

9-16-2022

Opening a Communication Channel with the ETD Librarian

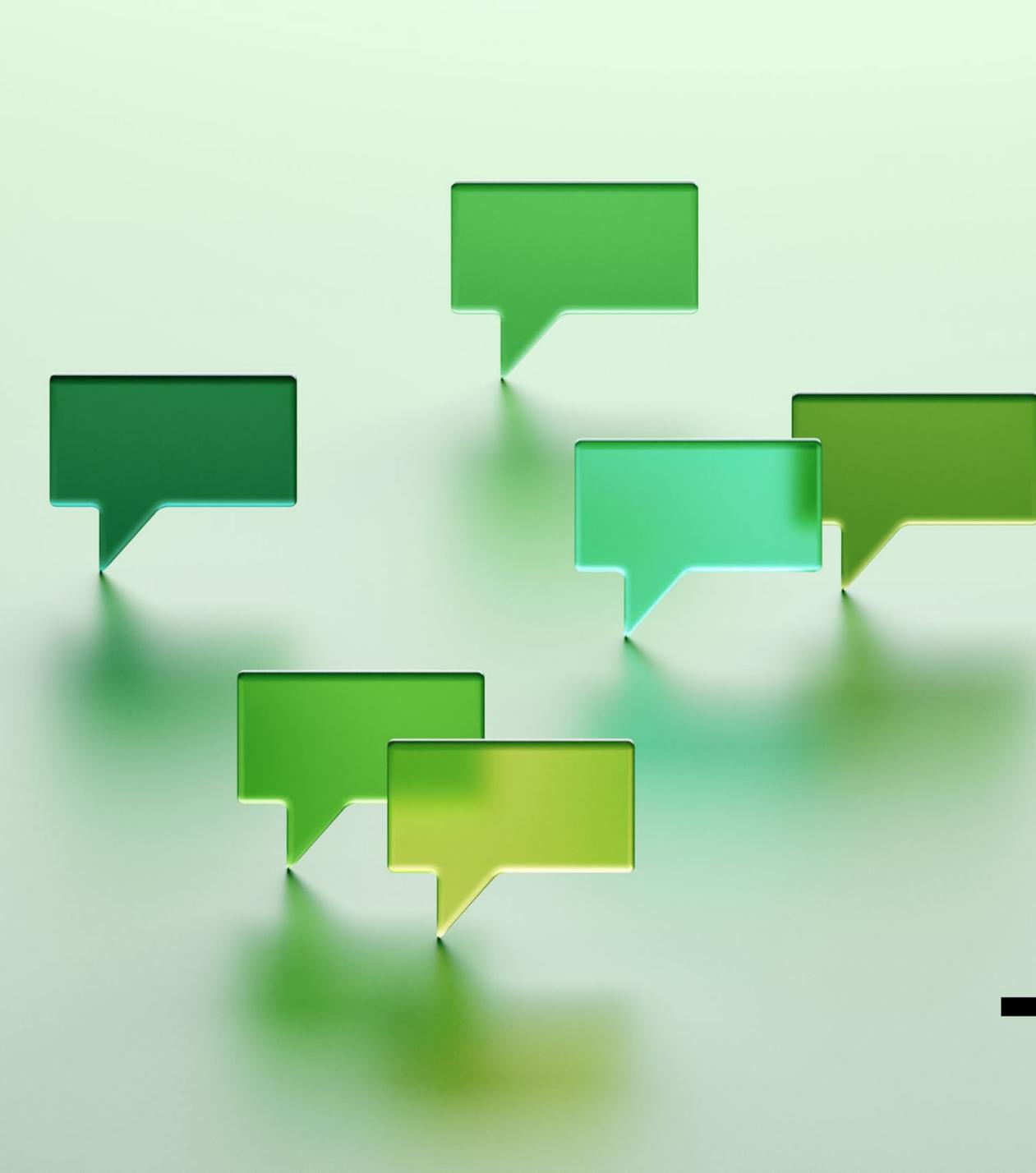
Kelley Flannery Rowan

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Opening a communication channel with the ETD librarian

Kelley Rowan, Digital Archives Librarian
Florida International University

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USETDA Conference 2022

Agenda

- History of FIU & workflow
- Project goals
- Process
- Results
- Q&A



FIU snapshot



- FIU is the largest minority serving (HSI) institution in the nation.
- 51,119 Students (58,928 in 2020)
- Librarian to student ratio is ~30:1

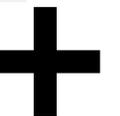
Points of Pride

Highest Research Activity

The Carnegie Classification of Institutions of Higher Education ranked FIU R1: Doctoral Universities – Highest Research Activity

Preeminent Research

The Florida Board of Governors designated FIU as an “Emerging Preeminent State Research University”



Graduate school to Library workflow

ETD publishing

- ✓ Students work with the graduate school.
- ✓ Students enter the metadata in Digital Commons and upload their submission.
- ✓ Once assigned as editor, the library publishes and preserves ETDs.

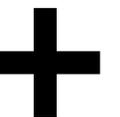
Communication

- Give students an additional point of contact regarding their ETD
- Increasing ORCID usage
- Encouraging open access



Past communication

- Adding embargo
- Changes to an ETD
- Publishing timeline
- Panic about published ETDs





Past ORCID research



- Students who embargoed did not necessarily sign up for ORCID and vice-versa
- Students did not understand ORCID
- ORCID usage has stayed steady at ~30% (summer 2022, 44%)

Open Access

High embargo rate

~50% (summer 2022, 35%)

Citations, download & view stats

FIU ELECTRONIC THESES AND DISSERTATIONS

An Examination of Motivation and Teacher Identity in Minority High School Students Enrolled in a Career and Technical Education Teacher Preparation Program

[Elizabeth M. Aguila](#)

Document Type

Dissertation

Degree

Doctor of Philosophy (PhD)

 Download

Usage

Abstract Views: 235

Downloads: 224

[see details](#)

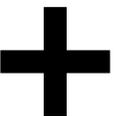
224 DOWNLOADS

Since January 05, 2021

 **PLUMX METRICS**

[PlumX Metrics Detail Page](#)

 **INCLUDED IN**



The process



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The ORCID letter

- Helpful, congratulatory, approachable
- Spaces, bold, and color
- Include a direct link
- Offer to input their ORCID

Hi _____

Congratulations on submitting your _____! I want to take this moment to introduce myself. I am Kelley Rowan, the Digital Archives Librarian who will be publishing your _____.

I want you to know that I am available for any questions you have regarding Digital Commons and I can help clarify the ORCID, embargo, and license options, among others that you will see there.

Before I publish your work, I want to make sure you have signed up for a unique identifier (ORCID) so that your research and scholarly output is easily credited to you and your name is not confused with another person with the same or similar name.

If you plan on doing future research or publishing, you'll find an ORCID identifier is often required. Also, it is a great addition to a resume or CV!

Sign up should take about 1 minute: <https://orcid.org/> and then you can add the identifier to your Digital Commons submission or send it to me and I will add it.

Also, if you're considering using a license, we suggest choosing any license that includes "non-commercial" use.

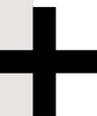
I hope this helps you and if you have any questions or concerns about any of the options you encounter in Digital Commons, please don't hesitate to reach out to me at krowan@fiu.edu.



The embargo letter

I see that you embargoed your dissertation and I want to share some information with you to make sure you have chosen the option best suited to your needs.

Should you embargo? You may have heard from others that you should. Here in the library, we are experts in understanding copyright and open access concerns. Here is a quick list of pros and cons you may want to consider before deciding:



Embargo letter



Pros of open access (no embargo)

- Research shows that open access publications receive more attention and have greater impact worldwide.
- More readership and downloads leads to more citations!
- More citations helps potential collaborators find you.
- Open access helps advance society as a whole with immediate access to recent research.
- Open access helps break down economic and cultural barriers by making your research available to everyone, not just an elite few with journal and library access.

Your open access thesis or dissertation is still copyrighted and protected but you may choose a license if you would like it to be shareable. We suggest choosing any license that includes “non-commercial” use.

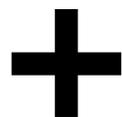
Cons

- Most cons relate to administrative fees, which we don’t have!
 - You may receive requests from “predatory journals” (think, fake journals) that claim they want to publish your work for a fee. Just ignore these emails.
 - **Myth:** If it’s available, you won’t be able to get published in a journal. This is false. Most publishers DO NOT consider a thesis or dissertation a “finished product” and therefore, its presence in an institutional repository does not create a conflict. In the rare case that a publisher requests it, we can embargo it at any time.
 - **Myth:** Authors think they are giving away copyright. You are NOT! I will be applying copyright notices on both your pdf and in the repository that indicate you are the copyright holder.
- 



B	C	D	E	F	G	H	I	J	K
date of defense	degree	discipline	embargo	ORCID	License	email	date emailed	response	notes
5.13.2022	PhD	data science	no	no	no		8.2.2022		
6.29.2022	PhD	virology	6 mo.	yes	no		8.2.2022		
8.26.2022	PhD	environmental science	1 yr.	yes	no		8.2.2022		
8.26.2022	PhD	biology	1 yr.	no	no		8.2.2022	8.3.2022	Had an ORCID but didn't enter it. Seemed grateful I reached out, asked for further clarification on licensing and embargo. On 9.9 I went back and added his ORCID after realizing he never entered it.
6.24.2022	MS	statistics	no	yes	under a Creative Commons Attribution-Share Alike 4.0 License.				
8.29.2022	PhD	GSS	no	yes	This work is licensed under a Creative Commons Attribution-Noncommercial 4.0 License				
6.28.2022	PhD	Public affairs	no	no	no		8.2.2022	8.4.2022	explained that he did not understand ORCID at all and thought it was subject dependent. Was interested in signing up for anything to support future publishing. Signed up for ORCID. Asked me to add the number to DC.
					This work is licensed under a Creative Commons Attribution 4.0				

Tracking



Workflow & time commitment

1

Enter the data for
151 students

2

Choose the correct
letter and send

3

Track responses

4

Enter ORCID's

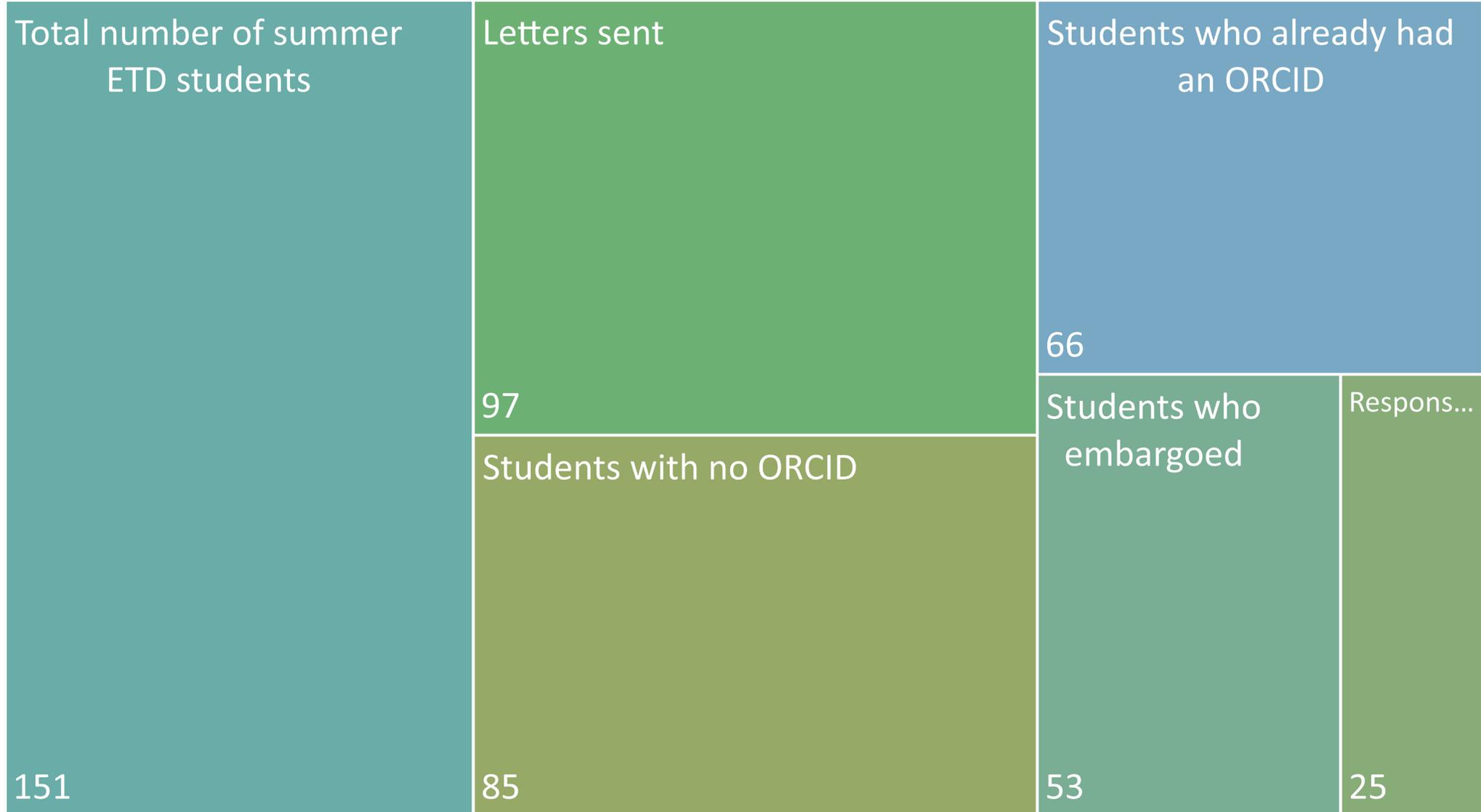
5

Respond to
inquiries and send
thank you notes.



Starting Stats

- Students who already had an ORCID
- Total number of summer ETD students
- Students who embargoed
- Letters sent
- Responses
- Students with no ORCID



Embargo Results



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Embargo Results

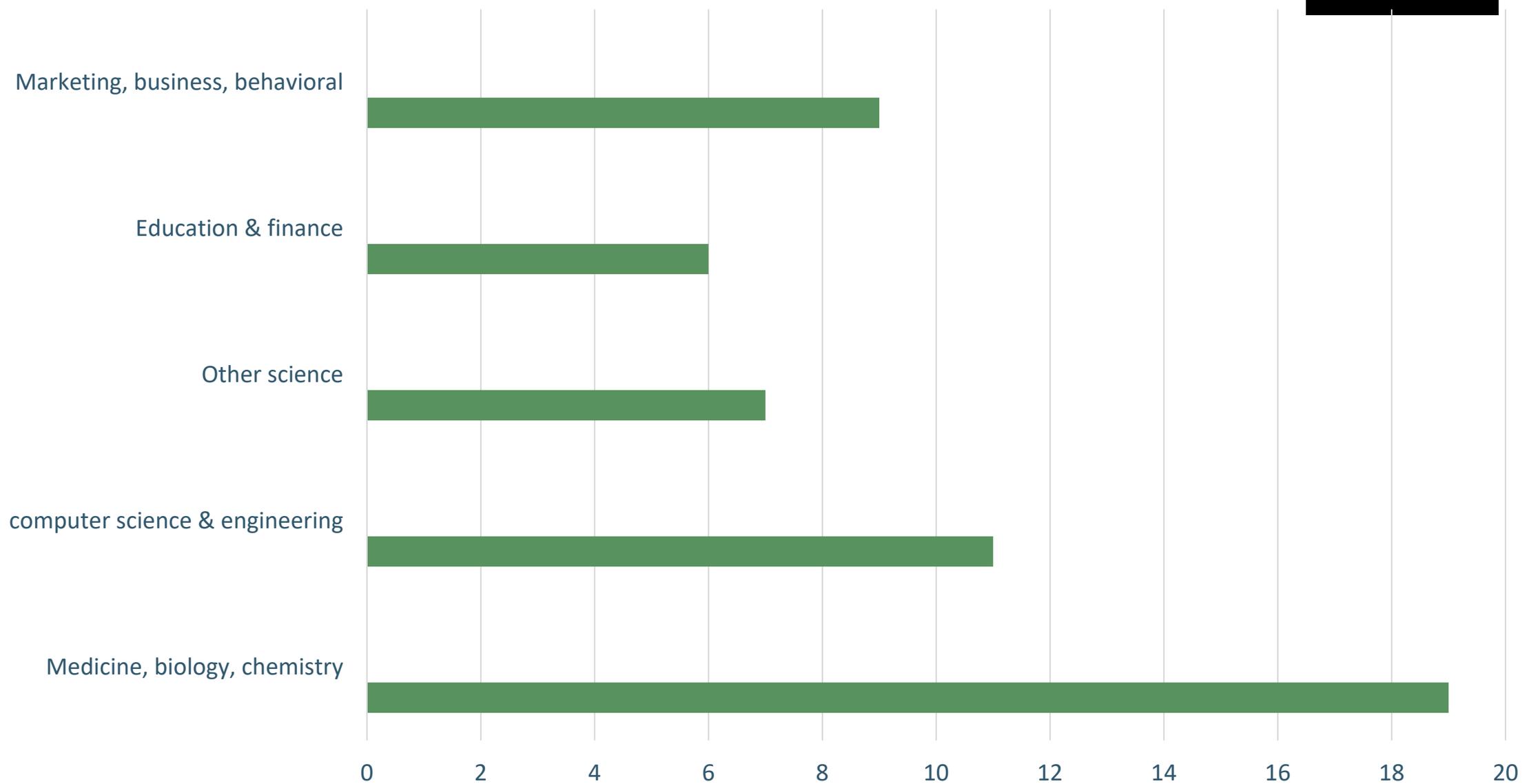
Not a single person removed or lessened the length of their embargo

One student asked me to increase their embargo from 1 to 2 years

One student attempted to put in a 7 year embargo

One person offered an explanation for keeping the embargo

Who embargoes?



Who embargoes?

- 45% hard sciences
- 55% various disciplines

- 57% of science graduates embargoed
- 20% of other disciplines embargoed



Takeaway

**Fundamental
misunderstanding
of what
librarians know and
do**



ORCID
Results

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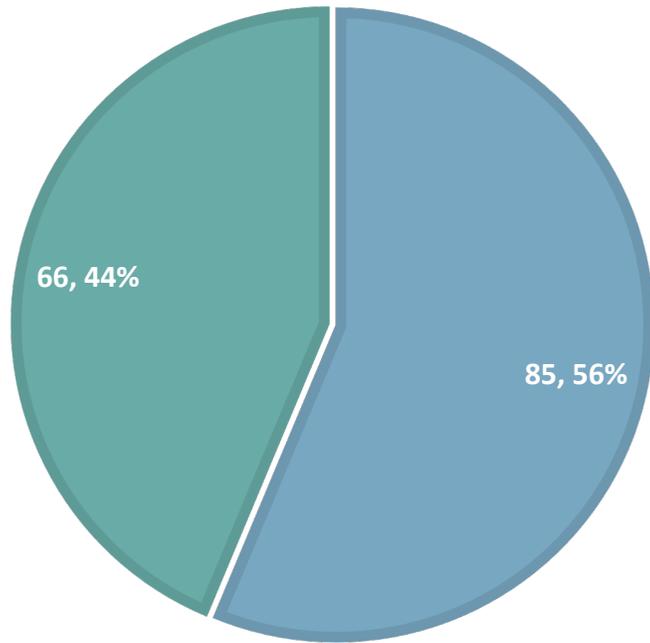
ORCID

—

STUDENTS WHO ORIGINALLY ENTERED AN ORCID

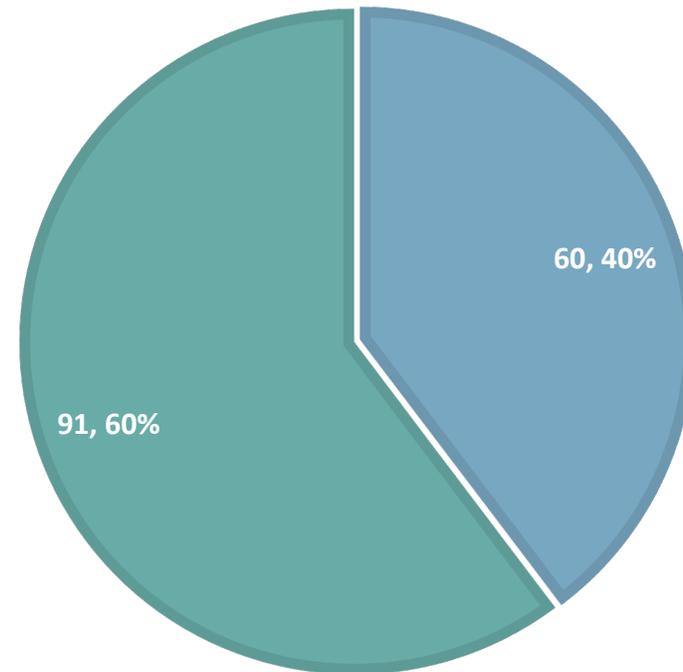


■ Students without an ORCID ■ Students who have an ORCID



TOTAL # OF STUDENTS WITH AN ORCID AFTER LETTERS

■ Students without an ORCID ■ students who have an ORCID



Usefulness of ORCID



37% of students who already had an ORCID had no content added.

63% of students who already had an ORCID entered content.

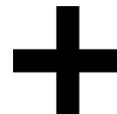
79% of students who received requests to register for ORCID did not enter content.

21% of students who received requests to register for ORCID entered content.

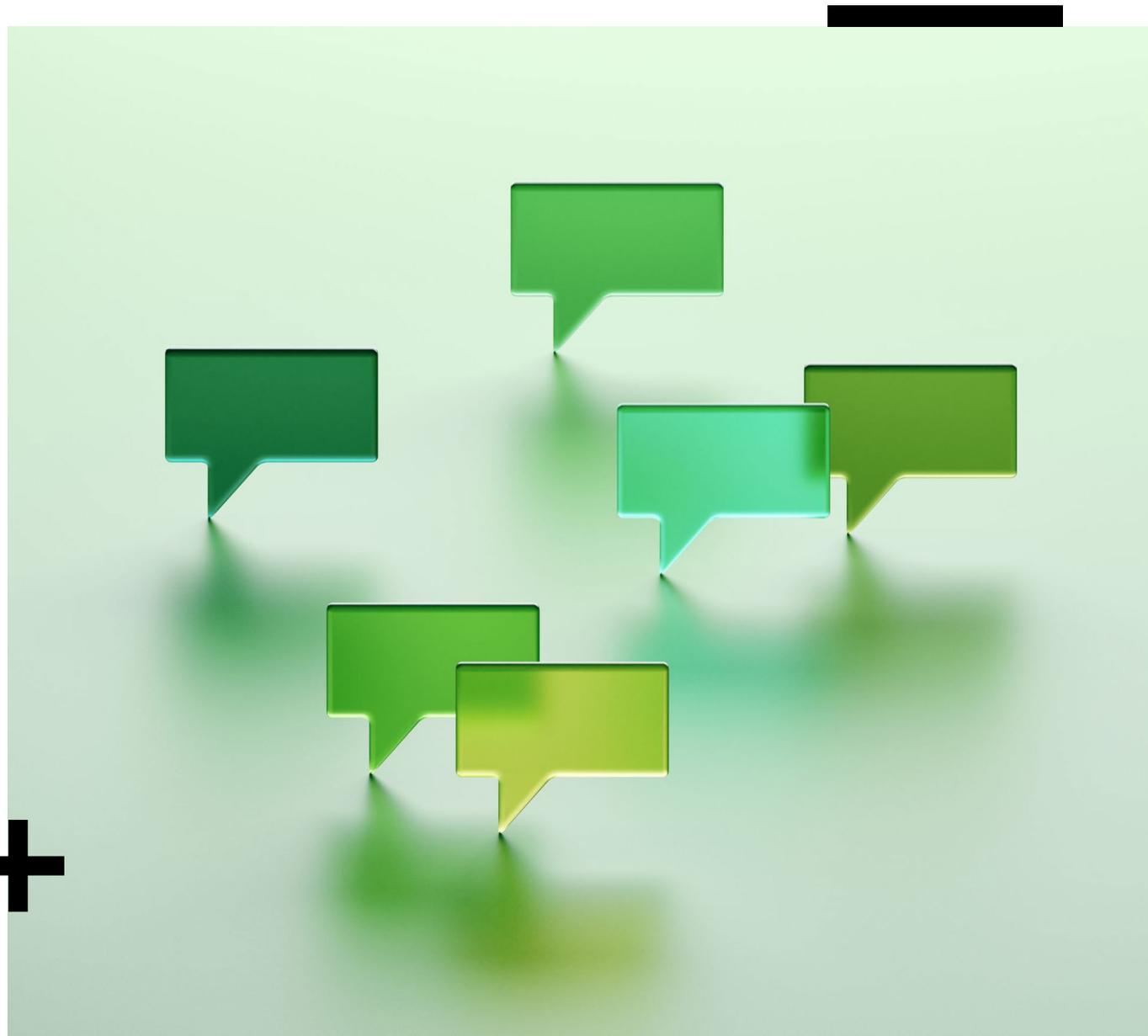


Takeaway

Students are not going
to enter more data
into Digital Commons



Communication



25 responses

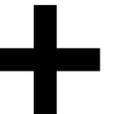
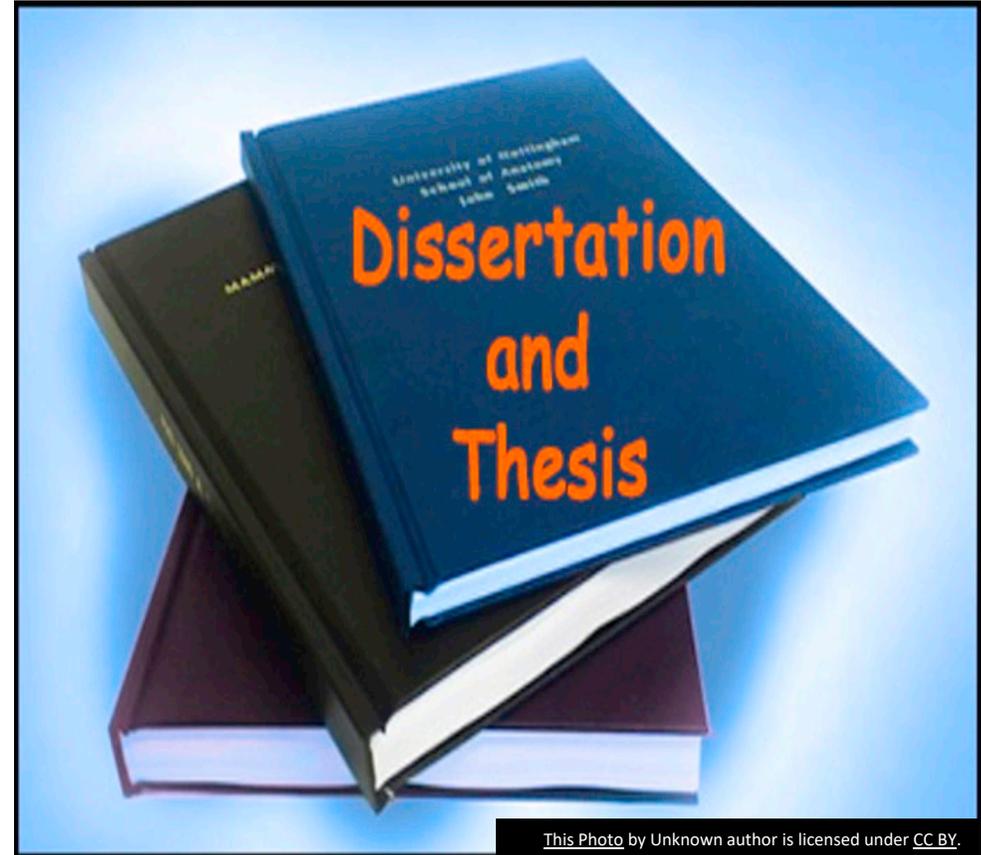
- Majority thanked me for reaching out
- Conversations
 - Binding
 - Licensing
 - ORCID
 - Using ORCID in a resume

- 1 student panicked and told me not to publish their ETD
- 1 sent ORCID without text
- 75% of students ignored the email



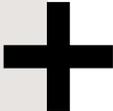
Takeaway

An appreciative (or at least responsive) 25% of students who engaged in meaningful dialogue is worth continuing.





Next Steps

- Send out only ORCID letters?
 - Add licensing education in future?
 - Try another approach with embargoes?
 - Highlight the benefits of linking to the ETD & benefit of usage stats.
 - Further education about retaining rights when publishing before a dissertation?
 - Offer DSS workshops?!
- 



Q&A

Do students fill out their ORCID profiles; usefulness?



Q&A

If you encourage open access, how?



Thoughts?

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