal rivers are highly dynamic with regards to their biogeochemical trends for DOM. The
14 application of EEM-PARAFAC demonstrated non-conservative behavior in certain groups of
15 DOM and possible change of dissociation state of acidic functional group of DOM, which
16 would not have been possible to detect using more traditional optical properties measurements.

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19
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3 **1 Figure captions**

4 2
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6 3 Fig. 1. Map of sampling sites

7 4
8
9 5 Fig. 2. Changes in DOC and A_{254} along salinity gradients. Line for Bekanbeushi River refers to a
10 6 regression line, which was calculated excluding the data at the salinity near 11. Dotted line for
11 7 Harney River is a simple mixing line between terrestrial (salinity 2) and marine end members
12 8 (salinity 32). Lines for Judan River are regression lines.

13 9
14 10 Fig. 3. Excitation-emission characteristics of PARAFAC components. Component (C) with subscript B, H,
15 11 J, refer to the components found from Bekanbeushi, Harney, and Judan Rivers, respectively.

16 12
17 13 Fig. 4. Changes in the intensities of 7 PARAFAC components along salinity gradient for Bekanbeushi
18 14 River. Lines in plots are regression lines, which were calculated excluding the data at the salinity
19 15 near 11. Dotted lines are extrapolated portion of the regression line.

20 16
21 17 Fig. 5. Changes in the intensities of 8 PARAFAC components along salinity gradients for Harney River.
22 18 Dotted lines are simple mixing lines for each components between terrestrial (salinity 2) and
23 19 marine end members (salinity 34).

24 20
25 21 Fig. 6. Changes in the intensities of 6 PARAFAC components along salinity gradient for Judan River.
26 22 Lines are regression lines.

Table 1 Assignment of fluorescence component decomposed by EEM-PARAFAC

Traditional	Peak characteristics	Bekanbeushi River		Harney River		Judan River		Cory and McKnight (2005)	Stedmon and Markager (2005)
		Comp. ID	Ex / Em (nm)	Comp. ID	Ex / Em (nm)	Comp. ID	Ex / Em (nm)		
Peak C	Ubiquitous humic-like peak	C2 _B	<260 (320) / 422	C6 _H	<260 (325) / 406			SQ3**	6 (Ant) <250 (320) / 400
		C1 _B	<260 (345) / 480	C1 _H	<260 (345) / 462	C1 _J	<260 (330) / 458	C1	4_Ter/Aut <250 (360) / 440
	Terrestrial humic-like, fulvic acid-type	C3 _B	<260 (310) / 426	C3 _H	<260 (305) / 416	C5 _J	295 / 406	C10	3_Ter <250 (305) / 412
Peak A	Terrestrial humic-like ·Photo-refractory or photodegradation product (Stedmon et al. 2007)	C5 _B	<260 / 472	C2 _H	<260 / 454	C3 _J	<260 / 466	Q1 or Q2	1_Ter*** <250 / 448
Peak M	Microbial-humic like ·Autochthonous	C6 _B	300 (<260) / 376	C4 _H	<260 (305)* / 376			C3** or Q3**	
undefined	Terrestrial humic-like, humic acid-type	C4 _B	<260 / >500	C5 _H	275 (405) / >500	C4 _J	<260 / >530	SQ1	2_Ter/Aut <250 (385) / 504
undefined						C2 _J	275 (390) / 474	SQ2**	
Peak B or T	Protein-like materials (Yamashita and Tanoue, 2003)	C7 _B	275 / 326(414)	C7 _H	275 / 326			Trp	(7_Aut) 280 / 344
Peak B	Protein-like materials (Yamashita and Tanoue, 2003)			C8 _H	300 / 342			Trp	(7_Aut) 280 / 344

* Numbers in parentheses refers to the second maximum. **only present in the Antarctic samples, indicating microbial origin. ***Ter, Ant, and, Aut refers to terrestrial,

Figure 1

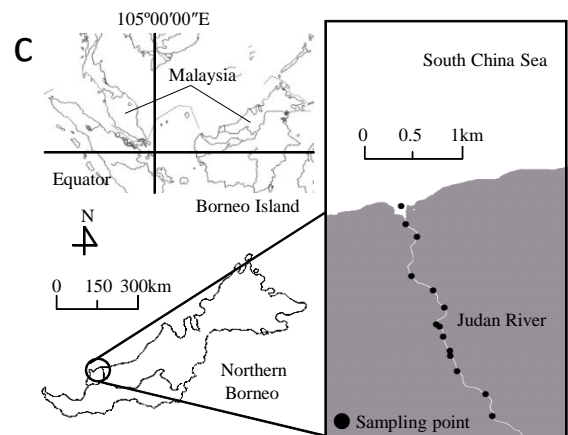
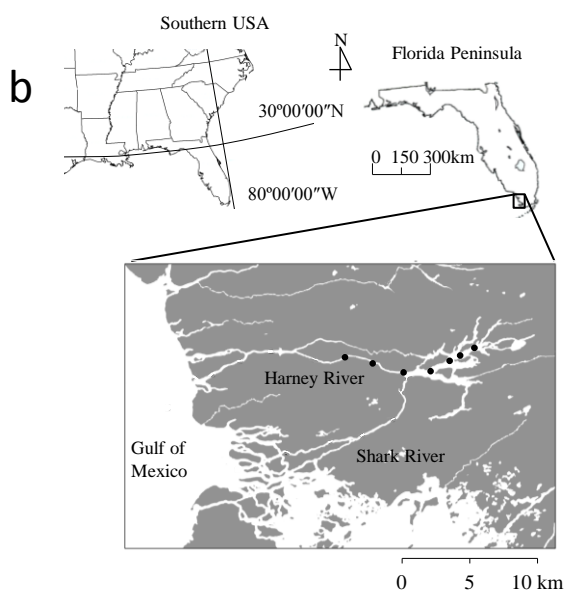
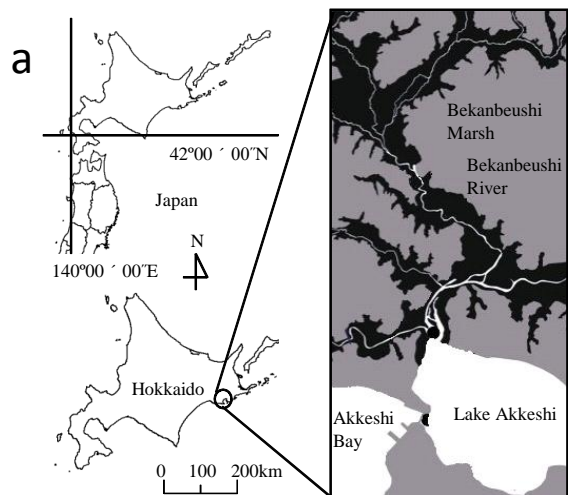
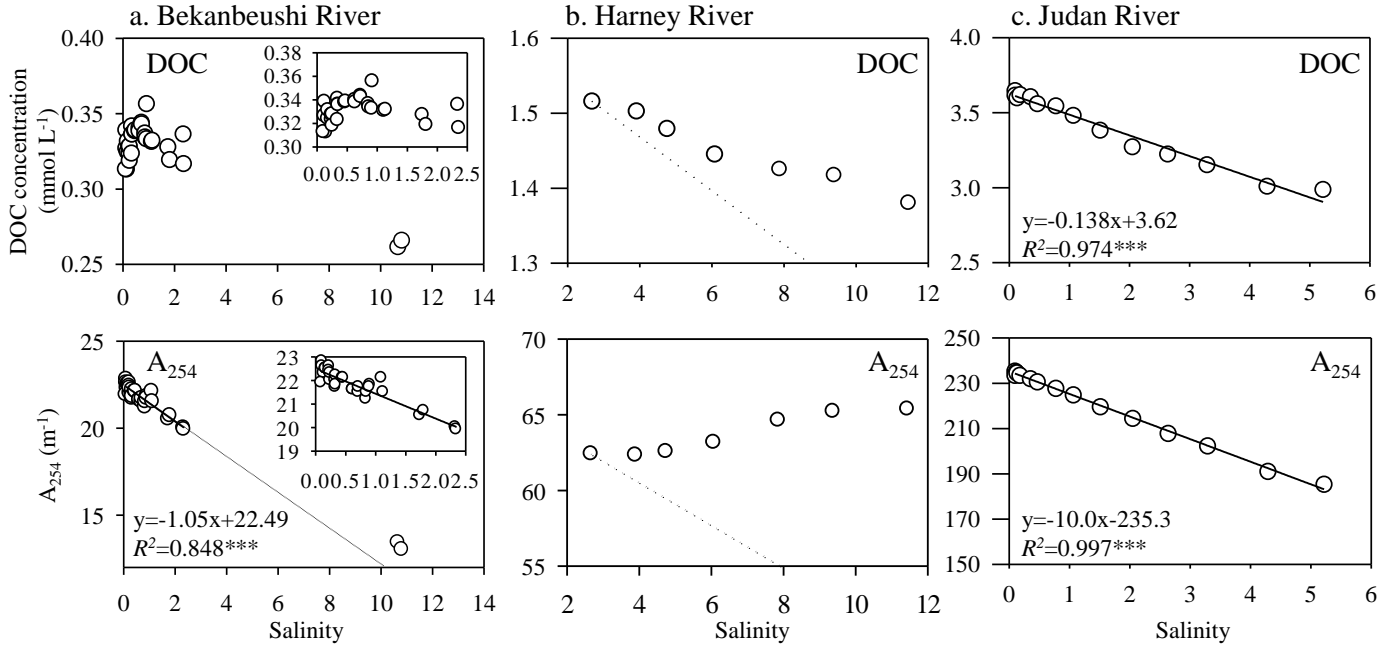


Figure2



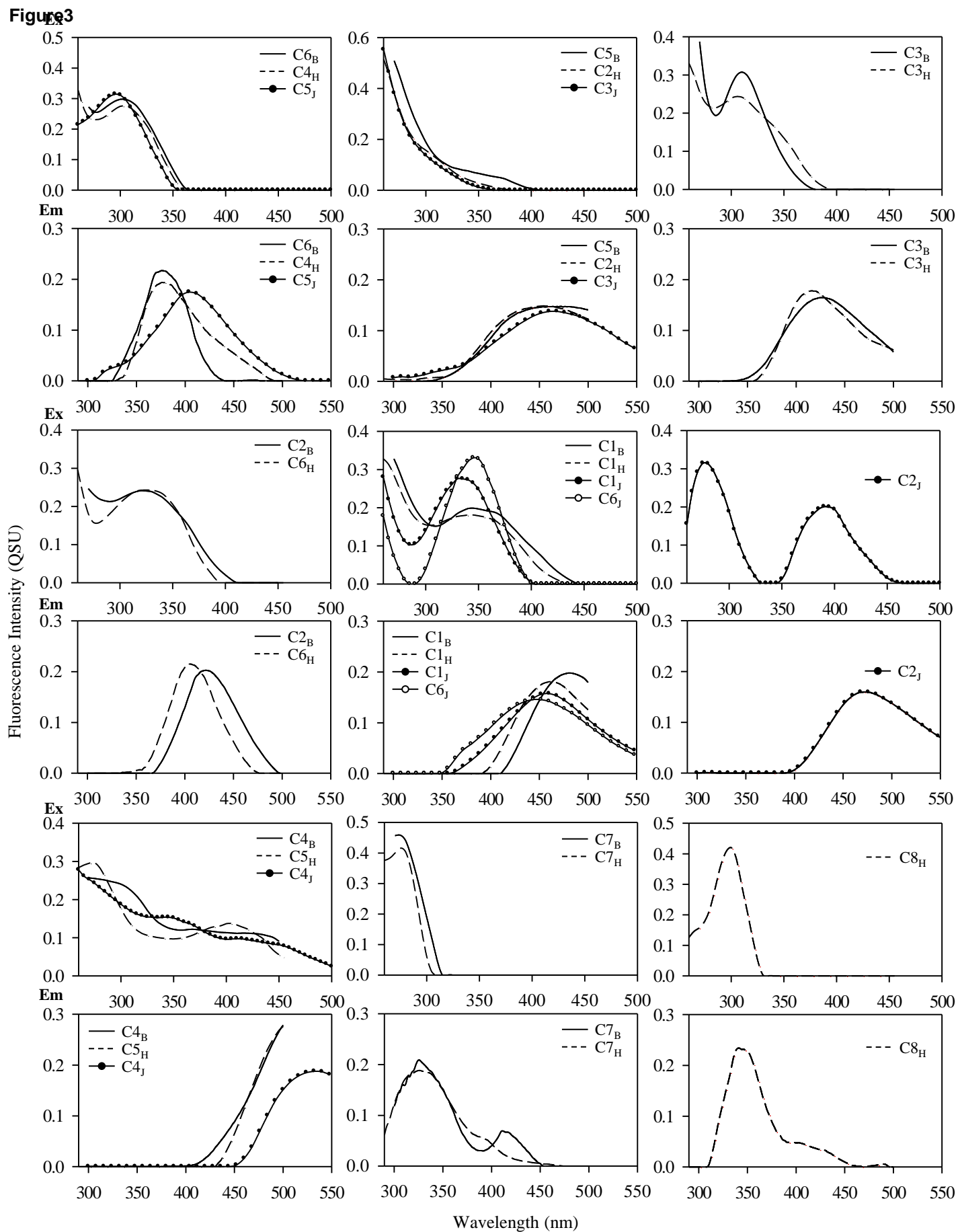


Figure4

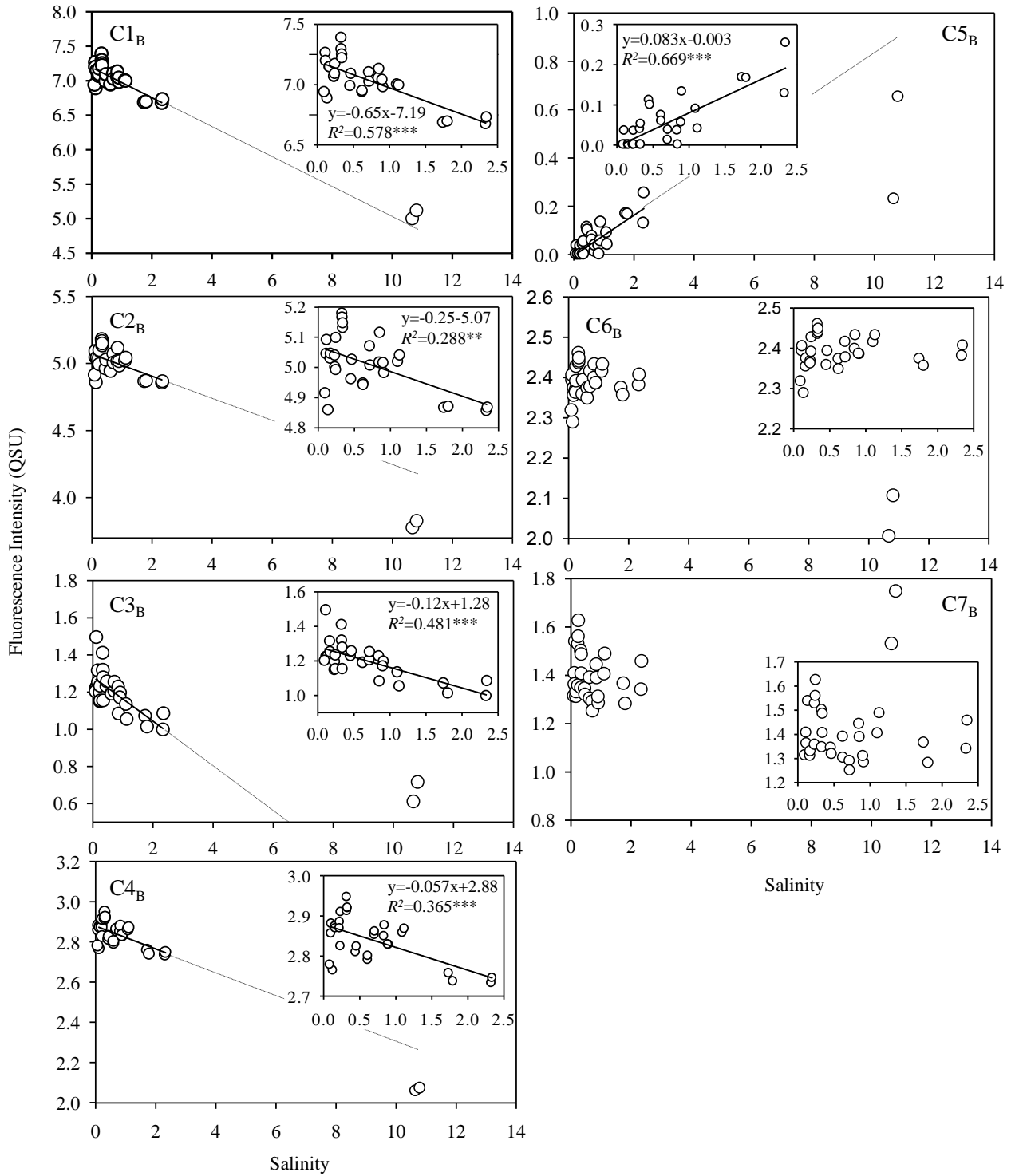


Figure5

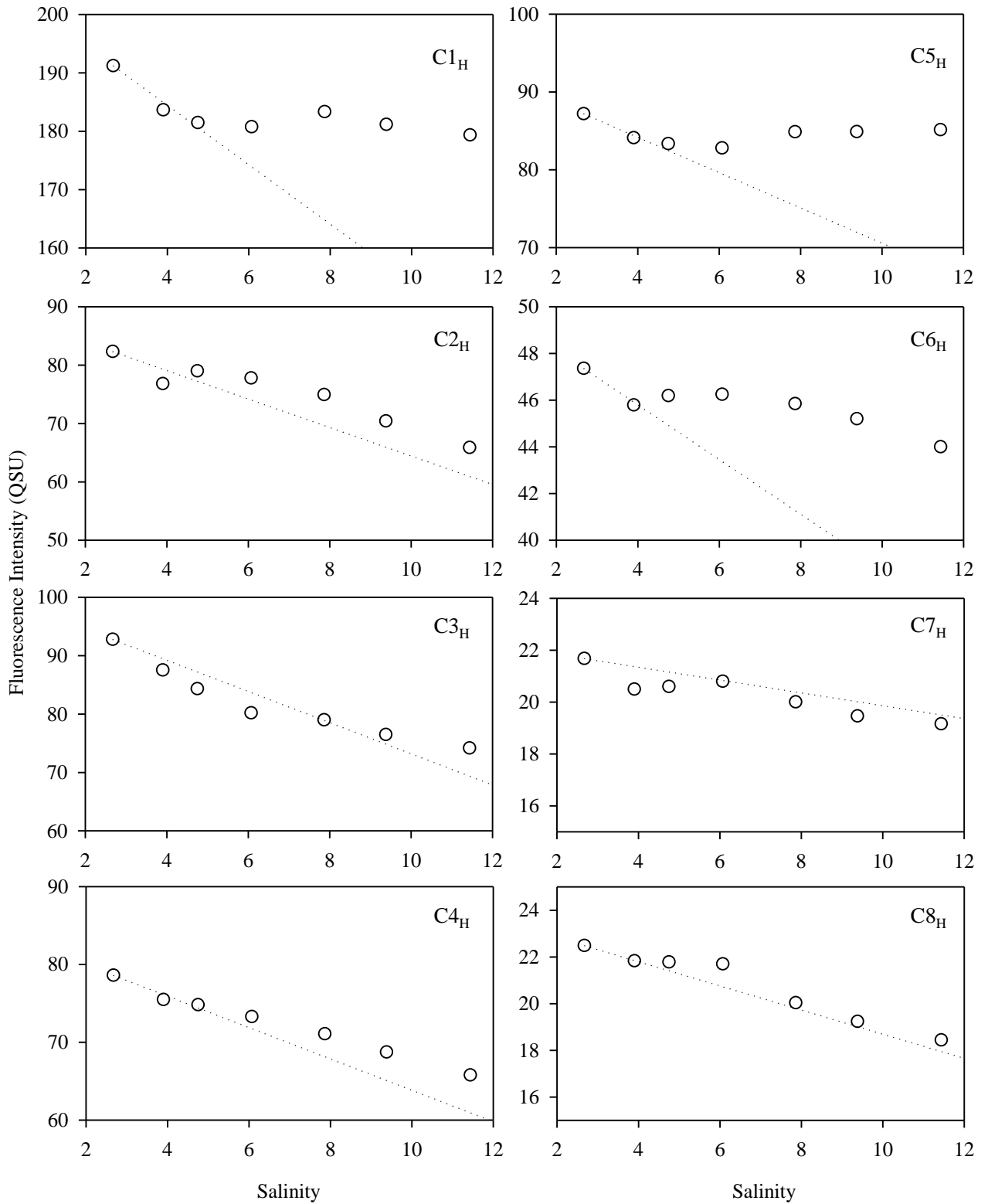


Figure6

